

# Source Coding

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## Time/Date/Instructor

- 1:30pm – 3:20pm, Monday; 工程四館  
ED301; 4:40pm – 5:30pm, Thursday;  
ED101 (Sept. 15, 2011 – Jan 12, 2012)
- Instructors: Hsueh-Ming Hang, 杭學鳴
- [hmhang@mail.nctu.edu.tw](mailto:hmhang@mail.nctu.edu.tw)
- Classnotes: <http://cwww.ee.nctu.edu.tw/>

# Grading

- 5 or so Homework Assigns: 35 %  
(including 2 computer assignments in C, 25%)
- Examine: 30% (2 hours, open book)
- Final Project: 35% (One computer assignment + paper study (given list); 2 person as a group; oral and written reports)

# Text Book and Recommended Readings

- Textbook: **K. Sayood, *Intro. to Data Compression***, 3rd Ed., Morgan Kaufman, 2006.
- Recommended Readings:
  - (1) J.-R. Ohm, *Multimedia Communication Technology*, Springer, 2004.
  - (2) Y. Q. Shi and H. Sun, *Image and Video Compression for Multimedia Engineering*, 2nd ed, CRC Press, 2008
  - (3) D. Salomon, *Data Compression: The Complete Reference*, Springer, 2007
  - (4) 戴顯權, 資料壓縮, 旗標出版社, 2009年

# Topics to be covered

**(1) Fundamental theory on (data) compression**

**(2) (Lossless) Data compression**

**(3) Speech compression**

**(4) Audio compression**

**(5) (Still) Image compression**

**(6) Video compression**

- There is no single book that covers all the above subjects in adequate depth.

## Chap. 1 Introduction

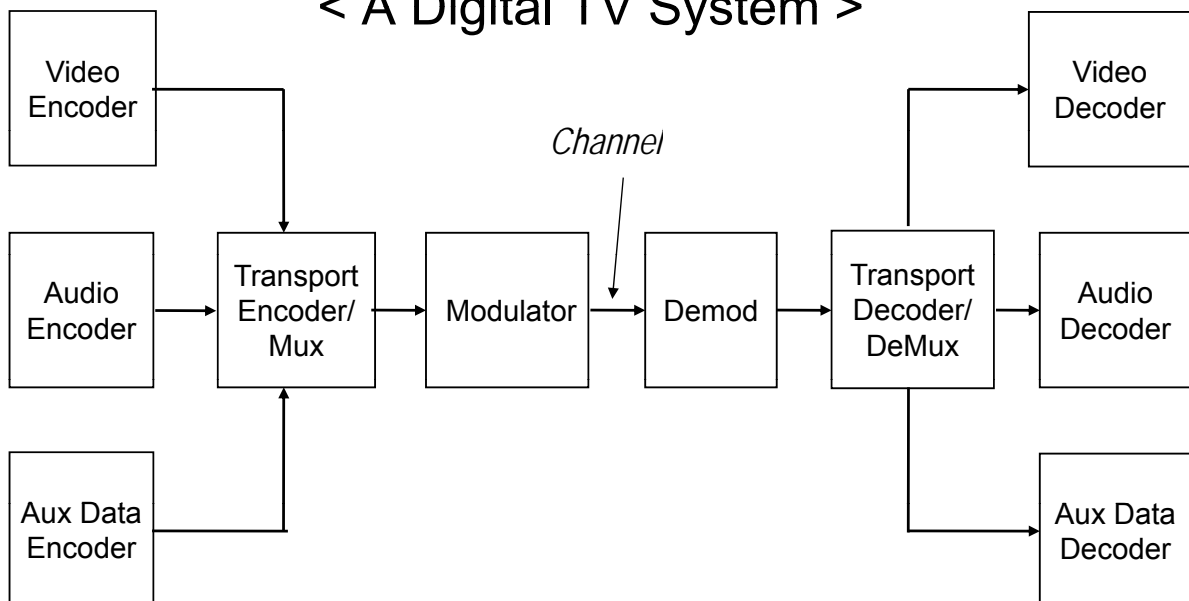
# Digital Media in Daily Life



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# An Example of Comm. Systems

< A Digital TV System >



# Why Compression?

-- Massive data

■ **Speech:** 8 bits (per sample) x 8K (samples/sec) = 64Kbits/s

■ **CD audio:**

16 bits x 44.1K (samples/sec) x 2 (channels) = 1.411Mbits/sec  
(44.1K = 60 (fields) x 245 (lines) x 3 (samples) (J. Watkinson, *The Art of Digital Audio*, p.28, Focal Press, 1989) )

