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# Modulation II

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# Interference for Linear Modulation

Like to study the behavior of AM and FM systems from single-tone interference

Assume a single tone interference:  $A_i \cos[2\pi(f_c + f_i)t]$

Interference in linear modulation

$$\begin{cases} \text{Message: } \frac{A_m}{A_c} \cos(2\pi f_m t) \\ \text{Interference: } A_i \cos[2\pi(f_c + f_i)t] \end{cases}$$

$$\Rightarrow x_c(t) = A_c \cos(2\pi f_c t) + A_i \cos[2\pi(f_c + f_i)t] + A_m \cos(2\pi f_m t) \cos(2\pi f_c t)$$

1. Coherent detection: linear detector

$$y_D(t) = A_m \cos(2\pi f_m t) + A_i \cos(2\pi f_i t)$$

(interference is additive, not a big problem)

2. Envelope detection: nonlinear detector

$$x_r(t) = \text{Re} \left\{ \left( A_c + A_i e^{j2\pi f_i t} + \frac{1}{2} A_m e^{j2\pi f_m t} + \frac{1}{2} A_m e^{-j2\pi f_m t} \right) e^{j2\pi f_c t} \right\}$$

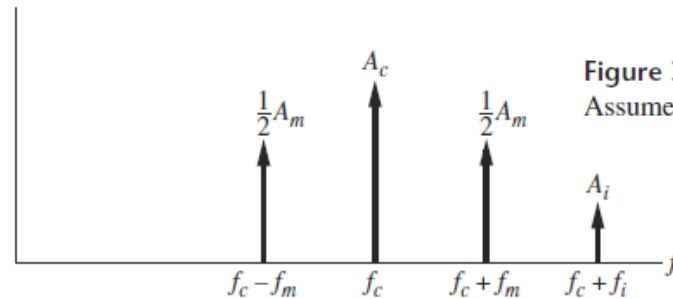


Figure 3.22  
Assumed received-signal spectrum.

$$x_c(t) = A_c [1 + am_n(t)] \cos(2\pi f_c t)$$

# Interference for Linear Modulation

$$x_r(t) = \text{Re} \left\{ \left( A_c + A_i e^{j2\pi f_i t} + \frac{1}{2} A_m e^{j2\pi f_m t} + \frac{1}{2} A_m e^{-j2\pi f_m t} \right) e^{j2\pi f_c t} \right\}$$

Hard to analyze output of nonlinear detector  $\Rightarrow$  use phasor

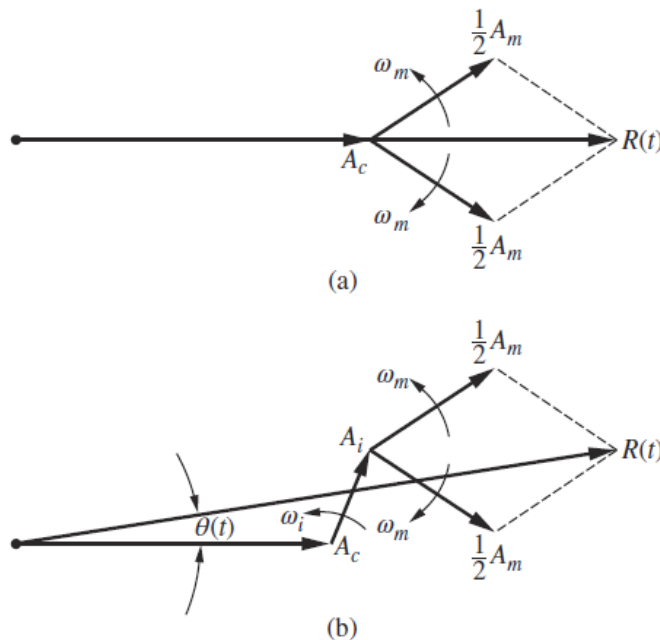


Figure 3.23

Phasor diagrams illustrating interference.

(a) Phasor diagram without interference.

(b) Phasor diagram with interference.

# Interference for Linear Modulation

Analysis can be simplified by looking at different scenarios. First rewrite  $x_r(t)$  as

$$\begin{aligned}x_r(t) &= A_c \cos(2\pi f_c t) + A_m \cos(2\pi f_m t) \cos(2\pi f_c t) + A_i \cos[2\pi(f_c + f_i)t] \\&= A_c \cos(2\pi f_c t) + A_m \cos(2\pi f_m t) \cos(2\pi f_c t) \\&\quad + A_i [\cos(2\pi f_c t) \cos(2\pi f_i t) - \sin(2\pi f_c t) \sin(2\pi f_i t)] \\&= [A_c + A_m \cos(2\pi f_m t) + A_i \cos(2\pi f_i t)] \cos(2\pi f_c t) \\&\quad - A_i \sin(2\pi f_c t) \sin(2\pi f_i t)\end{aligned}$$



# Interference for Linear Modulation

Case (i): If  $A_c \gg A_i$  (typical case)

$$x_r(t) = [A_c + A_m \cos(2\pi f_m t) + A_i \cos(2\pi f_i t)] \cos(2\pi f_c t)$$

$$\text{Envelope of } x_r(t) = A_c + A_m \cos(2\pi f_m t) + A_i \cos(2\pi f_i t)$$

$$y_D(t) = A_m \cos(2\pi f_m t) + A_i \cos(2\pi f_i t) \text{ (after DC term is blocked)}$$

Effective carrier frequency is  $f_c$

Same as coherent detector

Case (ii): If  $A_c \ll A_i$

$$\begin{aligned} x_r(t) &= A_c \cos(2\pi f_c t) + A_m \cos(2\pi f_m t) \cos(2\pi f_c t) + A_i \cos[2\pi(f_c + f_i)t] \\ &= A_c \cos[2\pi(f_c + f_i - f_i)t] + A_i \cos[2\pi(f_c + f_i)t] + A_m \cos(2\pi f_m t) \cos[2\pi(f_c + f_i - f_i)t] \\ &= A_c \left\{ \cos[2\pi(f_c + f_i)t] \cos(2\pi f_i t) + \sin[2\pi(f_c + f_i)t] \sin(2\pi f_i t) \right\} \\ &\quad + A_i \cos[2\pi(f_c + f_i)t] + A_m \cos(2\pi f_m t) \left\{ \cos[2\pi(f_c + f_i)t] \cos(2\pi f_i t) \right. \\ &\quad \left. + \sin[2\pi(f_c + f_i)t] \sin(2\pi f_i t) \right\} \end{aligned}$$



# Interference for Linear Modulation

Case (ii): If  $A_c \ll A_i$  (cont)

$$x_r(t) = \left[ A_i + A_c \cos(2\pi f_i t) + A_m \cos(2\pi f_m t) \cos(2\pi f_i t) \right] \cos[2\pi(f_c + f_i)t] \\ + \left[ A_c \sin(2\pi f_i t) + A_m \cos(2\pi f_m t) \sin(2\pi f_i t) \right] \sin[2\pi(f_c + f_i)t]$$

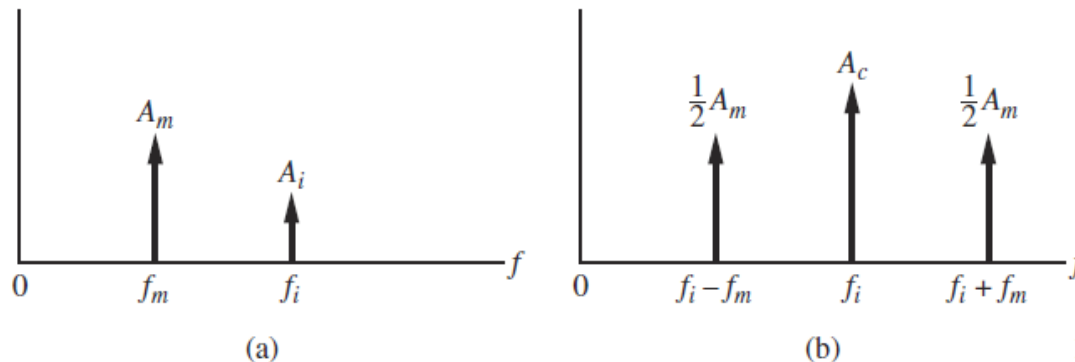
Since  $A_c \ll A_i$ , last term is negligible, then

$$\approx \left[ A_i + A_c \cos(2\pi f_i t) + A_m \cos(2\pi f_m t) \cos(2\pi f_i t) \right] \cos[2\pi(f_c + f_i)t]$$

Envelope of  $x_r(t) \approx A_i + A_c \cos(2\pi f_i t) + A_m \cos(2\pi f_m t) \cos(2\pi f_i t)$

$$\Rightarrow y_D(t) \approx A_c \cos(2\pi f_i t) + A_m \cos(2\pi f_m t) \cos(2\pi f_i t) \quad (\text{assuming DC term blocked})$$

Message is lost! Effective carrier frequency becomes  $f_c + f_i$



This is called threshold effect; when the interference  $>$  a threshold, message is lost

Figure 3.24

Envelope detector output spectra. (a)  $A_c \gg A_i$ . (b)  $A_c \ll A_i$ .

# Interference for Angle Modulation

Assume interference tone at  $f_c + f_i$

Assume an unmodulated carrier + interference, input to discriminator:

$$x_t(t) = A_c \cos(2\pi f_c t + \phi(t)) + A_i \cos[2\pi(f_c + f_i)t]$$

assuming  $\phi(t) = 0$

$$\begin{aligned} &= A_c \cos(2\pi f_c t) + A_i \cos(2\pi f_i t) \cos(2\pi f_c t) - A_i \sin(2\pi f_i t) \sin(2\pi f_c t) \\ &= [A_c + A_i \cos(2\pi f_i t)] \cos(2\pi f_c t) - [A_i \sin(2\pi f_i t)] \sin(2\pi f_c t) \\ &= R(t) \cos(2\pi f_c t + \psi(t)), \end{aligned}$$

$$\text{where } \left\{ \begin{aligned} R(t) &= \sqrt{[A_c + A_i \cos(2\pi f_i t)]^2 + [A_i \sin(2\pi f_i t)]^2} \\ \psi(t) &= \tan^{-1} \left( \frac{A_i \sin(2\pi f_i t)}{A_c + A_i \cos(2\pi f_i t)} \right) \end{aligned} \right.$$

# Interference for Angle Modulation

How does the interference behave after demodulation?

Case (i): If  $A_c \gg A_i$

$$\left\{ \begin{array}{l} R(t) \approx A_c + A_i \cos(2\pi f_i t) \\ \psi(t) \approx \tan^{-1} \left( \frac{A_i \sin(2\pi f_i t)}{A_c} \right) \approx \frac{A_i \sin(2\pi f_i t)}{A_c} \end{array} \right.$$

Assume ideal discriminator that extracts  $\psi(t)$ ,  $\frac{d\psi(t)}{dt}$

$$\left\{ \begin{array}{l} \text{For PM: } y_D(t) = K_D \psi(t) = K_D \frac{A_i}{A_c} \sin(2\pi f_i t) \\ \text{For FM: } y_D(t) = \frac{1}{2\pi} K_D \frac{d\psi(t)}{dt} = \frac{1}{2\pi} K_D \frac{d}{dt} \left( \frac{A_i \sin(2\pi f_i t)}{A_c} \right) \\ \quad = K_D \frac{A_i}{A_c} f_i \cos(2\pi f_i t) \end{array} \right.$$

When  $f_i$  is small, interference in FM is smaller than that in PM.

FM: Values of  $f_i > W$  is removed by LPF





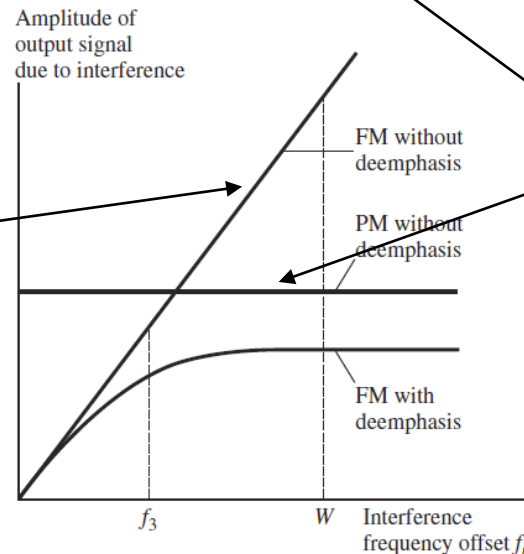
# Interference for Angle Modulation

In summary, for  $A_c \gg A_i$ , the single tone  $(f_c + f_i)$  interference at the demodulator output:

$$\begin{cases} \text{PM: } K_D \frac{A_i}{A_c} \sin(2\pi f_i t) \\ \text{FM: } K_D \frac{A_i}{A_c} f_i \cos(2\pi f_i t) \end{cases}$$

If they all have the same constants, then  $K_D \frac{A_i}{A_c} \text{ (PM)} = K_D \frac{A_i}{A_c} \text{ (FM)}$

Amplitude of interference increases linearly with  $f_i$  for FM



**Figure 4.31**

Amplitude of discriminator output due to interference.

