# Information Theory (H.-M. Hang; 2009/10/12) (Cover and Thomas, Chap 7) <br> Homework \#3 <br> <br> Due Date: November 3, 2009 

 <br> <br> Due Date: November 3, 2009}
(Reminder: Midterm examine on Nov 9 (Monday), 2009)

## (1)

4. Channel capacity. Consider the discrete memoryless channel $Y=X+Z(\bmod 11)$, where

$$
Z=\left(\begin{array}{ccc}
1, & 2, & 3 \\
1 / 3, & 1 / 3, & 1 / 3
\end{array}\right)
$$

and $X \in\{0,1, \ldots, 10\}$. Assume that $Z$ is independent of $X$.
(a) Find the capacity.
(b) What is the maximizing $p^{*}(x)$ ?

## (2)

8. The Z channel. The Z-channel has binary input and output alphabets and transition probabilities $p(y \mid x)$ given by the following matrix:

$$
Q=\left[\begin{array}{cc}
1 & 0 \\
1 / 2 & 1 / 2
\end{array}\right] \quad x, y \in\{0,1\}
$$

Find the capacity of the Z-channel and the maximizing input probability distribution. (Note: Try to derive C by yourself. Do not use the formula on p. 8 of our class notes.)

## (3)

9. Suboptimal codes. For the Z channel of the previous problem, assume that we choose a $\left(2^{n R}, n\right)$ code at random, where each codeword is a sequence of fair coin tosses. This will not achieve capacity. Find the maximum rate $R$ such that the probability of error $P_{e}^{(n)}$, averaged over the randomly generated codes, tends to zero as the block length $n$ tends to infinity.
(4)
10. Unused symbols. Show that the capacity of the channel with probability transition matrix

$$
P_{y \mid x}=\left[\begin{array}{ccc}
2 / 3 & 1 / 3 & 0  \tag{7.42}\\
1 / 3 & 1 / 3 & 1 / 3 \\
0 & 1 / 3 & 2 / 3
\end{array}\right]
$$

is achieved by a distribution that places zero probability on one of input symbols. What is the capacity of this channel? Give an intuitive reason why that letter is not used.

## (5)

18. Channel capacity: Calculate the capacity of the following channels with probability transition matrices:
(a) $\mathcal{X}=\mathcal{Y}=\{0,1,2\}$

$$
p(y \mid x)=\left[\begin{array}{lll}
1 / 3 & 1 / 3 & 1 / 3  \tag{7.87}\\
1 / 3 & 1 / 3 & 1 / 3 \\
1 / 3 & 1 / 3 & 1 / 3
\end{array}\right]
$$

(b) $\mathcal{X}=\mathcal{Y}=\{0,1,2\}$

$$
p(y \mid x)=\left[\begin{array}{ccc}
1 / 2 & 1 / 2 & 0  \tag{7.88}\\
0 & 1 / 2 & 1 / 2 \\
1 / 2 & 0 & 1 / 2
\end{array}\right]
$$

(6)
28. Choice of channels.

Find the capacity $C$ of the union of 2 channels $\left(\mathcal{X}_{1}, p_{1}\left(y_{1} \mid x_{1}\right), \mathcal{Y}_{1}\right)$ and $\left(\mathcal{X}_{2}, p_{2}\left(y_{2} \mid x_{2}\right), \mathcal{Y}_{2}\right)$ where, at each time, one can send a symbol over channel 1 or over channel 2 but not both. Assume the output alphabets are distinct and do not intersect.
(a) Show $2^{C}=2^{C_{1}}+2^{C_{2}}$. Thus $2^{C}$ is the effective alphabet size of a channel with capacity $C$.
(c) Use the above result to calculate the capacity of the following channel


## (7)

Consider the two discrete memoryless channels $\left(\mathcal{X}, p_{1}(y \mid x), \mathcal{Y}\right)$ and $\left(\mathcal{Y}, p_{2}(z \mid y), \mathcal{Z}\right)$.
Let $p_{1}(y \mid x)$ and $p_{2}(z \mid y)$ be binary symmetric channels with crossover probabilities $\lambda_{1}$ and $\lambda_{2}$ respectively.

(a) What is the capacity $C_{1}$ of $p_{1}(y \mid x)$ ?
(b) What is the capacity $C_{2}$ of $p_{2}(z \mid y)$ ?
(c) We now cascade these channels. Thus $p_{3}(z \mid x)=\sum_{y} p_{1}(y \mid x) p_{2}(z \mid y)$. What is the capacity $C_{3}$ of $p_{3}(z \mid x)$ ? Show $C_{3} \leq \min \left\{C_{1}, C_{2}\right\}$.
(d) Now let us actively intervene between channels 1 and 2 , rather than passively transmitting $y^{n}$. What is the capacity of channel 1 followed by channel 2 if you are allowed to decode the output $y^{n}$ of channel 1 and then reencode it as $\tilde{y}^{n}$ for transmission over channel 2? (Think $W \longrightarrow x^{n}(W) \longrightarrow y^{n} \longrightarrow$ $\left.\tilde{y}^{n}\left(y^{n}\right) \longrightarrow z^{n} \longrightarrow \hat{W}.\right)$
(e) What is the capacity of the cascade in part c) if the receiver can view both $Y$ and $Z$ ?
(Note: A generalization of this problem is problem 7.7 on C\&T, p.225)
(Remarks: Problem 7.15 on C\&T is an illustrated example of the joint typical sequences. Reading it may help understanding this topic better.)

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