■ **Digital TV:** (4:2:2, NTSC in CCIR 601)

*Still picture:* 720 (pels) x 483 (lines) x 2.0 bytes = 5.564 Mbits

*Motion picture:* 5.564 Mbits x 29.97 (frames/sec) = 167Mbits/sec

■ **Digital HDTV:** (ATSC)

1920 (pels) x 1080 (lines) x 1.5 bytes x 30 (frames/sec)=746Mbits

## Examples of Data

-- Classified based upon compression techniques

■ **General digital data:** various types of computer files

■ **Text**

■ **Speech:** human conversation

■ **Audio:** music

■ **Bi-level images:** fax, dithered (two-tone) images

■ **Still gray-level pictures:** graphics, natural images

■ **Motion pictures:** video conferencing, television.

-- Data characteristics can be used to reduce bit rate.

# How Compression Possible?


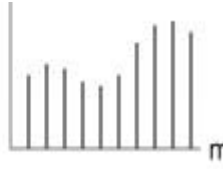
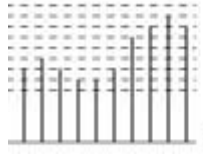
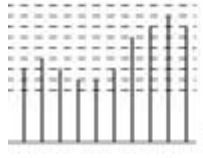
## Characteristics of data:

- Stationary statistical model
  - Shannon information theory
- Non-stationary properties such as local correlation

## Characteristics of human perception:

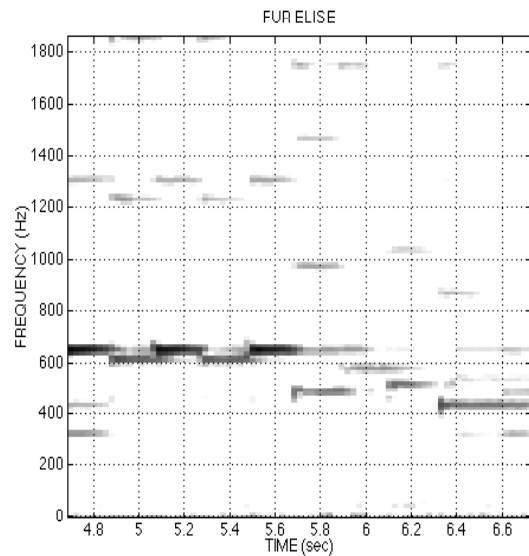
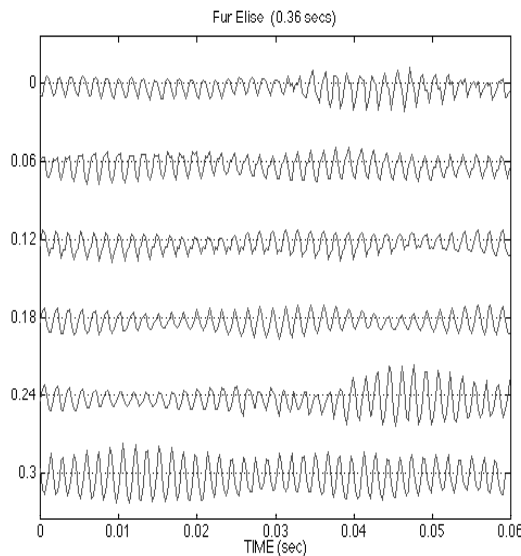
- Finite resolution of hearing and vision
- Auditory masking effect
- Color representation
- Visual masking effect

## Signals (Waveforms)

	Time/ Space	Amp.		
<b>Analog Signals</b> $x(t)$	Conti.	Conti.		
<b>Discrete-time</b> (discrete-space) (sampled-data) signal $x(m)$	Discrete	Conti.		
<b>Digital signals</b> $x(m)$	Discrete	Discrete		

# Audio Samples

- Piano (fur Elise) samples and spectrogram (McClellan et al., *DSP First*, Prentice-Hall, 1998)



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16

## Data Compression Techniques

**Information lossless:** Redundancy reduction — The original data can be completely recovered.

- Direct: Huffman codes, arithmetic coding, Ziv-Lempel coding, ... (narrow-sense *data compression*)
- Predictive: Run length coding, ...

**Information lossy:** Information (entropy) reduction --

The reproduced data are *approximations* of the original data. This may not be meaningful for a computer file.