# Interference for Angle Modulation

Case (ii): If  $A_c \ll A_i$ , it is difficult to analyze. Can gain insight by looking at phasor

Again, an unmodulated carrier + interference, input to discriminator:

$$x_i(t) = A_c \cos(2\pi f_c t + \phi(t)) + A_i \cos[2\pi(f_c + f_i)t]$$

$$\Rightarrow x_r(t) = \text{Re}\left\{\left(A_c + A_i e^{j2\pi f_i t}\right) e^{j2\pi f_c t}\right\} \quad (\text{assume } \phi(t) = 0 \text{ for unmodulated carrier})$$

Carrier phase: reference = 0

Interference phase:  $\theta(t) = 2\pi f_i t$

Resultant phase:  $\psi(t)$

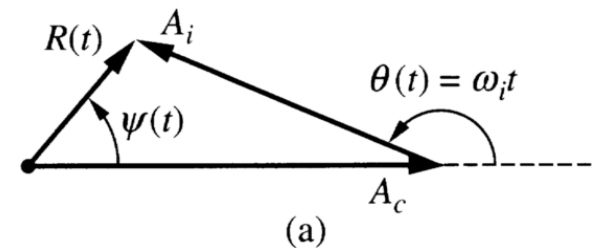
(1)  $\theta(t) \approx 0$

$$s \approx A_i \theta(t) \quad (\text{since } A_i \sin \theta(t) \text{ and } \sin \theta(t) \approx \theta(t) \text{ for small } \theta(t))$$

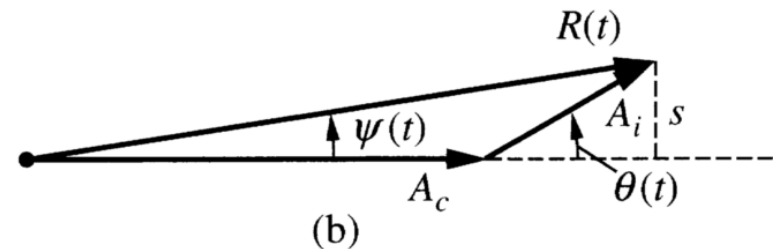
$$\approx (A_c + A_i) \psi(t)$$

$$\Rightarrow \psi(t) \approx \frac{A_i}{A_c + A_i} \theta(t) = \frac{A_i}{A_c + A_i} 2\pi f_i t$$

$$\text{Since } y_D(t) = \frac{K_D}{2\pi} \frac{d\psi(t)}{dt}, \quad y_D(t) = K_D \frac{A_i}{A_c + A_i} f_i$$



Phasor diagram for carrier plus single-tone interference. (a) Phasor diagram for general  $\theta(t)$ . (b) Phasor diagram for  $\theta(t) \approx 0$ .



# Interference for Angle Modulation

(2)  $\theta(t) \approx \pi$ ,  $A_i \lesssim A_c$  ( $\lesssim$  defined as slightly less)

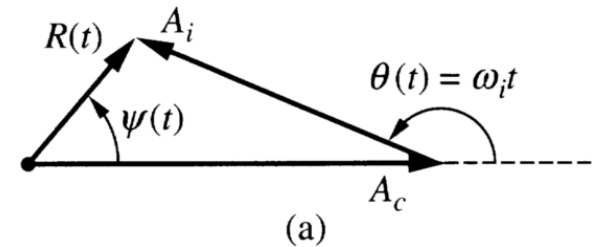
$$s = A_i \sin(\pi - \theta(t))$$

$$\approx A_i (\pi - \theta(t))$$

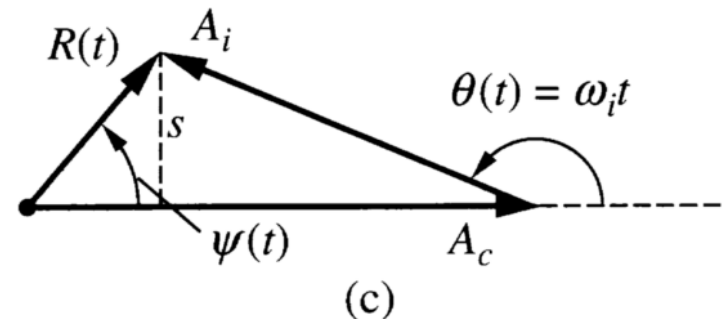
$$\approx (A_c - A_i) \psi(t) \quad (\psi(t) = \tan\left(\frac{s}{A_c - A_i}\right) \approx \frac{s}{A_c - A_i} \text{ for small } \psi(t))$$

$$\Rightarrow \psi(t) \approx \frac{s}{A_c - A_i} \approx \frac{A_i (\pi - \theta(t))}{A_c - A_i} = \frac{A_i (\pi - 2\pi f_i t)}{A_c - A_i}$$

$$\text{Since } y_D(t) = \frac{K_D}{2\pi} \frac{d\psi(t)}{dt}, \quad y_D(t) = -K_D \frac{A_i}{A_c - A_i} f_i$$



Phasor diagram for carrier plus single-tone interference. (a) Phasor diagram for general  $\theta(t)$ . (c) Phasor diagram for  $\theta(t) \approx \pi$  and  $A_i \lesssim A_c$ .



# Interference for Angle Modulation

(3)  $\theta(t) \approx \pi$ ,  $A_i \gtrsim A_c$  ( $\gtrsim$  defined as slightly less)

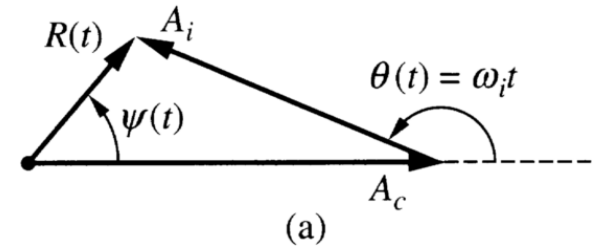
$$s = A_i \sin(\pi - \theta(t))$$

$$\approx A_i (\pi - \theta(t))$$

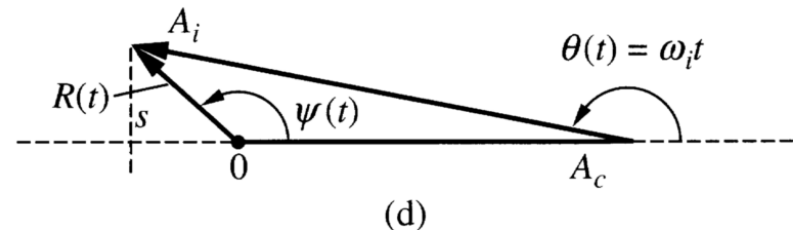
$$\approx (A_i - A_c) (\pi - \psi(t))$$

$$\begin{aligned} \Rightarrow \psi(t) &\approx \pi - \frac{s}{A_i - A_c} \approx \pi - \frac{A_i (\pi - \theta(t))}{A_i - A_c} \\ &= \pi - \frac{A_i (\pi - 2\pi f_i t)}{A_i - A_c} \end{aligned}$$

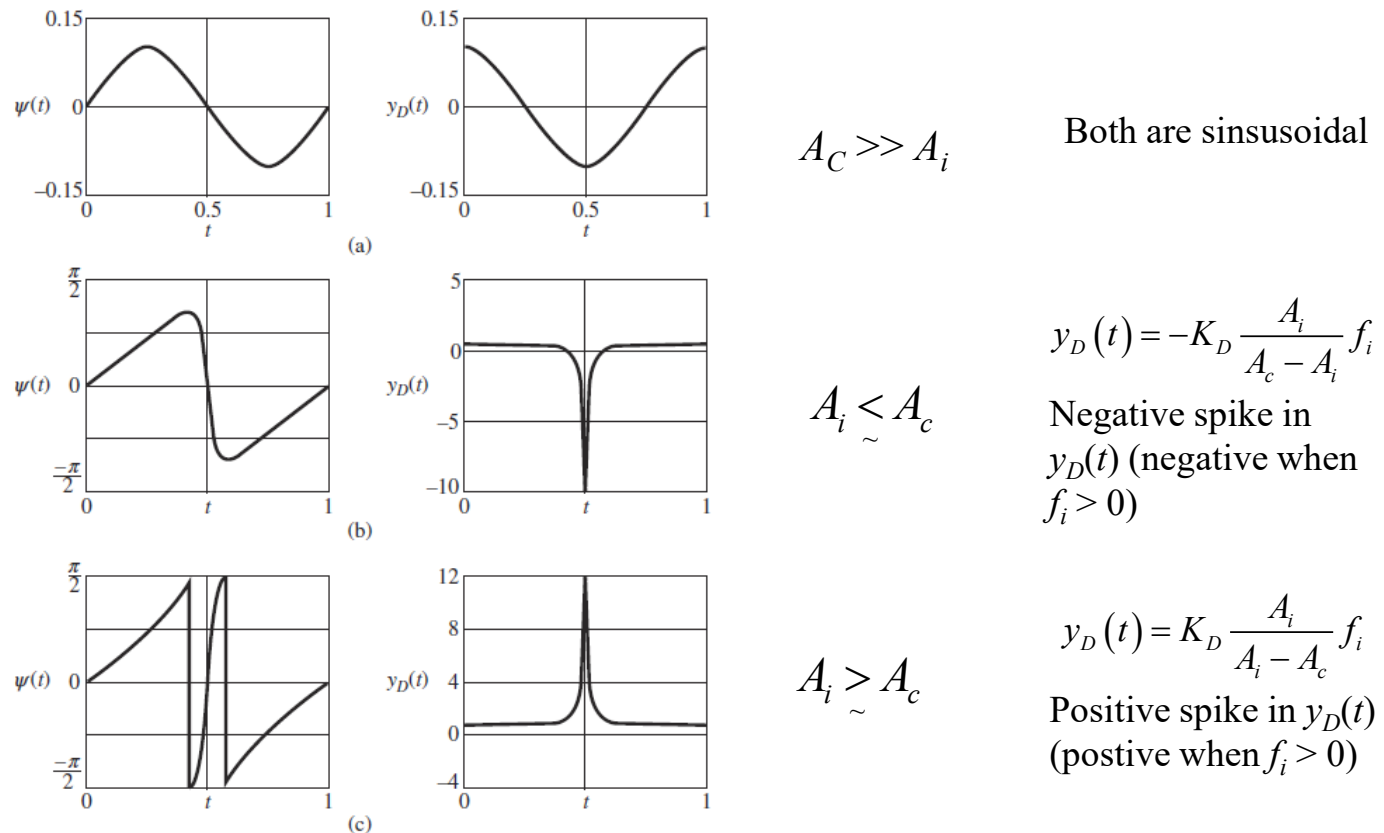
$$\text{Since } y_D(t) = \frac{K_D}{2\pi} \frac{d\psi(t)}{dt}, \quad y_D(t) = K_D \frac{A_i}{A_i - A_c} f_i$$



Phasor diagram for carrier plus single-tone interference. (a) Phasor diagram for general  $\theta(t)$ . (d) Phasor diagram for  $\theta(t) \approx \pi$  and  $A_i \gtrsim A_c$ .



# Demodulated Waveform for Angle Modulation with Interference



**Figure 4.30**  
Phase deviation and discriminator output due to interference. (a) Phase deviation and discriminator output for  $A_i = 0.1A_c$ . (b) Phase deviation and discriminator output for  $A_i = 0.9A_c$ . (c) Phase deviation and discriminator output for  $A_i = 1.1A_c$ .

# Interference for Angle Modulation

- Recall for FM, output of demodulator from a single-tone interferer at  $f_c + f_i$  is

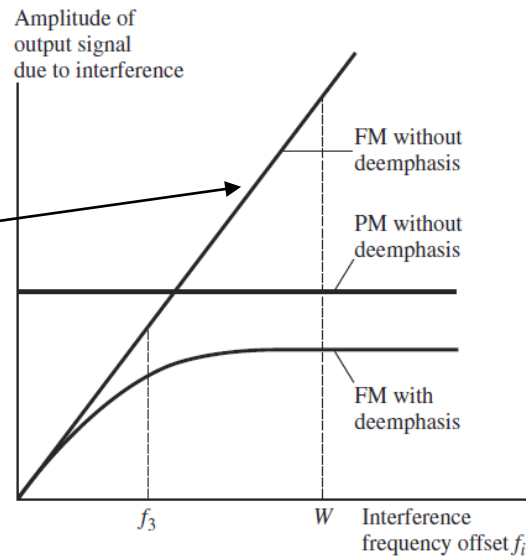
$$y_D(t) = K_D \frac{A_i}{A_c} f_i \cos(2\pi f_i t), \text{ hence amplitude of the interference grows linearly with } f_i$$

- When  $A_i \ll A_c$ , interference on FM for large  $f_i$  can be reduced by using a LPF deemphasis filter at output of FM discriminator
  - 3dB frequency of filter usually less than message signal bandwidth  $W$
  - reduces interference at large  $f_i$  so amplitude of output of modulator is constant at large  $f_i$
- Problem: at low freq, de-emphasis filter will distort the message (i.e.  $f_3 < W$ )
  - can be avoided by using a highpass preemphasis filter
  - transfer function equals reciprocal of the deemphasis filter



# Interference for Angle Modulation

Amplitude of interference increases linearly with  $f_i$



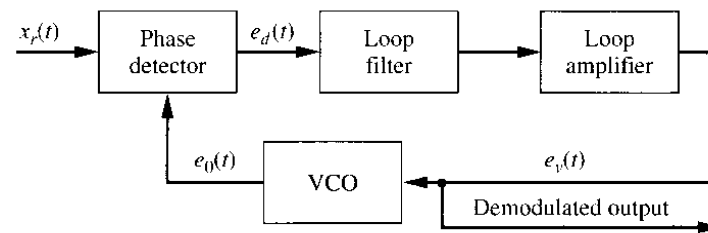
**Figure 4.31**  
Amplitude of discriminator output due to interference.



