## Information Theory (H.-M. Hang; 2009/10/12)

(Cover and Thomas, Chap 7)

## Homework #3

Due Date: November 3, 2009

(Reminder: Midterm examine on Nov 9 (Monday), 2009)

**(1)** 

4. Channel capacity. Consider the discrete memoryless channel  $Y = X + Z \pmod{11}$ , where

$$Z = \left(\begin{array}{ccc} 1, & 2, & 3\\ 1/3, & 1/3, & 1/3 \end{array}\right)$$

and  $X \in \{0, 1, ..., 10\}$ . Assume that Z is independent of X.

- (a) Find the capacity.
- (b) What is the maximizing  $p^*(x)$ ?

**(2)** 

8. The Z channel. The Z-channel has binary input and output alphabets and transition probabilities p(y|x) given by the following matrix:

$$Q = \begin{bmatrix} 1 & 0 \\ 1/2 & 1/2 \end{bmatrix} \qquad x, y \in \{0, 1\}$$

Find the capacity of the Z-channel and the maximizing input probability distribution. (*Note*: Try to derive C by yourself. Do not use the formula on p.8 of our class notes.)

**(3)** 

9. Suboptimal codes. For the Z channel of the previous problem, assume that we choose a  $(2^{nR}, n)$  code at random, where each codeword is a sequence of *fair* coin tosses. This will not achieve capacity. Find the maximum rate R such that the probability of error  $P_e^{(n)}$ , averaged over the randomly generated codes, tends to zero as the block length n tends to infinity.

**(4)** 

12. Unused symbols. Show that the capacity of the channel with probability transition matrix

$$P_{y|x} = \begin{bmatrix} 2/3 & 1/3 & 0\\ 1/3 & 1/3 & 1/3\\ 0 & 1/3 & 2/3 \end{bmatrix}$$
 (7.42)

is achieved by a distribution that places zero probability on one of input symbols. What is the capacity of this channel? Give an intuitive reason why that letter is not used.

**(5)** 

18. Channel capacity: Calculate the capacity of the following channels with probability transition matrices:

(a)  $\mathcal{X} = \mathcal{Y} = \{0, 1, 2\}$ 

$$p(y|x) = \begin{bmatrix} 1/3 & 1/3 & 1/3 \\ 1/3 & 1/3 & 1/3 \\ 1/3 & 1/3 & 1/3 \end{bmatrix}$$
(7.87)

(b)  $\mathcal{X} = \mathcal{Y} = \{0, 1, 2\}$ 

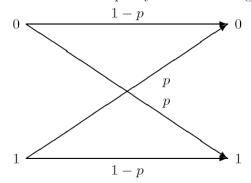
$$p(y|x) = \begin{bmatrix} 1/2 & 1/2 & 0\\ 0 & 1/2 & 1/2\\ 1/2 & 0 & 1/2 \end{bmatrix}$$
 (7.88)

**(6)** 

28. Choice of channels.

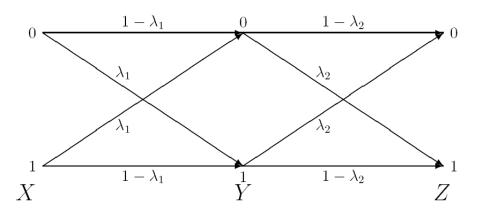
Find the capacity C of the union of 2 channels  $(\mathcal{X}_1, p_1(y_1|x_1), \mathcal{Y}_1)$  and  $(\mathcal{X}_2, p_2(y_2|x_2), \mathcal{Y}_2)$  where, at each time, one can send a symbol over channel 1 or over channel 2 but not both. Assume the output alphabets are distinct and do not intersect.

- (a) Show  $2^C = 2^{C_1} + 2^{C_2}$ . Thus  $2^C$  is the effective alphabet size of a channel with capacity C.
- (c) Use the above result to calculate the capacity of the following channel



**(7)** 

Consider the two discrete memoryless channels  $(\mathcal{X}, p_1(y|x), \mathcal{Y})$  and  $(\mathcal{Y}, p_2(z|y), \mathcal{Z})$ . Let  $p_1(y|x)$  and  $p_2(z|y)$  be binary symmetric channels with crossover probabilities  $\lambda_1$  and  $\lambda_2$  respectively.



- (a) What is the capacity  $C_1$  of  $p_1(y|x)$ ?
- (b) What is the capacity  $C_2$  of  $p_2(z|y)$ ?
- (c) We now cascade these channels. Thus  $p_3(z|x) = \sum_y p_1(y|x)p_2(z|y)$ . What is the capacity  $C_3$  of  $p_3(z|x)$ ? Show  $C_3 \leq \min\{C_1, C_2\}$ .
- (d) Now let us actively intervene between channels 1 and 2, rather than passively transmitting  $y^n$ . What is the capacity of channel 1 followed by channel 2 if you are allowed to decode the output  $y^n$  of channel 1 and then reencode it as  $\tilde{y}^n$  for transmission over channel 2? (Think  $W \longrightarrow x^n(W) \longrightarrow y^n \longrightarrow \tilde{y}^n(y^n) \longrightarrow z^n \longrightarrow \hat{W}$ .)
- (e) What is the capacity of the cascade in part c) if the receiver can view both Y and Z?

(Note: A generalization of this problem is problem 7.7 on C&T, p.225)

(*Remarks*: Problem 7.15 on C&T is an illustrated example of the joint typical sequences. Reading it may help understanding this topic better.)

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