- Block coding: vector quantization, transform coding, ...
- Sequential: DPCM, tree coding, ...
- Multi-resolution (non-block): sub-band, wavelet, ...

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17

# Compression Techniques

- **Waveform coding:** Reproduce waveform, e.g., DPCM, transform, sub-band, ...  
-- Universal but lower efficiency.
- **Content-based coding:** Reproduce *contents*, e.g., (speech) vocoder, (image) contour-texture coding, (video) model-based coding.
- **International standards:** JPEG, MPEG, H.261/3, ...

## Elements in Waveform Coding

- **Decorrelation:** Reduce spatial and temporal redundancy. *Techniques:* prediction, transform, ...
- **Selecting representatives:** Reduce the number of possible signals.  
*Techniques:* quantization, ...
- **Entropy coding:** Equalize the probability distribution of the output symbols.  
*Techniques:* Huffman codes, Ziv-Lempel coding, ...



# Multimedia Coding Standards

- Complete, practical coding algorithms
  - A balance between (compression) performance and (implementation) complexity (and a compromise among various interest parties)
- Critical for telecommunication products and consumer audio/video media products

## Image/Video Standards

- **ISO/IEC JTC1 SC29** – ISO and IEC Joint Technical Committee (on Information Technology) Subcommittee 29 (Coding of audio, picture, multimedia and hypermedia)
  - **Working Group (WG) 1:**
    - JBIG** (Joint Bi-level Image Group) – 1-bit to 4/5-bit still pictures
    - JPEG** (Joint Photographic Experts Group) – 8-bit or more still pictures
- **ISO/IEC JTC1 SC29**
  - **WG 11: MPEG** (Moving Picture Experts Group) – Motion pictures
  - **WG 12: MHEG** (Multimedia-Hypermedia Experts Group) – Multi/Hyper-media exchange format

# Standards Organizations

- **CCITT** – Comité Consultatif International Télégraphique et Téléphonique (International Telegraph and Telephone Consultative Committee)
- **ITU** – International Telecommunication Union
- **ISO** – International Standardization Organization
- **IEC** – International Electrotechnical Commission

## Speech Coding Standards

Standards	Typical rates (Year)	Quality: MOS (1-5)
PCM	64 kbits/s (1972)	4.4 (PSTN)
G.721 ADPCM	32 kbits/s (1984)	4.1 (PSTN)
GSM	13 kbits/s (1991)	3.6 (Cellular)
G.728 (low delay)	16 kbits/s (1992)	4.0
IS-96A (CDMA)	0.8-8.55 kbits/s (1993)	~3.4 (Cellular)
G.729	8 kbits/s (1995)	~4.2
G.723.1	5.3, 6.3 kbits/s (1995)	~4.0
Half-rate GSM	5.6 kbits/s (1995)	~3.4 (Cellular)
AMR	5.15-12.2 kbits/s (1999)	~3.9 (3GPP)

MOS: Mean Opinion Score -- 5=excellent, 4=good, 3=fair, 2=poor, 1=bad

# MPEG Audio Standards

MPEG-1 Layer 1: 1992	(good: 256k /2ch)	1-2 chs
MPEG-1 Layer 2: 1992	(good: 192k /2ch)	1-2 chs
MPEG-1 Layer 3: 1993 (MP3)	(good: 128k /2ch)	1-2 chs
MPEG-2 Layers 1,2,3: 1994		1-5.1 chs
MPEG-2 AAC: 1997; Advanced Audio Coding (AAC)	(good: 96k /2ch)	1-96 chs
MPEG-4 (v1) AAC: 1999	(new tools)	1-96 chs
MPEG-4 Amd 1: (2003) Bandwidth extension (SBR -- Spectral Band Replication)	HE-AAC, AAC+ (good: 48k)	
MPEG-4 Amd 2: (2004) Parametric Audio extension → MPEG surround (MPEG-D 2006)	(good: 24k)	
MPEG-D: Unified Speech and Audio Coding (2011?)	MPEG surround + AMR (speech)	