**Figure 4.32**  
Frequency modulation system with pre-emphasis and de-emphasis.

# Phase-Locked Loop (PLL) and Feedback Demodulators

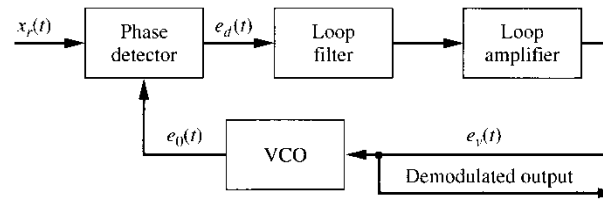
- Tracks the instantaneous angle (phase and frequency) of the input signal. Includes
  - Phase detector (comparator)
  - Loop filter
  - Loop amplifier
  - VCO (voltage-controlled oscillator)
- Basic operation
  - Adjust the phase of the local VCO output ( $e_o(t)$ ) to match the input ( $x_r(t)$ ) signal phase



**Figure 3.45**  
Phase-locked loop.



# Phase Detector



**Figure 3.45**  
Phase-locked loop.

Input:  $x_r(t) = A_c \cos[2\pi f_c t + \phi(t)]$

VCO output:  $e_0(t) = A_v \sin[2\pi f_c t + \theta(t)]$

Goal:  $\theta(t) \xrightarrow{\text{matches}} \phi(t)$

$\Rightarrow$  Developing a relationship between  $\theta(t)$  (output) and  $\phi(t)$  (input) is equivalent to knowing the relationship between  $e_0(t)$  and  $x_r(t)$

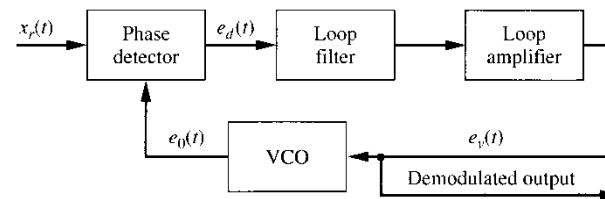
Phase detector output:  $e_d(t) = g(\phi(t) - \theta(t))$ ,

$g(\cdot)$  is a characteristic function of the phase detector

(1) ideal - saw-tooth:  $K_d(\phi(t) - \theta(t))$

(2)  $\sin(\cdot)$ :  $e_d(t) = \frac{A_c A_v K_d}{2} \sin[\phi(t) - \theta(t)]$

# VCO



**Figure 3.45**  
Phase-locked loop.

Output of phase detector is filtered, amplified, and applied to VCO.

VCO: a frequency modulator - the frequency deviation of its output,

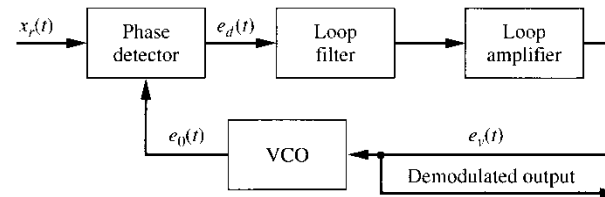
$\frac{d\theta(t)}{dt}$ , is proportional to the VCO input, i.e.

$$\frac{d\theta(t)}{dt} = K_v e_v(t) \text{ rad/s, } K_v : \text{ VCO constant}$$

$$\Rightarrow \theta(t) = K_v \int^t e_v(\alpha) d\alpha$$

$$e_v(t) \longrightarrow \boxed{\text{VCO}} \longrightarrow e_0(t) = A_v \sin[2\pi f_c t + \theta(t)]$$

# PLL



**Figure 3.45**  
Phase-locked loop.

One of the I/O relationships inside PLL:  $E_v(s) = F(s) E_d(s)$ ,

$F(s)$ : transfer function of loop filter

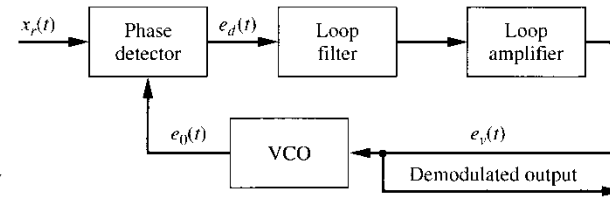
$$\Leftrightarrow e_v(\alpha) = \int^{\alpha} e_d(\lambda) f(\alpha - \lambda) d\lambda$$

$$\theta(t) = K_v \int^t e_v(\alpha) d\alpha$$

$$\text{Assuming } e_d(t) = \frac{A_c A_v K_d}{2} \sin[\phi(t) - \theta(t)] = \frac{A_c A_v K_d}{2} \sin[\psi(t)]$$

$$\begin{aligned} \Rightarrow \theta(t) &= K_v \int^t e_v(\alpha) d\alpha = K_v \int^t \int^{\alpha} e_d(\lambda) f(\alpha - \lambda) d\lambda d\alpha \\ &= \frac{A_c A_v K_d K_v}{2} \int^t \int^{\alpha} \sin[\psi(\lambda)] f(\alpha - \lambda) d\lambda d\alpha \\ &= K_t \int^t \int^{\alpha} \sin[\psi(\lambda)] f(\alpha - \lambda) d\lambda d\alpha \end{aligned}$$

# PLL



**Figure 3.45**  
Phase-locked loop.

$$\theta(t) = K_t \int^t \int^\alpha \sin[\psi(\lambda)] f(\alpha - \lambda) d\lambda d\alpha$$

So, if phase error  $\psi(t) \triangleq \phi(t) - \theta(t)$  is small, then

$$\theta(t) = K_t \int^t \int^\alpha \psi(\lambda) f(\alpha - \lambda) d\lambda d\alpha$$

i.e. PLL becomes a linear feedback system.

$$\text{If } \theta(t) \approx \phi(t) \Rightarrow \frac{d\theta(t)}{dt} \approx \frac{d\phi(t)}{dt}$$

i.e. VCO freq deviation is a good estimate of the input freq deviation.

$$\text{Recall FM: } x_c(t) = A_c \cos \left[ 2\pi f_c t + \underbrace{2\pi \int^t m(\alpha) d\alpha}_{\phi(t)} \right]$$

$$\therefore \frac{d\theta(t)}{dt} \propto m(t)$$

# Summary of PLL

## Nonlinear PLL model

$$\theta(t) = K_t \int^t \int^\alpha \sin[\psi(\lambda)] f(\alpha - \lambda) d\lambda d\alpha$$

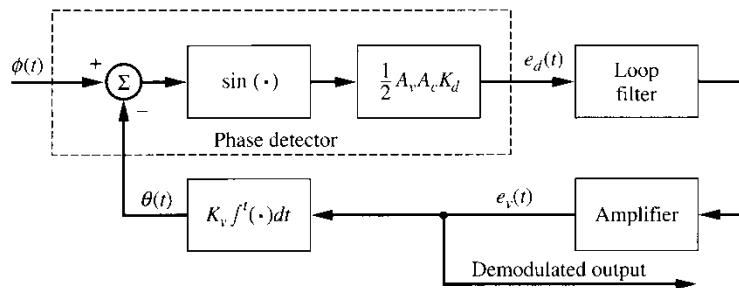


Figure 3.46  
Nonlinear PLL model.

## Linear PLL model

$$\theta(t) = K_t \int^t \int^\alpha \sin[\psi(\lambda)] f(\alpha - \lambda) d\lambda d\alpha$$

$$\text{If } \theta(t) \approx \phi(t) \Rightarrow \sin[\psi(t)] \approx \psi(t)$$

$$\theta(t) = K_t \int^t \int^\alpha \psi(\lambda) f(\alpha - \lambda) d\lambda d\alpha$$

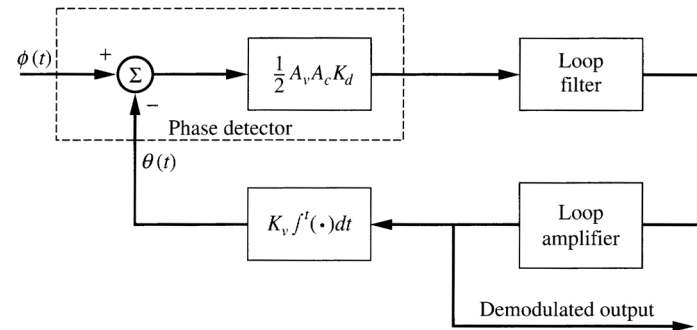


Figure 3.47  
Linear PLL model.

Divide analysis into 2 parts:

- Tracking Mode using linear model (steady-state response)
- Acquisition Mode using nonlinear model (transient response)

# PLL Tracking Mode: Linear Model

Linear PLL model

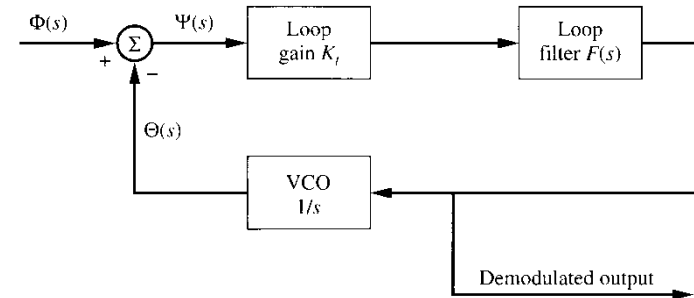
$$\begin{aligned}\theta(t) &= K_t \int^t \int^\alpha [\phi(\lambda) - \theta(\lambda)] f(\alpha - \lambda) d\lambda d\alpha \\ &= K_t \int^t [\phi(\alpha) - \theta(\alpha)] * f(\alpha) d\alpha\end{aligned}$$

$$\Leftrightarrow \Theta(s) = K_t [\Phi(s) - \Theta(s)] \frac{F(s)}{s} \quad (\text{assuming zero initial cond.})$$

$$\Rightarrow \text{transfer function for PLL: } H(s) \triangleq \frac{\Theta(s)}{\Phi(s)} = \frac{K_t F(s)}{s + K_t F(s)}$$

transfer function relating phase error to input phase:

$$G(s) = \frac{\Psi(s)}{\Phi(s)} = \frac{\Phi(s) - \Theta(s)}{\Phi(s)} = 1 - H(s) = \frac{s}{s + K_t F(s)}$$



**Figure 3.48**  
Linear PLL model in the frequency domain.

# PLL Tracking Mode: Linear Model

$$H(s) \triangleq \frac{\Theta(s)}{\Phi(s)} = \frac{K_t F(s)}{s + K_t F(s)}$$

$$G(s) = \frac{\Psi(s)}{\Phi(s)} = \frac{\Phi(s) - \Theta(s)}{\Phi(s)} = 1 - H(s) = \frac{s}{s + K_t F(s)}$$

$$\Rightarrow \Psi(s) = \Phi(s) G(s)$$

$$\text{Recall } \frac{t^{n-1}}{(n-1)!} e^{-\alpha t} u(t) \Leftrightarrow \frac{1}{(s + \alpha)^n}.$$

To study the steady-state error (response), assume input  $\phi(t)$  has the general form

$$\phi(t) = \pi R t^2 + 2\pi f_{\Delta} t + \theta_0, \quad t > 0$$

$$\Leftrightarrow \Phi(s) = \frac{2\pi R}{s^3} + \frac{2\pi f_{\Delta}}{s^2} + \frac{\theta_0}{s},$$

$R$  : frequency ramp (Hz/s),  $f_{\Delta}$  : frequency step.

$$\text{Also, the freq. deviation: } \frac{1}{2\pi} \frac{d\phi(t)}{dt} = R t + f_{\Delta}, \quad t > 0$$



# PLL Tracking Mode: Linear Model

Steady-state error (response) can be obtained using final value theorem

$$\left( \lim_{t \rightarrow \infty} x(t) = \lim_{s \rightarrow 0} sX(s) \right).$$

$$\Rightarrow \psi_{ss} \triangleq \lim_{t \rightarrow \infty} \psi(t) = \lim_{s \rightarrow 0} s\Psi(s) = \lim_{s \rightarrow 0} s[\Phi(s)G(s)]$$

$$= \lim_{s \rightarrow 0} s \left[ \frac{2\pi R}{s^3} + \frac{2\pi f_{\Delta}}{s^2} + \frac{\theta_0}{s} \right] G(s)$$

$$= \lim_{s \rightarrow 0} s \left[ \frac{2\pi R}{s^3} + \frac{2\pi f_{\Delta}}{s^2} + \frac{\theta_0}{s} \right] \left[ \frac{s}{s + K_t F(s)} \right]$$

Consider different loop filter transfer function  $F(s)$ .

Generates

- 1<sup>st</sup> order PLL
- 2<sup>nd</sup> order PLL
- 3<sup>rd</sup> order PLL

**Table 3.4 Loop Filter Transfer Functions**

PLL order	Loop filter transfer function, $F(s)$
1	1
2	$1 + a/s = (s + a)/s$
3	$1 + a/s + b/s^2 = (s^2 + as + b)/s^2$





# PLL Tracking Mode: Linear Model

Note 3<sup>rd</sup> order  $F(s) = \frac{1}{s^2}(s^2 + as + b)$  is the most general filter

If  $a = b = 0 \Rightarrow F(s) = 1$  (1<sup>st</sup> order  $F(s)$ )

If  $a \neq 0, b = 0 \Rightarrow F(s) = \frac{1}{s^2}(s^2 + as) = \frac{1}{s}(s + a)$  (2<sup>nd</sup> order  $F(s)$ )

Since 3<sup>rd</sup> order  $F(s)$ :  $G(s) = \frac{s}{s + K_i F(s)} = \frac{s^3}{s^3 + K_i s^2 + K_i a s + K_i b}$

$$\begin{aligned}\psi_{ss} &= \lim_{s \rightarrow 0} s \Psi(s) = \lim_{s \rightarrow 0} s [\Phi(s) G(s)] \\ &= \lim_{s \rightarrow 0} s \left[ \frac{2\pi R}{s^3} + \frac{2\pi f_\Delta}{s^2} + \frac{\theta_0}{s} \right] G(s) \\ &= \lim_{s \rightarrow 0} s \left[ \frac{2\pi R}{s^3} + \frac{2\pi f_\Delta}{s^2} + \frac{\theta_0}{s} \right] \left[ \frac{s^3}{s^3 + K_i s^2 + K_i a s + K_i b} \right] \\ &= \lim_{s \rightarrow 0} \frac{s(\theta_0 s^2 + 2\pi f_\Delta s + 2\pi R)}{s^3 + K_i s^2 + K_i a s + K_i b}\end{aligned}$$



# 1<sup>st</sup> order PLL Tracking Mode: Linear Model ( $F(s) = 1$ )

Case 1: 1<sup>st</sup> order PLL ( $F(s)=1$ )

$$\begin{aligned} H(s) &\triangleq \frac{\Theta(s)}{\Phi(s)} = \frac{K_t F(s)}{s + K_t F(s)} \\ &= \frac{K_t}{s + K_t} \end{aligned}$$

$$\Leftrightarrow h(t) = K_t e^{-K_t t} u(t)$$

$$\lim_{K_t \rightarrow \infty} K_t e^{-K_t t} u(t) = \delta(t)$$

$\Rightarrow$  For large gain,  $\theta(t) \approx \phi(t)$ .

Note:

PLL serves as a demodulator for angle-modulated signal

FM: VCO input is proportional to  $\frac{d\theta(t)}{dt}$ , i.e. the freq deviation of the PLL input signal

PM: Integrate VCO input to obtain demodulated output



# 1<sup>st</sup> order PLL Tracking Mode: Linear Model ( $F(s) = 1$ )

Steady-state phase error:

$$\text{Recall } \Theta(s) = K_t \Psi(s) \frac{F(s)}{s}$$

$$\Rightarrow \Theta(s) = K_t \Psi(s) \frac{1}{s}$$

$$\Rightarrow \Theta(s) = \frac{K_t}{s + K_t} \Phi(s) = K_t \Psi(s) \frac{1}{s}$$

General form of input to PLL

$$\phi(t) = \pi R t^2 + 2\pi f_{\Delta} t + \theta_0, \quad t > 0$$

$$\Leftrightarrow \Phi(s) = \frac{2\pi R}{s^3} + \frac{2\pi f_{\Delta}}{s^2} + \frac{\theta_0}{s},$$

$R$  : frequency ramp (Hz/s),  $f_{\Delta}$  : frequency step.

$$\Rightarrow \Psi(s) = \frac{s}{s + K_t} \Phi(s)$$

$$\text{If } \Phi(s) = \frac{\theta_0}{s} \Rightarrow \Psi(s) = \frac{\theta_0}{s + K_t}$$

$$\psi_{ss} = \lim_{s \rightarrow 0} s \Psi(s)$$

$$= \lim_{s \rightarrow 0} \frac{s \theta_0}{s + K_t} = 0$$

# Summary of Steady-State Errors

**Table 3.5 Steady-State Errors**

PLL order	$\theta_0 \neq 0$ $f_\Delta = 0$ $R = 0$	$\theta_0 \neq 0$ $f_\Delta \neq 0$ $R = 0$	$\theta_0 \neq 0$ $f_\Delta \neq 0$ $R \neq 0$
1 ( $a = 0, b = 0$ )	0	$2\pi f_\Delta / K_t$	$\infty$
2 ( $a \neq 0, b = 0$ )	0	0	$2\pi R / K_t$
3 ( $a \neq 0, b \neq 0$ )	0	0	0

# 1<sup>st</sup> order PLL Tracking Mode: Linear Model Example

Let  $m(t) = Au(t)$ , so that  $x_c(t) = A_c \cos \left[ 2\pi f_c t + k_f A \int^t u(\alpha) d\alpha \right]$ .

What is the demodulated output using a 1<sup>st</sup> order PLL?

Recall that  $H(s) = \frac{\Theta(s)}{\Phi(s)} = \frac{K_t}{s + K_t}$ .

Let the input to PLL be  $u(t)$  (recall  $L\{u(t)\} = 1/s$ ):

$$\phi(t) = Ak_f \int^t u(\alpha) d\alpha \Leftrightarrow \Phi(s) = \frac{Ak_f}{s^2}$$

$$\Rightarrow \Theta(s) = \frac{Ak_f}{s^2} \frac{K_t}{s + K_t}$$

$$\text{Recall } \theta(t) = K_v \int^t e_v(\alpha) d\alpha \Rightarrow \frac{d\theta(t)}{dt} = K_v e_v(t)$$

General form of input to PLL

$$\phi(t) = \pi R t^2 + 2\pi f_\Delta t + \theta_0, \quad t > 0$$

$$\Leftrightarrow \Phi(s) = \frac{2\pi R}{s^3} + \frac{2\pi f_\Delta}{s^2} + \frac{\theta_0}{s},$$

$R$  : frequency ramp (Hz/s),

$f_\Delta$  : frequency step.

# 1<sup>st</sup> order PLL Tracking Mode: Linear Model Example

$$\Leftrightarrow E_v(s) = \frac{s}{K_v} \Theta(s) = \frac{s}{K_v} \frac{Ak_f}{s^2} \frac{K_t}{s + K_t} = \frac{Ak_f}{K_v} \frac{K_t}{s(s + K_t)}$$

$$= \frac{Ak_f}{K_v} \left( \frac{C_1}{s} + \frac{C_2}{s + K_t} \right)$$

$$C_1 = s \frac{K_t}{s(s + K_t)} \Big|_{s=0} = 1; \quad C_2 = (s + K_t) \frac{K_t}{s(s + K_t)} \Big|_{s=-K_t} = -1$$

$$\Rightarrow E_v(s) = \frac{Ak_f}{K_v} \left( \frac{1}{s} - \frac{1}{s + K_t} \right)$$

$$\Rightarrow e_v(t) = \frac{Ak_f}{K_v} (1 - e^{-K_t t}) u(t)$$

Unfortunately,  $K_t$  cannot be made arbitrarily big without increasing the bandwidth of  $H(s) = \frac{K_t}{s + K_t}$

For  $t \gg 1/K_t$  and  $k_f = K_v$ ,  $e_v(t) = Au(t) = m(t)$ . Note that the transient time is set by the total loop gain  $K_t$  and  $k_f/K_v$  is simply an amplitude scaling of the demodulated output.

# 1<sup>st</sup> order PLL Tracking Mode: Linear Model Example

Steady-state phase error

$$\begin{aligned}\psi_{ss} &= \lim_{s \rightarrow 0} s \left[ \Phi(s) - \Theta(s) \right] \\ &= \lim_{s \rightarrow 0} s \left[ \frac{Ak_f}{s^2} - \frac{Ak_f}{s^2} \frac{K_t}{s + K_t} \right] \\ &= \lim_{s \rightarrow 0} s \left[ \frac{Ak_f}{s^2} \left( \frac{s}{s + K_t} \right) \right] \\ &= \frac{Ak_f}{K_t}\end{aligned}$$

# Summary of Steady-State Errors

**Table 3.5 Steady-State Errors**

PLL order	$\theta_0 \neq 0$ $f_\Delta = 0$ $R = 0$	$\theta_0 \neq 0$ $f_\Delta \neq 0$ $R = 0$	$\theta_0 \neq 0$ $f_\Delta \neq 0$ $R \neq 0$
1 ( $a = 0, b = 0$ )	0	$2\pi f_\Delta / K_t$	$\infty$
2 ( $a \neq 0, b = 0$ )	0	0	$2\pi R / K_t$
3 ( $a \neq 0, b \neq 0$ )	0	0	0

$$2\pi f_\Delta = Ak_f$$



# Summary of 1<sup>st</sup> order PLL

- Nonzero steady state error  $\psi_{ss} \propto \frac{Ak_f}{K_t}$  ( $2\pi f_\Delta = Ak_f \Rightarrow f_\Delta \neq 0$ )
- The complete system loop gain parameter is

$$K_t = \frac{1}{2} \mu A_c A_v K_d K_v$$

(discussion above assumed  $\mu = 1$ )

System loop gain is a function of the amplitude of the input signal.

- $K_t$  also controls the BW of the system  $H(s) = \frac{K_t}{s + K_t}$

$K_t$  is the 3dB point

- A large  $K_t$  is impractical
  - (a) Hardware design
  - (b) Noise increases due to the wide bandwidth

Solution: 2<sup>nd</sup> order PLL



# 2<sup>nd</sup> order PLL Tracking Mode: Linear Model

Loop filter:  $F(s) = \frac{s+a}{s}$

Recall  $\Theta(s) = K_t [\Phi(s) - \Theta(s)] \frac{F(s)}{s}$   
 $= K_t [\Phi(s) - \Theta(s)] \frac{s+a}{s^2}$

$$\Rightarrow \Theta(s) \left[ 1 + K_t \frac{s+a}{s^2} \right] = K_t \frac{s+a}{s^2} \Phi(s)$$

$$\Rightarrow H(s) \triangleq \frac{\Theta(s)}{\Phi(s)} = \frac{K_t \frac{s+a}{s^2}}{1 + K_t \frac{s+a}{s^2}} = \frac{K_t (s+a)}{s^2 + K_t s + K_t a}$$

Also,  $G(s) \triangleq \frac{\Psi(s)}{\Phi(s)} = 1 - H(s) = \frac{s^2}{s^2 + K_t a s + K_t a}$

In terms of fundamental parameters: natural frequency and damping factor

$$\frac{\Psi(s)}{\Phi(s)} = \frac{s^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}, \quad \begin{array}{l} \zeta : \text{damping factor} \\ \omega_n : \text{natural frequency} \end{array}$$

$$\Rightarrow \zeta = \frac{1}{2} \sqrt{\frac{K_t}{a}}, \quad \omega_n = \sqrt{K_t a}$$

Solving for the physical parameters

$$\Rightarrow a = \frac{\omega_n}{2\zeta} = \frac{\pi f_n}{\zeta}, \quad K_t = 4\pi\zeta f_n$$



# 2<sup>nd</sup> order PLL Tracking Mode: Linear Model Example

Similar to previous example,  
we let input to PLL be  $m(t) = u(t)$

Recall  $\phi(t) = 2\pi\Delta f \int^t u(\alpha) d\alpha \Leftrightarrow \Phi(s) = \frac{2\pi\Delta f}{s^2}$

(for some reason, your text changes from  $f_d$  to  $\Delta f$ )

Assuming small  $\Delta f$  to ensure linear model is valid.

Since  $\frac{\Psi(s)}{\Phi(s)} = \frac{s^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$

$$\begin{aligned}\Rightarrow \Psi(s) &= \frac{s^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \Phi(s) = \frac{s^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \frac{2\pi\Delta f}{s^2} \\ &= \frac{\Delta\omega}{s^2 + 2\zeta\omega_n s + \omega_n^2}\end{aligned}$$

For  $\zeta < 1$ , inverse transforming:

$$\psi(t) = \frac{\Delta f}{f_n \sqrt{1 - \zeta^2}} e^{-2\pi\zeta f_n t} \left[ \sin\left(2\pi f_n \sqrt{1 - \zeta^2} t\right) \right] u(t)$$

As  $t \rightarrow \infty$ ,  $\psi(t) \rightarrow 0$ . Hence steady state error becomes 0.