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25

# Video Coding Standards

Standards	Typical rates	Applications
ITU-T (CCITT) H.261	128 384k bits/s	Videophone over ISDN
ISO MPEG-1 (11172-2)	1.2 Mbits/s	Video CD
ISO MPEG-2 (13818-2)	4–10 Mbits	Digital TV/HDTV
(ITU-T H.262)	20 Mbits/s	Over air/networks
ITU-T H.263	< 64k bits/s	Videophone
ISO MPEG-4 (14496-2)	Low/high-rates	Object-oriented
ISO MPEG-7 (15938)	Database	Content description
ITU-T H.263 v2	< 64k bits/s	PSTN/wireless Videophone
ITU-T H.264 (JVT,AVC)	< 40k bits/s	Net/wireless Videophone
ITU-T H.264 ext (SVC)	Multi-layer	Net/wireless streaming

ISDN: Integrated Services Digital Network

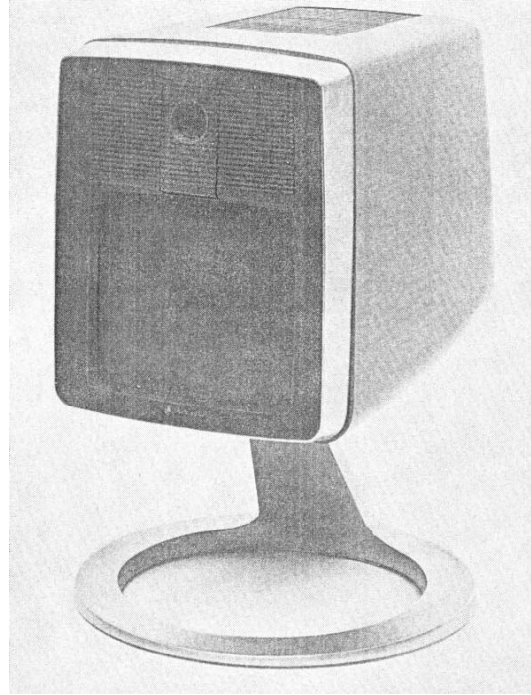
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26

## AT&T Picturephone®

- 1984, *Visual Communications Dept. of AT&T Bell Labs,*  
At a corner of a lab. shelf ...



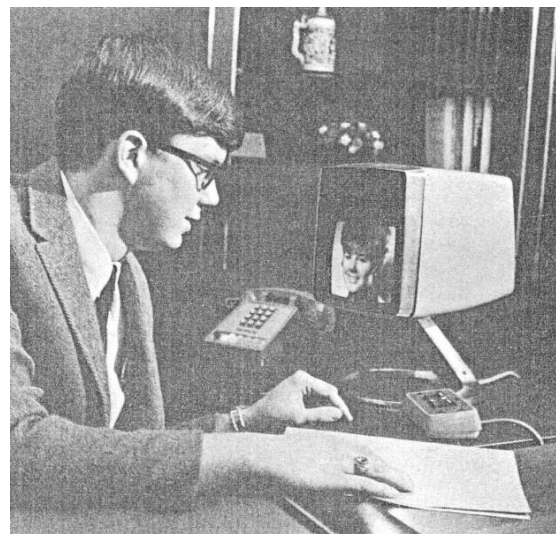
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31

## AT&T Picturephone (2)

- “Mod II” was developed and field-tested by AT&T Bell Labs around 1966-1969. Commercial service July 1, 1970. (*BSTJ*, Feb. 71)
- Digital: 275 pels x 250 lines; 6.312 Mb/s (T2 line)



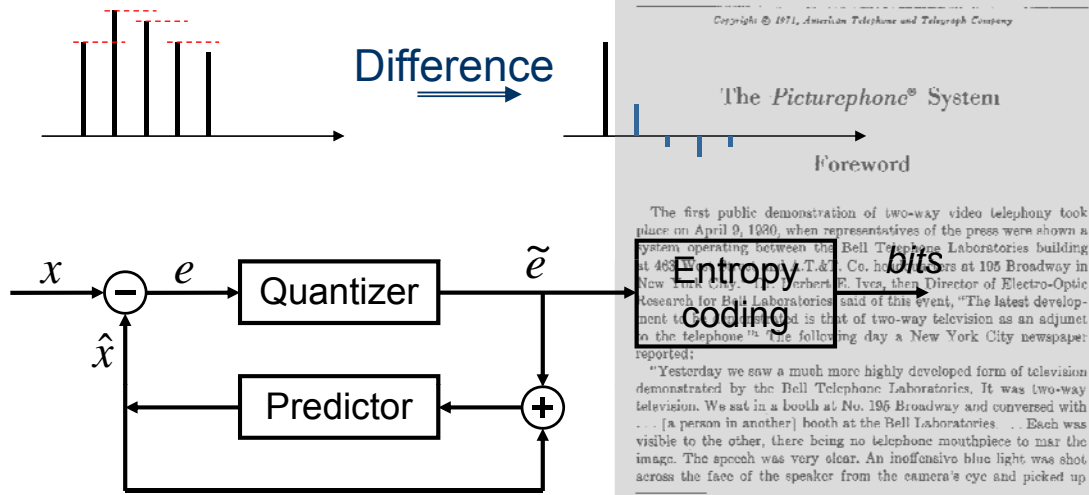
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32