# Summary of Steady-State Errors

**Table 3.5 Steady-State Errors**

PLL order	$\theta_0 \neq 0$ $f_\Delta = 0$ $R = 0$	$\theta_0 \neq 0$ $f_\Delta \neq 0$ $R = 0$	$\theta_0 \neq 0$ $f_\Delta \neq 0$ $R \neq 0$
1 ( $a = 0, b = 0$ )	0	$2\pi f_\Delta / K_t$	$\infty$
2 ( $a \neq 0, b = 0$ )	0	0	$2\pi R / K_t$
3 ( $a \neq 0, b \neq 0$ )	0	0	0

$$2\pi f_\Delta = Ak_f$$

# 1<sup>st</sup> PLL Acquisition Mode: 1<sup>st</sup> PLL (Loop Filter)

Easy for analysis: let  $F(s) = 1 \Leftrightarrow f(t) = \delta(t)$

Recall  $\theta(t) = K_t \int^t \int^\alpha \sin[\phi(\lambda) - \theta(\lambda)] f(\alpha - \lambda) d\lambda d\alpha$

$$\Rightarrow \theta(t) = K_t \int^t \sin[\phi(\alpha) - \theta(\alpha)] d\alpha$$

$$\Rightarrow \frac{d\theta(t)}{dt} = K_t \sin[\phi(t) - \theta(t)]$$

Let  $m(t) = u(t)$ , for FM:  $\frac{d\theta(t)}{dt} = 2\pi f_\Delta m(t) = 2\pi f_\Delta$ , for  $t > 0$

$\psi(t) = \phi(t) - \theta(t) \Rightarrow \theta(t) = \phi(t) - \psi(t)$  (phase error)

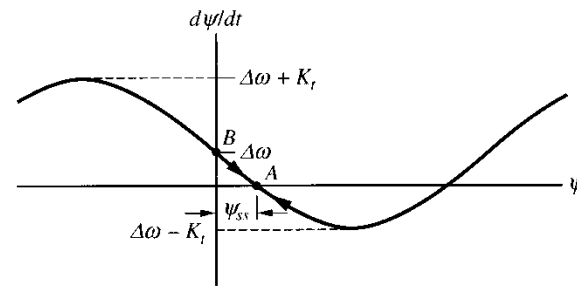
$$\Rightarrow \frac{d\theta(t)}{dt} = \frac{d\phi(t)}{dt} - \frac{d\psi(t)}{dt} = 2\pi f_\Delta - \frac{d\psi(t)}{dt} = \Delta\omega - \frac{d\psi(t)}{dt}$$

$$\Rightarrow \Delta\omega = \frac{d\psi(t)}{dt} + \frac{d\theta(t)}{dt} = \frac{d\psi(t)}{dt} + K_t \sin[\phi(t) - \theta(t)]$$

Graphical representation (analysis): Phase-plane plot

Initial: zero phase and frequency errors (point B)

Step message applies and see trajectory



**Figure 3.49**  
Phase-plane plot.

# 1<sup>st</sup> PLL Acquisition Mode: 1<sup>st</sup> PLL (Loop Filter)

$$\frac{d\psi(t)}{dt} = \Delta\omega - K_t \sin \psi(t)$$

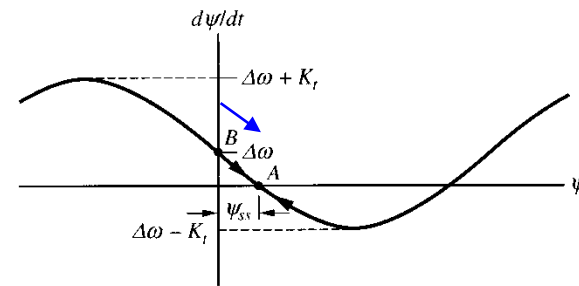
Point B (when the step is applied):  $\frac{d\psi(t)}{dt} \approx \Delta\omega$

Observation:  $\frac{d\psi(t)}{dt} > 0$  if  $\psi(t) > 0$  since  $dt$  always  $> 0$ , hence, we can start at point B

$\psi(t)$  increases  $\rightarrow \sin \psi(t)$  increases

$\rightarrow \frac{d\psi(t)}{dt}$  decreases  $\rightarrow \frac{d\psi(t)}{dt}$  becomes negative

$$\frac{d\psi(t)}{dt} = 0 \leftrightarrow \text{point A}$$



**Figure 3.49**  
Phase-plane plot.

# 1<sup>st</sup> PLL Acquisition Mode: 1<sup>st</sup> PLL (Loop Filter)

$$\frac{d\psi(t)}{dt} = \Delta\omega - K_t \sin\psi(t)$$

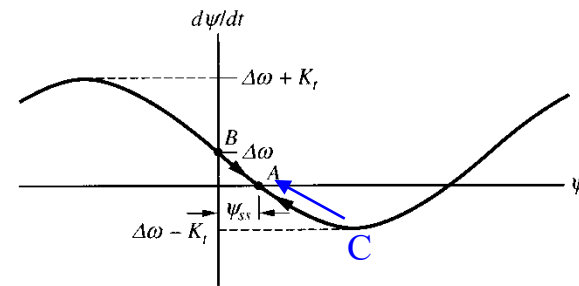
Again, since  $dt > 0$ , if  $d\psi / dt < 0 \Rightarrow d\psi < 0$ , hence, we can start at point C

$$@ \text{ C: } \frac{d\psi(t)}{dt} = \Delta\omega - K_t \quad (\psi(t) = \pi/2)$$

$$\frac{d\psi(t)}{dt} < 0 \rightarrow \psi(t) \text{ decreases} \rightarrow \sin\psi(t) \text{ decreases}$$

$$\rightarrow \frac{d\psi(t)}{dt} \text{ increases} \rightarrow \frac{d\psi(t)}{dt} \text{ becomes positive}$$

$\Rightarrow$  Point A is a locally stable point



**Figure 3.49**  
Phase-plane plot.

# 1<sup>st</sup> PLL Acquisition Mode: 1<sup>st</sup> PLL (Loop Filter)

$$\frac{d\psi(t)}{dt} = \Delta\omega - K_t \sin\psi(t)$$

Remarks:

1) Steady-state error:

In this case, point A,  $\frac{d\psi(t)}{dt} = 0$  (no frequency error)

As  $t \rightarrow \infty$ ,  $\psi(t) = \psi_{ss} \neq 0$  (phase error exists)

2) Lock range:

If the system is to converge to Point A, then  $\Delta\omega < K_t$ . So  $K_t$  is the lock range for the 1<sup>st</sup> order

PLL. If  $\Delta\omega > K_t$ ,  $\frac{d\psi(t)}{dt} = \Delta\omega - K_t > 0$ . The

phase-plane plot does not intersect with the

$\frac{d\psi(t)}{dt} = 0$  axis.)

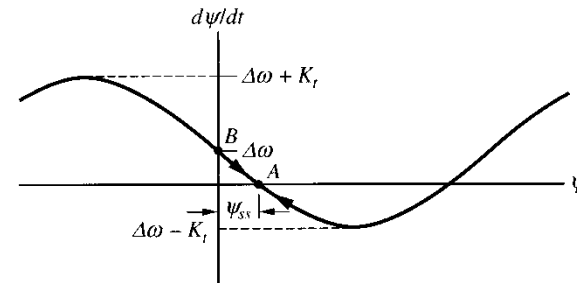


Figure 3.49  
Phase-plane plot.

$$K_t = 2\pi(50)$$

$$\Delta f = 12, 24, 48, 55 \text{ Hz}$$

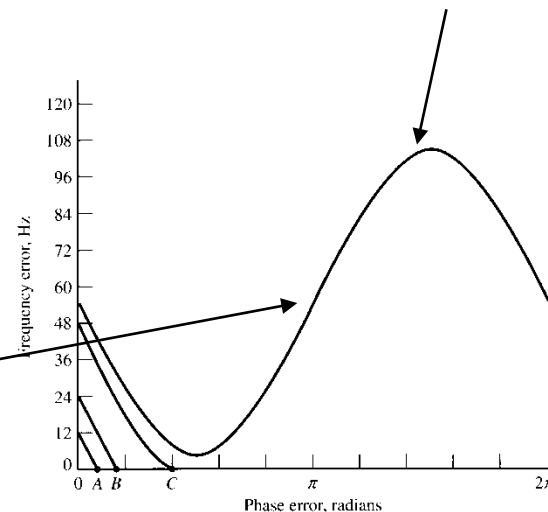


Figure 3.50  
Phase-plane plot of first-order  
PLL for several initial  
frequency errors.



# 2<sup>nd</sup> order PLL: Transient and SS Responses

Remarks:

- 2nd order PLL has lock range =  $\infty$  but has cycle-slipping
- cycle-slipping: the steady-state phase error is multiple of  $2\pi$  rad
- E.g.

No cycle-slipping for  $\Delta f = 20$  Hz

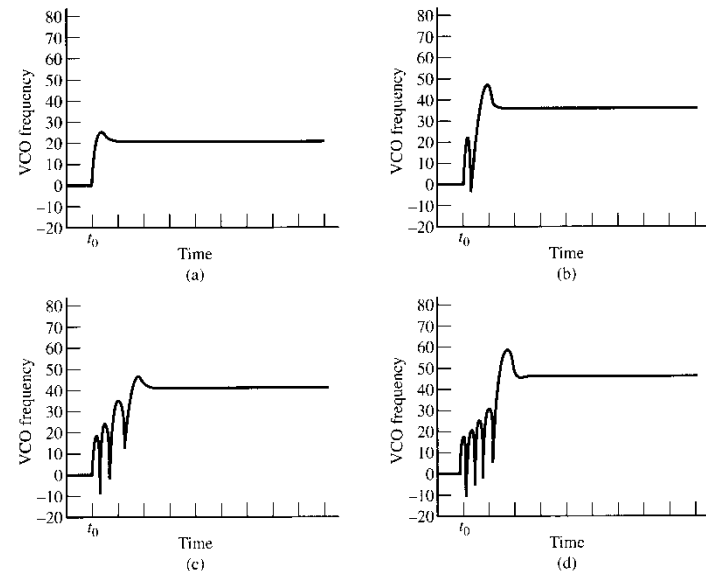
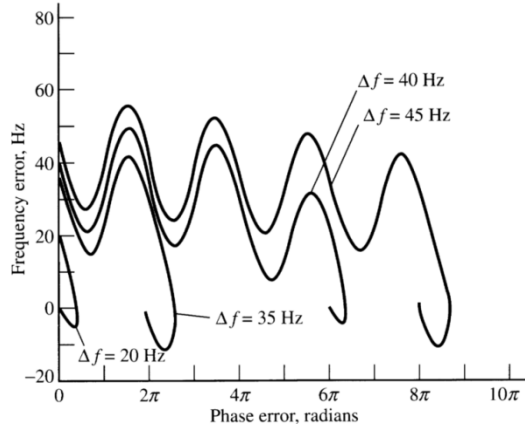
SS phase error =  $2\pi$  for  $\Delta f = 35$  Hz (slipped one cycle)

SS phase error =  $6\pi$  for  $\Delta f = 40$  Hz (slipped 3 cycles)

SS phase error =  $8\pi$  for  $\Delta f = 45$  Hz (slipped 4 cycles)

**Figure 3.51**

Phase-plane plot for second-order PLL.



**Figure 3.52**

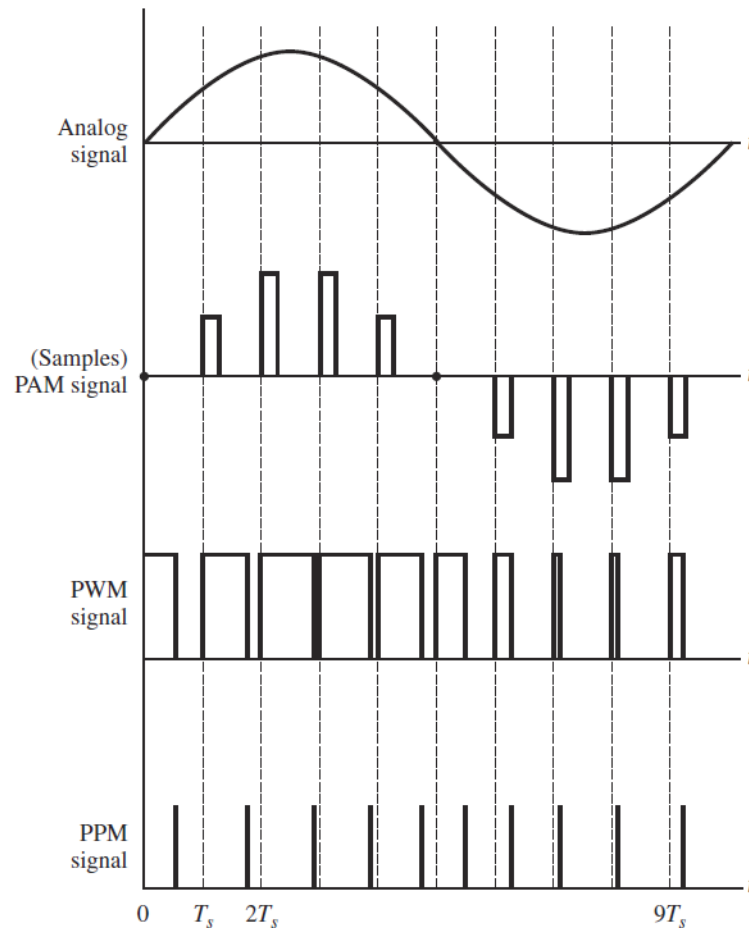
voltage-controlled oscillator frequency for four values of input frequency step. (a) VCO frequency for  $\Delta f = 20$  Hz. (b) VCO frequency for  $\Delta f = 35$  Hz. (c) VCO frequency for  $\Delta f = 40$  Hz. (d) VCO frequency for  $\Delta f = 45$  Hz.

# Analog Pulse Modulation

- Samples from uniform sampling can have different representation
  - A sampled value can have 1-to-1 correspondence to some attribute of a pulse
    - If attribute changes continuously → analog pulse modulation
    - If attribute also takes on a certain value from a set of allowable values → digital pulse modulation
- Three attributes can be used
  - amplitude, width/duration, or position
- PAM – pulse amplitude (related to AM)
- PDM/PWM – pulse duration (related to angle mod)
- PPM – pulse position (related to angle mod)



# Analog Pulse Modulation



**Figure 3.25**  
Illustration of PAM, PWM, and PPM.

# PAM

Amplitude of each pulse corresponds to the value of the message signal  $m(t)$  (at the leading edge of the pulse)

Different from sampling in previous chapter, PAM's sampling pulse has finite width

- can be generated using holding circuit
- Impulse and frequency response of holding circuit:

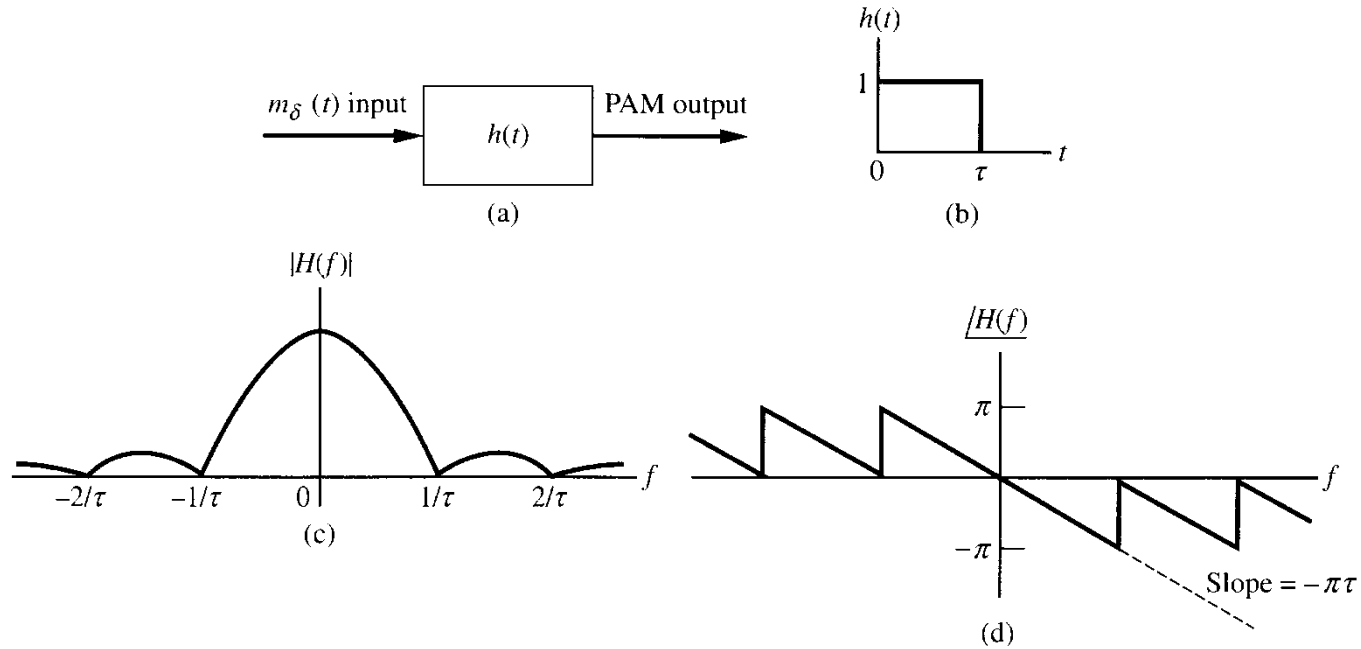
$$h(t) = \Pi\left(\frac{t - \frac{1}{2}\tau}{\tau}\right) \Leftrightarrow H(f) = \tau \text{sinc}(f\tau) e^{-j\pi f\tau}.$$

It transforms the impulse function samples  $m_\delta(t) = \sum_n m(nT_s) \delta(t - nT_s)$

to PAM waveform

$$m_c(t) = \sum_n m(nT_s) \Pi\left(\frac{t - \left(nT_s + \frac{1}{2}\tau\right)}{\tau}\right)$$

# PAM



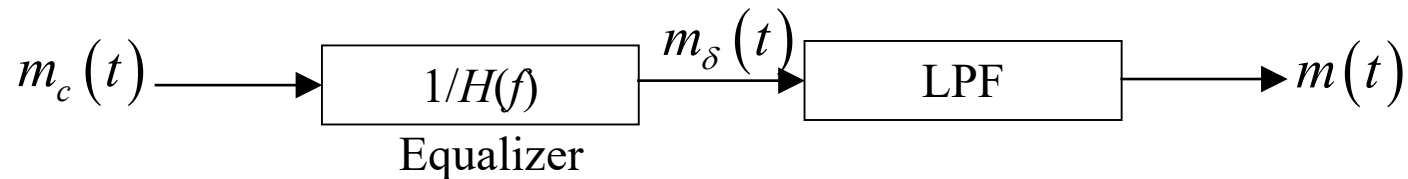
**Figure 3.57**

Generation of PAM. (a) Holding network. (b) Impulse response of holding network. (c) Amplitude response of holding network. (d) Phase response of holding network.

# PAM

Unless pulse width  $\tau$  is small, amplitude distortion in  $m_c(t)$  can be significant.

- Solution: equalization, i.e. pass  $m_c(t)$  through filter  $\frac{1}{|H(f)|}$  prior to reconstruction



$$\therefore m_c(t) = m_\delta(t) * h(t) \Leftrightarrow M_c(f) = M_\delta(f) H(f)$$

Demodulation:

Recover  $M_\delta(f) \Leftrightarrow m_\delta(t)$  samples

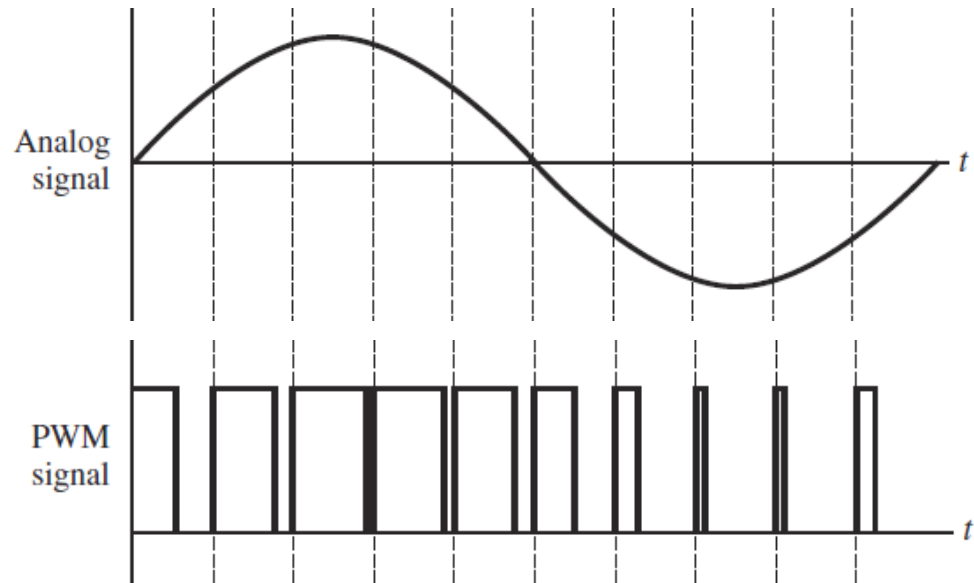
Recover  $M(f) \Leftrightarrow m(t)$  message

$$M_\delta(f) = \frac{M_c(f)}{H(f)}$$

# PWM

- Pulse width proportional to values of message

- $0 = \frac{1}{2} T_s$
- Negative:  $< \frac{1}{2} T_s$
- Positive:  $> \frac{1}{2} T_s$
- Max. value =  $1/ T_s$



# PPM

- Pulse position is proportional to the values of the message
- PPM signal

$$x(t) = \sum_n g(t - t_n)$$

- $g(t)$  represents shape of the individual pulses
- $t_n$  is the occurrence time – related to values of the message signal  $m(t)$



# Digital Pulse Modulation (related to AM)

- Messages are discrete-amplitude (finite levels) samples
  - DM – delta modulation
  - PCM – pulse-code modulation
- These methods fall into the category of *predictive coded modulation* where the difference between the current value of the input and predicted value are coded
  - Why? The difference contains less variance than coding the actual sample value, thus less bits need to be used to represent the coded value



# DM

$m(t) \rightarrow$  samples (analog amplitude)  $\rightarrow$  difference  $\rightarrow$  binary

or

$m(t) \rightarrow$  difference  $\rightarrow$  binary  $\rightarrow$  samples

Operations:

1)  $d(t) = m(t) - m_s(t)$   $M_s(t)$  is a reference signal

2)  $\Delta(t) = \text{threshold}(d(t)) \quad \begin{cases} \delta_0, & d(t) \geq 0, \\ -\delta_0, & d(t) < 0 \end{cases}, \quad \delta_0 = 1 \text{ usually}$

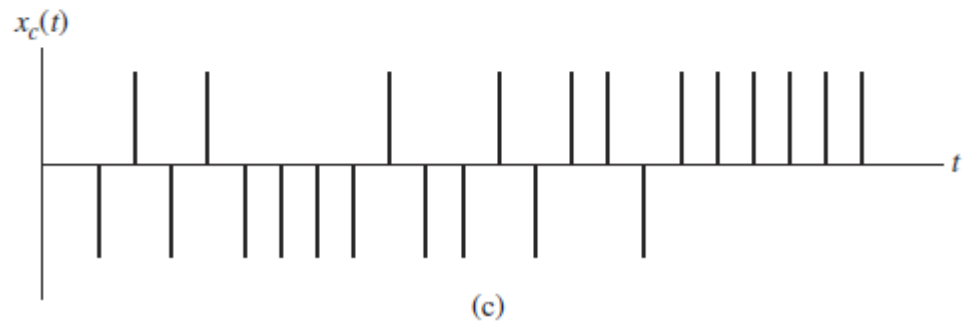
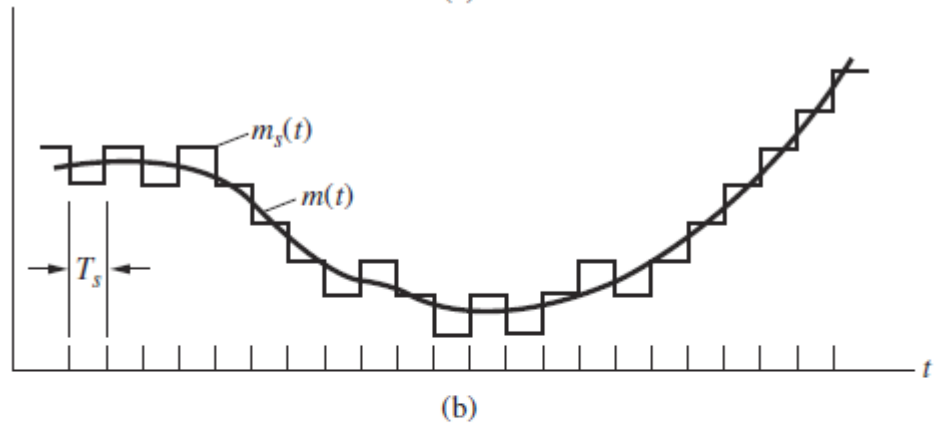
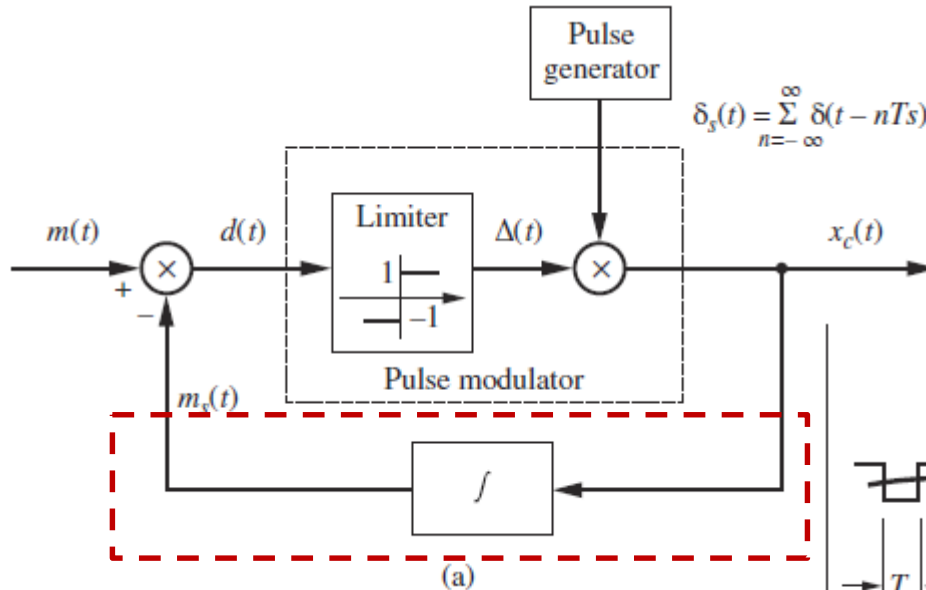
3)  $x_c(t) = \text{samples of } \Delta(t) = \Delta(t) \sum_n \delta(t - nT_s) = \sum_n \Delta(nT_s) \delta(t - nT_s)$

4) Prediction:  $m_s(t) = \sum_n \Delta(nT_s) \int^t \delta(\alpha - nT_s) d\alpha$

# DM

- Output of DM is a series of impulses, each having positive or negative polarity depending on the sign of  $d(t)$  at the sampling instants
- In practice, pulse generator does not produce sequence of impulses functions
  - Output pulse of finite width
  - Impulses are assumed for analysis
- After integration, reference signal  $m_s(t)$  is a staircase approximation of  $m(t)$

# DM



Acts as a predictor that uses the previous sample in  $x_c(t)$  and predict forward the next sample and compare to current “sample” in  $m(t)$ .

- The integrator takes the value in  $x_c(t)$  and holds in for  $T_s$  second. This value will be compare to the current value (next time instant) of  $m(t)$

Delta modulation. (a) Delta modulator. (b) Modulation waveform and staircase approximation. (c) Modulator output.