# AT&T Picturephone (3)

- Image Compression Technique: **DPCM**  
(Differential Pulse Coded Modulation)



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33

## NCTU MPEG Activity

- Tihao Chiang (蔣迪豪), C.J. Tsai (蔡淳仁), Wen Peng (彭文孝) and H.-M. Hang (杭學鳴)
- Tihao Chiang : Co-editor, MPEG-4 Part 7 **Optimised Reference Software** (Done)
- C.J. Tsai : Co-editor, MPEG-21 Part 12 **Multimedia Test Bed for Resource Delivery** (Done)
- 100+ contributions (input and output documents) in the past 8 years. [Dr. Y.-S. Tung (童怡新), NTU; Prof. Chris Lee (李國君), NCKU]
- Example: Call for Proposal on Scalable Video Coding** (Feb. 2004) – 2 out of 14 proposals
- HEVC proposal: 2010.2** – one out of 27

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35

# MPEG Committee

- Convener: Leonardo Chiariglione
- Standards:
  - MPEG-1: done
  - MPEG-2: done
  - MPEG-4: done?!
  - MPEG-7: done?!
  - MPEG-21: done?
  - MPEG A,B,C,D,E: on-going



**MPEG-2: 1996 Emmy for Technical Excellence**

**AVC: 2008 ATAS Primetime Emmy Engineering Award**

**2009 Paired NATAS Tech & Eng Emmy Award**



## ISO/IEC 11172 MPEG-1

**MPEG - 1 1992 Coding of moving pictures and associated audio for digital storage media at up to about 1,5 Mbit/s**

Part 1 – MPEG-1 Systems

Part 2 – MPEG-1 Video for CD

Part 3 – MPEG-1 Audio (Layers I, II, and III)

Part 4 – Conformance

Part 5 – Software

# ISO/IEC 13818 MPEG-2

## MPEG - 2 1994 Generic coding of moving pictures and associated audio information

*1996 Emmy for technical excellence*



- Part 1 Systems
- Part 2 Video
- Part 3 Audio
- Part 4 Conformance
- Part 5 Technical Report
- Part 6 DSM CC - Digital Storage Media Cmd and Cntl
- Part 7 AAC - Advanced Audio Coding
- Part 9 RTI - Real Time Interface
- Part 10 Conformance Extensions
- Part 11 IPMP on MPEG-2 Systems

# ISO/IEC 14496 MPEG-4

## MPEG - 4 1998 Coding of audio-visual objects

- |   |  |
|---|--|
| Part 1 Systems  | Part 12 ISO Base Media File Format                     |
| Part 2 Visual   | Part 13 IPMP Extensions                                |
| Part 3 Audio  | Part 14 MP4 File Format                                |
| Part 4 Conformance                                      | Part 15 AVC File Format                                |
| Part 5 Reference Software                               | Part 16 Multimedia Animation Framework eXtension (AFX) |
| Part 6 Delivery Multimedia Integration Framework (DMIF) | Part 17 Streaming Text Format                          |
| <b>Part 7 Optimized Software</b>                        | Part 18 Font Compression and Streaming                 |
| Part 8 MPEG 4 on IP                                     | Part 19 Synthesized Streams                            |
| Part 9 Reference Hardware                               | Part 20 Lightweight Application Scene Representation   |
| <b>Part 10 Advanced Video Coding (AVC) (JVT, H.264)</b> | Part 21 MPEG-J Extension for rendering                 |
| Part 11 Scene Description and Application Engine        | Parts 22 --25  |



# ISO/IEC 15938 MPEG-7

## MPEG - 7 2001 Multimedia content description interface

- Part 1 Systems
- Part 2 DDL - Description definition language
- Part 3 Visual
- Part 4 Audio
- Part 5 Multimedia description schemes
- Part 6 Reference software
- Part 7 Conformance testing
- Part 8 Extraction and use of description
- Part 9 MPEG-7 Profiles
- Part 10 Schema Definition
- Part 11 Profile Schemas      Part 12 Query format