# Demodulating DM

- Integrate  $x_c(t)$  to form  $m_s(t)$
- Then lowpass filter  $m_s(t)$  to eliminate the jumps to get  $m(t)$
- Note that the modulator/encoder contains part of the demodulator/decoder



# Problem with DM: Slope Overload

- If message signal  $m(t)$  has a slope greater than can be followed by the stair-step approximation  $m_s(t)$
- Assume step-size =  $\delta_0 \rightarrow$  max. slope =  $\delta_0/T_s$  can be used to follow  $m(t)$
- Example (right)

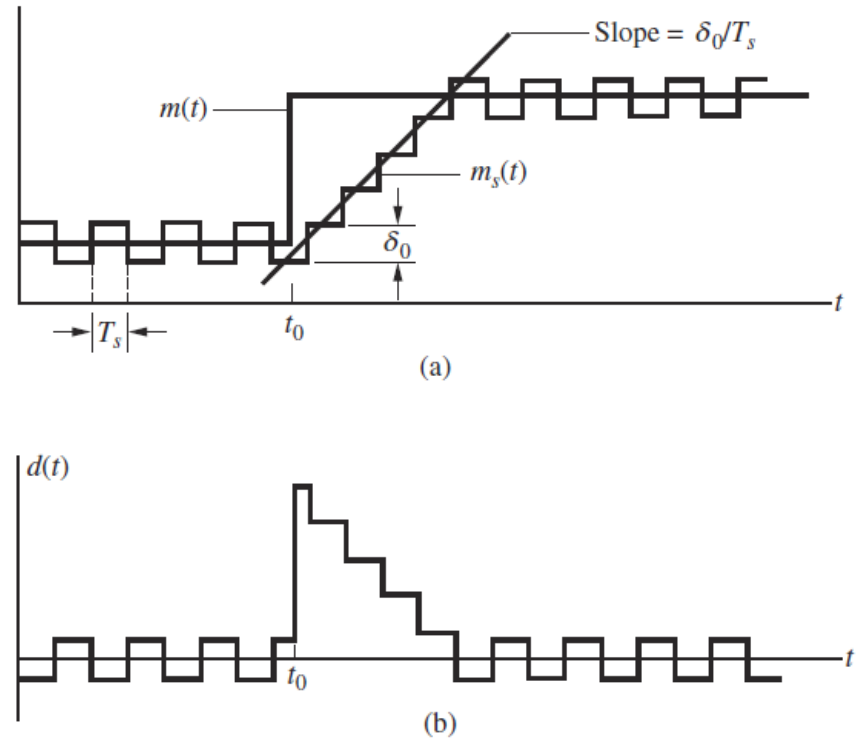


Illustration of slope overload. (a) Illustration of  $m(t)$  and  $m_s(t)$  for a step change in  $m(t)$ . (b) Error between  $m(t)$  and  $m_s(t)$  resulting from a step change in  $m(t)$ .

# Mathematical Analysis of Slope Overload Problem

Assuming  $m(t) = A \sin(2\pi f_1 t)$

Max. slope that  $m_s(t)$  can follow is  $S_m = \frac{\delta_0}{T_s}$

$$\frac{d}{dt}m(t) = 2\pi A f_1 \cos(2\pi f_1 t)$$

$\therefore m_s(t)$  can follow  $m(t)$  without slope overload if

$$\frac{\delta_0}{T_s} \geq 2\pi A f.$$

So there is a BW constraint on  $m(t)$  in order to avoid this problem.



# Adaptive DM: Solution to Slope Overload

- Adjust the step-size  $\delta_0$  based on  $x_c(t)$
- Idea:
  - If  $m(t) \approx \text{constant}$ ,  $x_c(t)$  alternates in sign  $\rightarrow$  leads to small DC (close to zero) at output of LPF – this controls gain at variable gain amplifier  $\rightarrow \delta_0 \downarrow$  at the integrator input
  - If  $m(t) \uparrow$  (or  $\downarrow$ ) rapidly,  $x_c(t)$  has the same polarity  $\rightarrow$  leads to big value of the magnitude of the output of LPF  $\rightarrow \delta_0 \uparrow$  at the integrator input  $\rightarrow$  reducing time-span of slope overload

Adjust step-size: if several output samples have same slope (sign), then increase step-size, else decrease

- Tradeoff smaller slope error with larger quantization error
- Solution: Use LPF to smooth the error

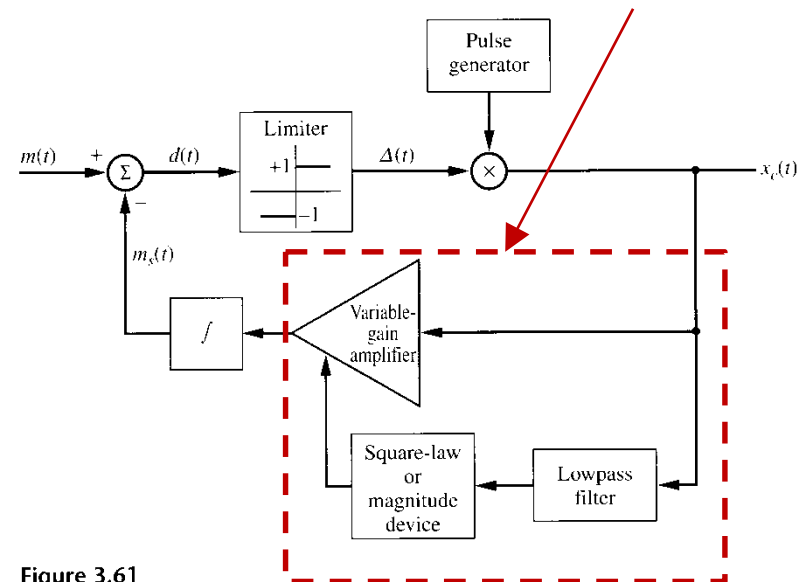


Figure 3.61  
Adaptive delta modulator.



# Adaptive Delta Demodulator

- Notice the demodulator is part of the modulator
  - The receiver is required to match changes in  $\delta_0$  that was made at the modulator
  - This is often used in waveform coders (speech and video)
- Known as analysis-by-synthesis coding
  - Determine what parameters the coder should used by duplicating what the decoder does

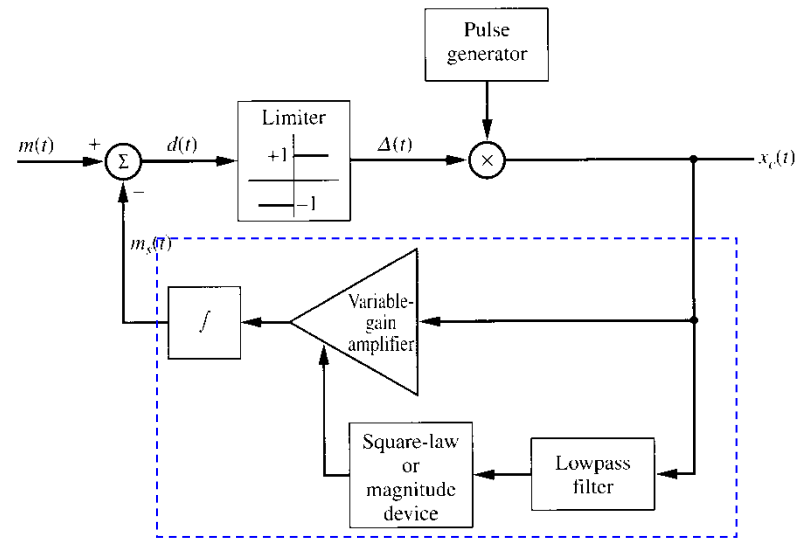


Figure 3.61  
Adaptive delta modulator.

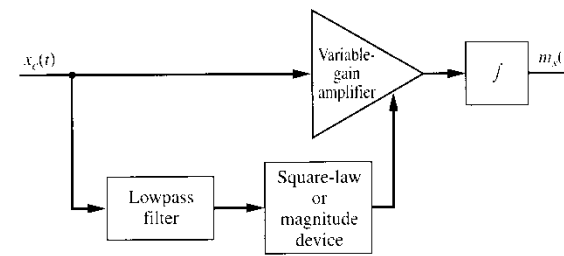


Figure 3.62  
Adaptive DM receiver.

# Pulse-Code Modulation (PCM)

- $m(t) \rightarrow$  samples (analog amplitude)  $\rightarrow$  quantized samples  $\rightarrow$  binary representation (e.g. 8 levels in Fig. 3.29(b))  $\rightarrow$  **representation as pulses**
- Pros
  - More reliable communication
- Cons
  - Wide BW ( $\leftarrow$  reduced by “compression”)
  - Complicated circuits ( $\leftarrow$  cost reduced by VLSI)

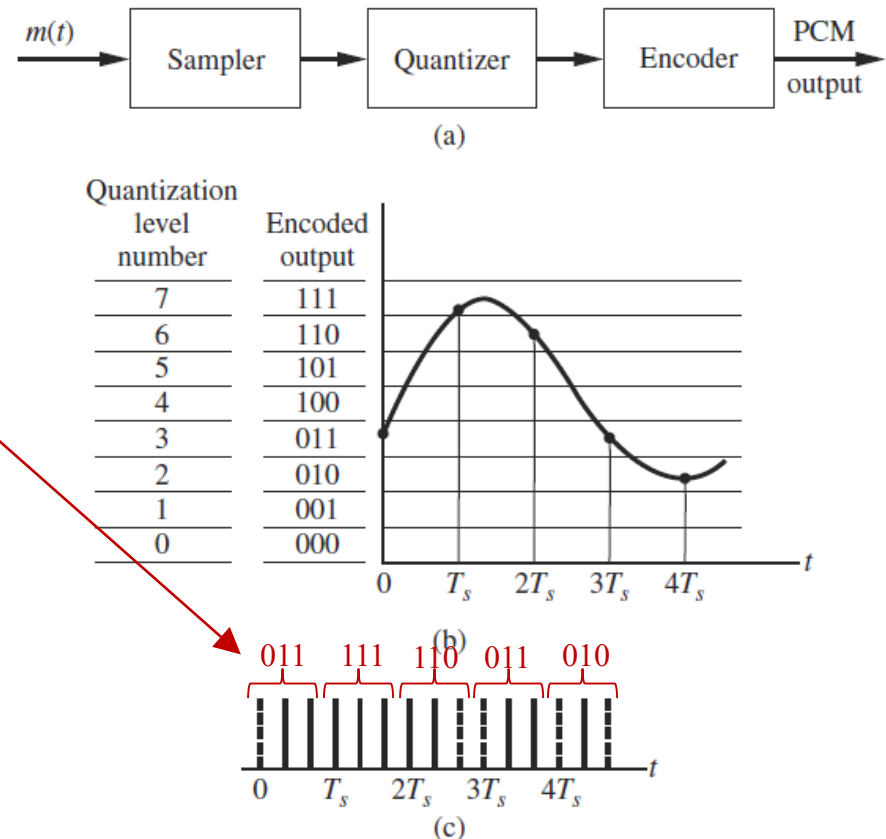


Fig. 3.29. Generation of PCM. (a) PCM modulator. (b) Quantizer and coder. (c) Representation of coder output.

# BW of PCM

Assume the number of quantization levels  $= q = 2^n$  (e.g. on last page:  $q = 8, n = 3$ )  
 $\Rightarrow n = \log_2 q$  binary pulses must be transmitted for each sample of the message signal.

Let: Message BW  $= W$

Sampling rate  $= 2W$

$\Rightarrow 2nW$  binary pulse/second

Thus, max. width of each binary pulse is

$$(\Delta\tau)_{\max} = \frac{1}{2nW}$$

$$\Rightarrow \text{transmission BW} \approx 2knW,$$

$k$  is a proportionality constant

$$\text{Hence, } B \approx 2Wk \log_2 q.$$

Recovered message error is due mainly to quantization error

Thus,  $q \uparrow \rightarrow \text{error} \downarrow \rightarrow B \uparrow$



# PCM Modulating RF Carrier

- PCM waveform can be transmitted on an RF carrier using amplitude, phase, or frequency modulation
- Figures shows data bits are represented by an non-return to zero (NRZ) waveform for **serial transmission** (hence, symbol sync is important)
  - 6 bits are shown (101001)
  - ASK
    - Carrier amplitude determined by data bit for that interval
  - PSK
    - Phase of carrier is established by the data bit
  - FSK
    - Carrier freq. is established by the data bit

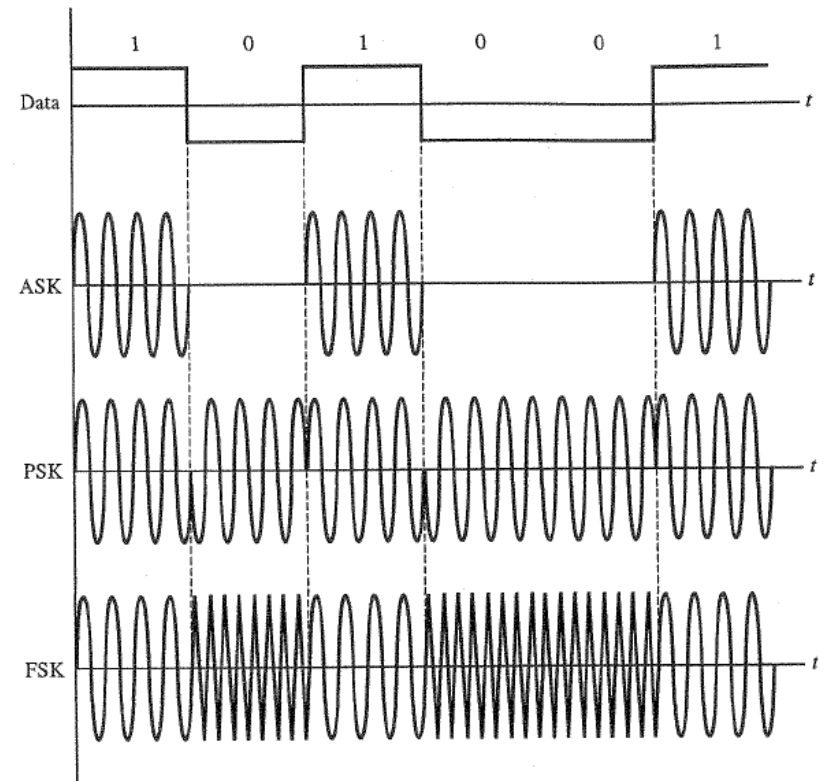


FIGURE 3.66 An example of digital modulation schemes.

# Multuser Communication Systems - Multiplexing

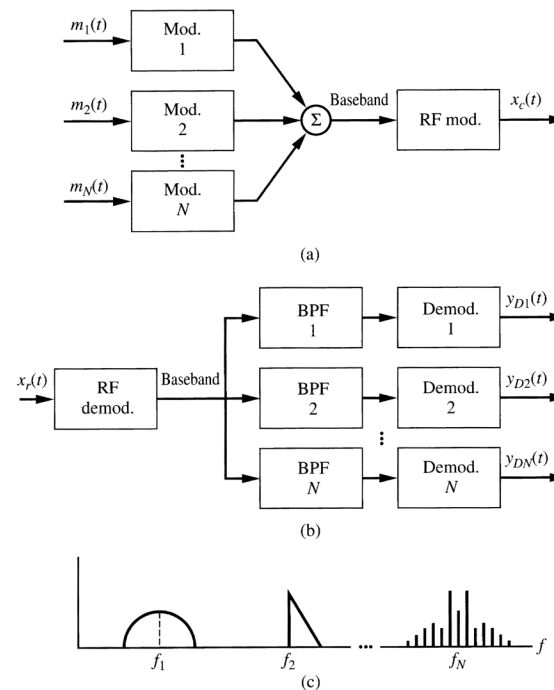
- A number of data sources share the same communication
- Used mainly to multiplex signals from different users onto the same channel for transmission
  - ❑ Can also be used for stereophonic FM transceiver to multiplex sum and differences of signals
- Different multiplexing techniques
  - ❑ Frequency-division multiplexing (FDM)
  - ❑ Quadrature multiplexing (QM)
  - ❑ Time-division multiplexing (TDM)



# Frequency- Division Multiplexing (FDM)

- Signals from different sources can used different modulation
  - Source 1 uses DSB
  - Source 2 uses SSB
  - Source 3 uses FM
- BPF used at receiver to retrieve signal from different sources
  - Guard bands are injected between each source signal before transmission to realize non-ideal BP filtering at Rx
- BW is lower bounded by the sum of the BWs of the message signals:

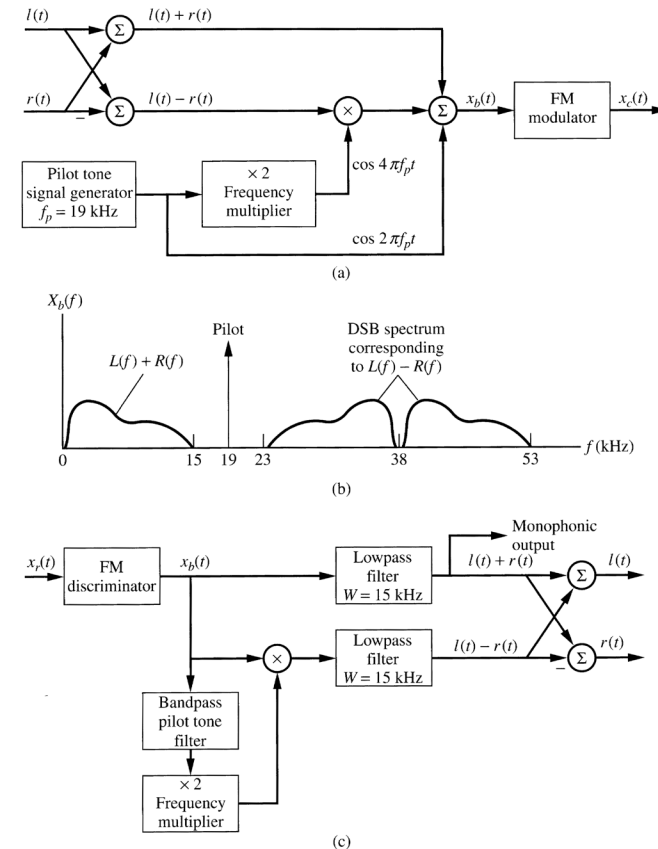
$$B = \sum_{i=1}^N W_i$$



**Figure 3.64**  
Frequency-division multi-  
plexing. (a) FDM modulator.  
(b) FDM demodulator.  
(c) Baseband spectrum.

# Example of FDM: Stereophonic FM Broadcasting

- Stereo signal is perceived by having speakers outputting sum and differences of the monotonically recorded signal
- Backward compatibility is required
  - ❑ Necessary for stereophonic FM receiver to demodulate monophonic FM signal
  - ❑ 0-15 kHz carries L+R (for monophonic receiver)
  - ❑ 24-53 kHz carries L-R (stereophonic receiver uses L+R and L-R)
    - Information about the carrier is inserted by the Tx for coherent demodulation at the Rx



**Figure 3.65** Stereophonic FM transmitter and receiver. (a) Stereophonic FM transmitter. (b) Single-sided spectrum of FM baseband signal. (c) Stereophonic FM receiver.

# QM

- QM is not a FDM technique as spectra of  $m_1(t)$  and  $m_2(t)$  overlap in frequency

- SSB is a QM signal with  $m_1(t) = m(t)$  and  $m_2(t) = \pm \hat{m}(t)$

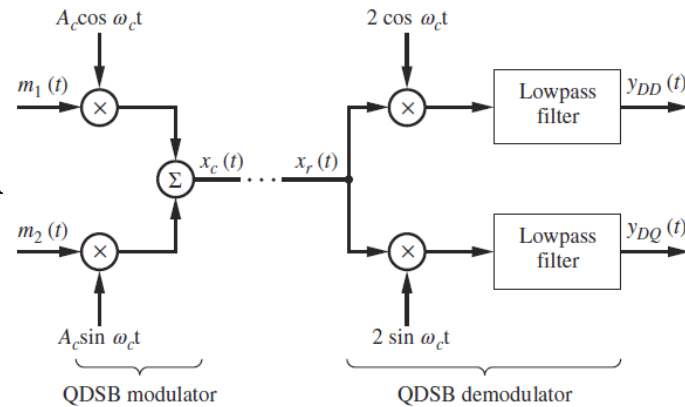


Figure 4.36  
Quadrature multiplexing.

Modulation:  $x_c(t) = A_c [m_1(t) \cos(2\pi f_c t) + m_2(t) \sin(2\pi f_c t)]$

Demodulation: If carrier phase is unknown, i.e.

$$x_r(t) \cdot 2 \cos(2\pi f_c t + \theta) = A_c [m_1(t) \cos \theta - m_2(t) \sin \theta] + A_c [m_1(t) \cos(4\pi f_c t + \theta) + m_2(t) \sin(4\pi f_c t + \theta)]$$

After LPF: output becomes

$$y_{DD}(t) = A_c [m_1(t) \cos \theta - m_2(t) \sin \theta] \quad (\text{ideal: } \theta \rightarrow 0)$$



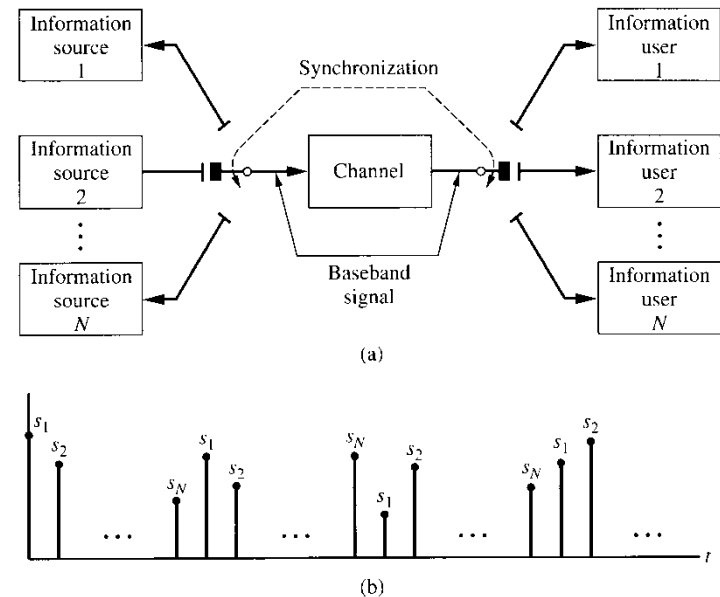
# Time-Division Multiplexing (TDM)

## ■ Tx

- ❑ Data sources are assumed to have been sampled at Nyquist rate or higher
- ❑ Commutator interlaces the samples to form the baseband signal

## ■ Rx

- ❑ Baseband signal is demultiplexed by using a second commutator



**Figure 3.67**  
Time-division multiplexing. (a) TDM system. (b) Baseband signal.

# BW of TDM

A "rough" estimate of BW

Let:

BW of  $i^{th}$  channel =  $W_i$

Sampling period of baseband signal =  $T$

$\Rightarrow$  Samples in every  $T$  second for the  $i$ th channel =  $2W_iT$  samples

$\Rightarrow$  Total samples (for all channels) in every  $T$  second:  $n_s = \sum_{i=1}^N 2W_iT$

or

Assuming baseband is lowpass signal with BW  $B$ , required sampling rate is  $2B$ .

In a  $T$  second interval, there are  $2BT$  total samples.

$$\Rightarrow n_s = 2BT = \sum_{i=1}^N 2W_iT$$

so

$$B = \sum_{i=1}^N W_i$$

This is same as FDM.



# Example of TDM: Digital Telephone System

- Voice signal sampling: 8,000 samp/s
  - Each sample is quantized to 7 + 1 bit
    - 1-bit for signaling
      - call establishment and synchronization
- Bit rate:  $8 \text{ bit/samp} * 8,000 \text{ samp/s} = 64 \text{ kbps}$
- T1 line
  - Group of 24 8-bit voice channels
    - $24 \text{ voice ch} * 8 \text{ bit/samp} + 1 = 193 \text{ bit}$ 
      - Extra 1-bit for frame synchronization
  - Frame rate
    - $193 \text{ bit/frame} * 8,000 \text{ frame/sec} = 1.544 \text{ Mbps}$

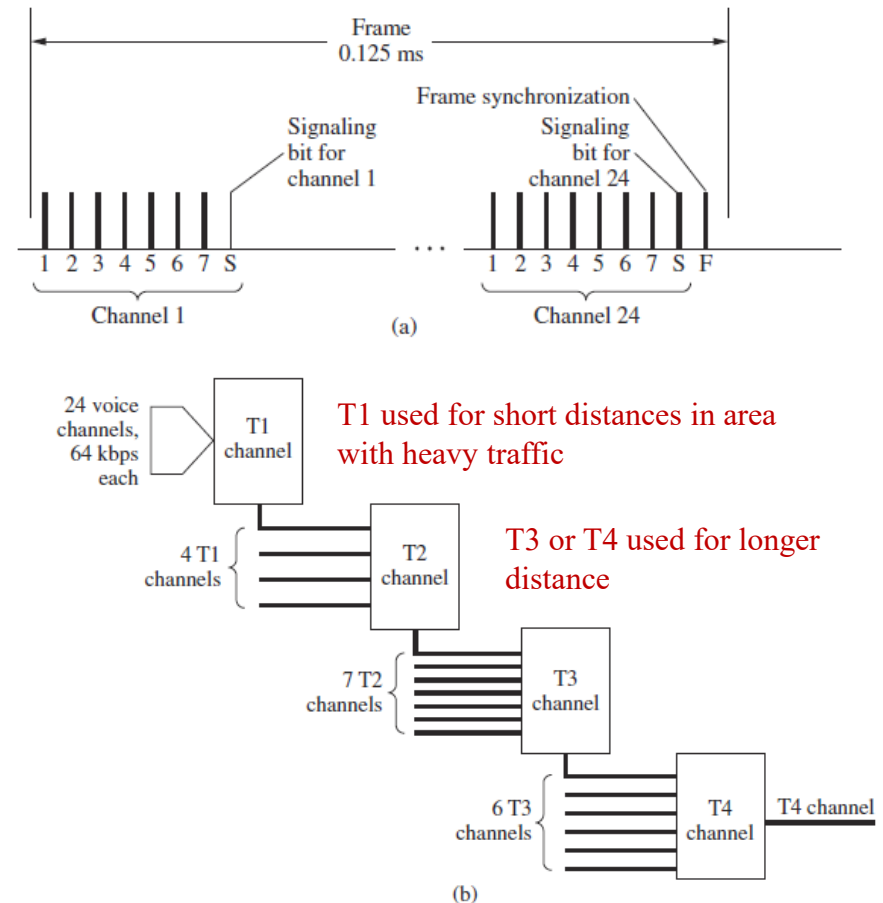


Figure 3.31  
Digital multiplexing scheme for digital telephone. (a) T1 frame. (b) Digital multiplexing.

# Comparison Between Different Mux Techniques

- FDM
  - Pros
    - Simple to implement
  - Cons
    - Intermodulation distortion (crosstalk) due to nonlinear channel
- TDM
  - Pros
    - Less crosstalk (assuming memoryless channel)
  - Cons
    - Difficult to keep synchronization (frame structure, header)
- QM
  - Pros
    - Efficient use of channel
  - Cons
    - Crosstalk between I and Q channels (needs coherent demodulation)