# ISO/IEC 21000 MPEG-21

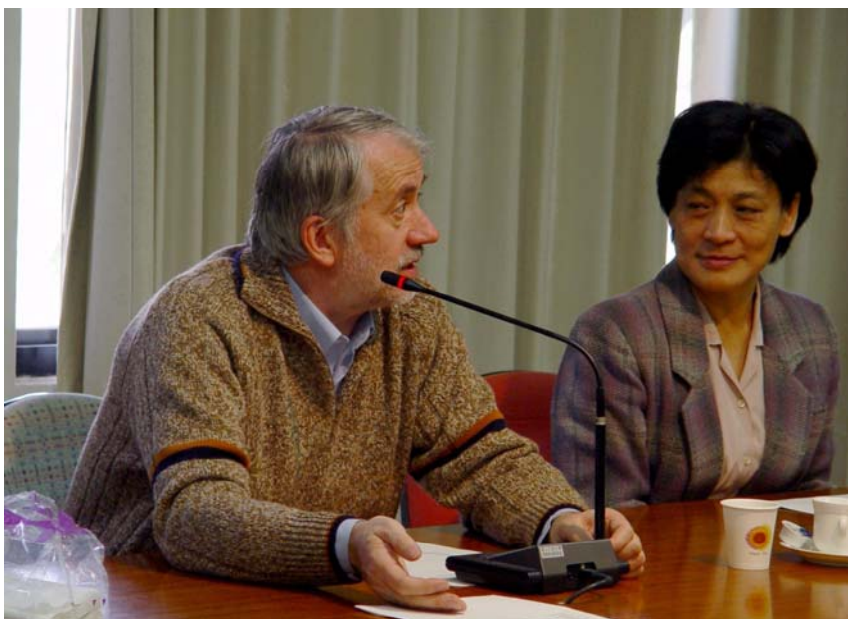
- Part 1 Vision, Technologies and Strategy
- Part 2 Digital Item Declaration (DID)
- Part 3 Digital Item Identification (DII)
- Part 4 Intellectual Property Management and Protection (IPMP)
- Part 5 Rights Expression Language (REL)
- Part 6 Rights Data Dictionary (RDD)
- Part 7 Digital Item Adaptation (DIA)
- Part 8 Reference Software
- Part 9 File Format
- Part 10 Digital Item Processing
- Part 11 Persistent Association
- Part 12 Multimedia Test Bed Resource Delivery
- Part 14 Conformance Testing
- Part 16 Binary Format
- Part 17 Fragment Identification for MPEG Media Types
- Part 18 Digital Item Streaming      Part 19 Media Value Chain Ontology



# MPEG-A,B,C,...

- **MPEG-A** (ISO/IEC 23000) Multimedia Application Formats
- **MPEG-B** (ISO/IEC 23001) MPEG Systems Technologies
- **MPEG-C** (ISO/IEC 23002) MPEG Video Technologies
- **MPEG-D** (ISO/IEC 23003) MPEG Audio Technologies
- **MPEG-E** (ISO/IEC 23004) Multimedia Middleware (M3W)
- **MPEG-H** High Efficiency Video Coding (HEVC)
- **MPEG-M** (ISO/IEC 23006) MPEG Extensible Middleware (MXM)
- **MPEG-U** (ISO/IEC 23007) Rich-Media User Interface
- **MPEG-V** (ISO/IEC 23005) Media Context and Control

## MPEG Chair Dr. Chiariglione at NCTU (2003.12)



■ <http://www.chiariglione.org>

# MPEG Meetings

- 4 meetings a year; 5+ days per meeting
- ~200 participants
- Over 200 companies
- Meetings are divided into groups



## The MPEG Process

- 1. Exploration**  
Search for new technology
- 2. Requirements**  
Establish work scope  
Call for Proposals
- 3. Competitive phase**  
Do Homework  
Response to CfP  
Initial technology selection
- 4. Collaborative phase**  
Core Experiments  
Working Drafts
- 5. Standardization**  
Ballots  
National Body Comments
- 6. Amendment**  
Adding new technology
- 7. Corrigenda**  
Corrective actions
- 8. New subdivisions**  
Add new non-compatible technology

# References

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- (2) K.R. Rao and J.J. Hwang, *Techniques and Standards for Image, Video, and Audio Coding*, Prentice-Hall, 1996.
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