
AI for Communications and (Bio) Signal Processing and Communications for AI

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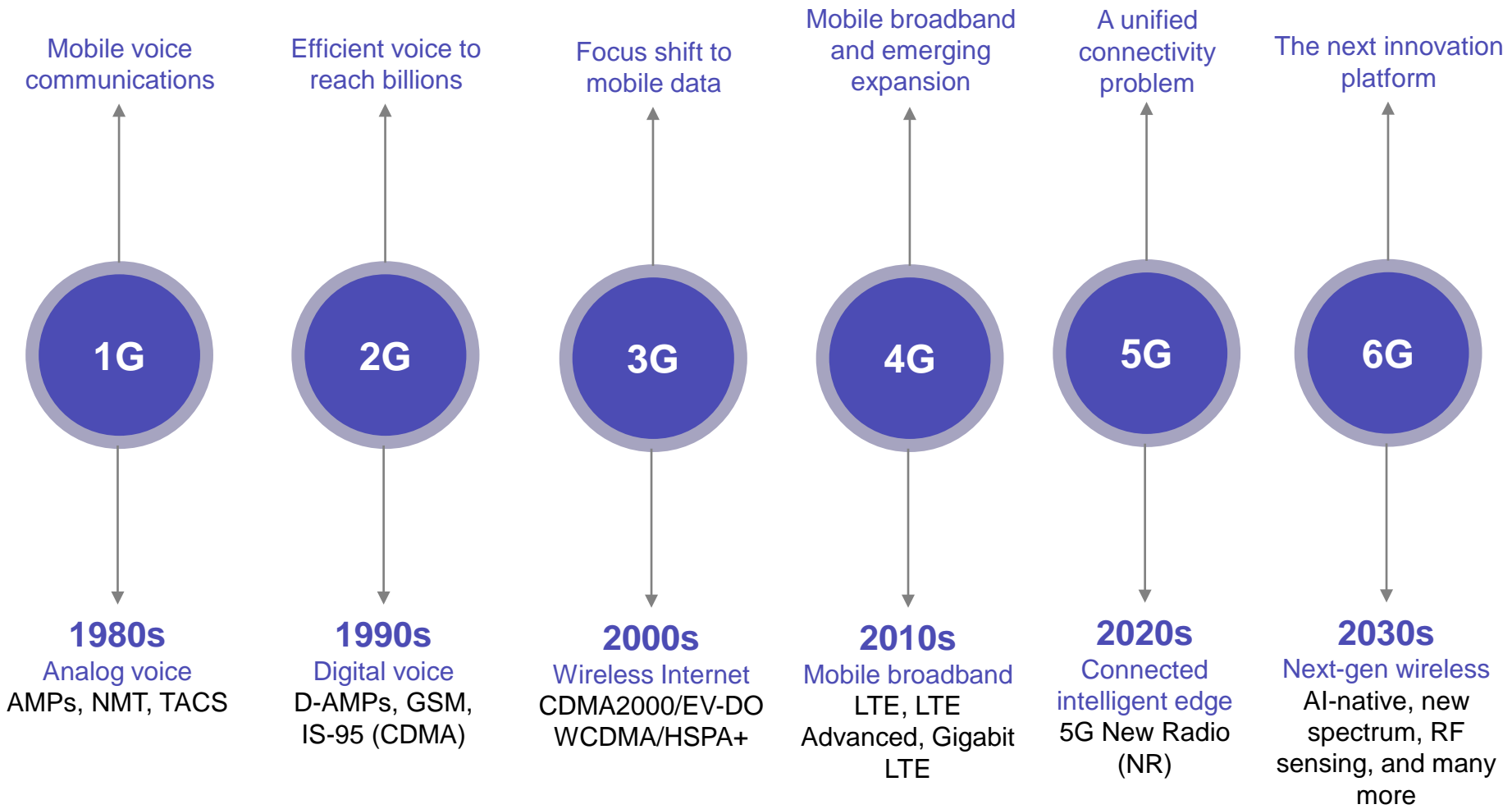
Prof. Zouheir Rezki
UCSC



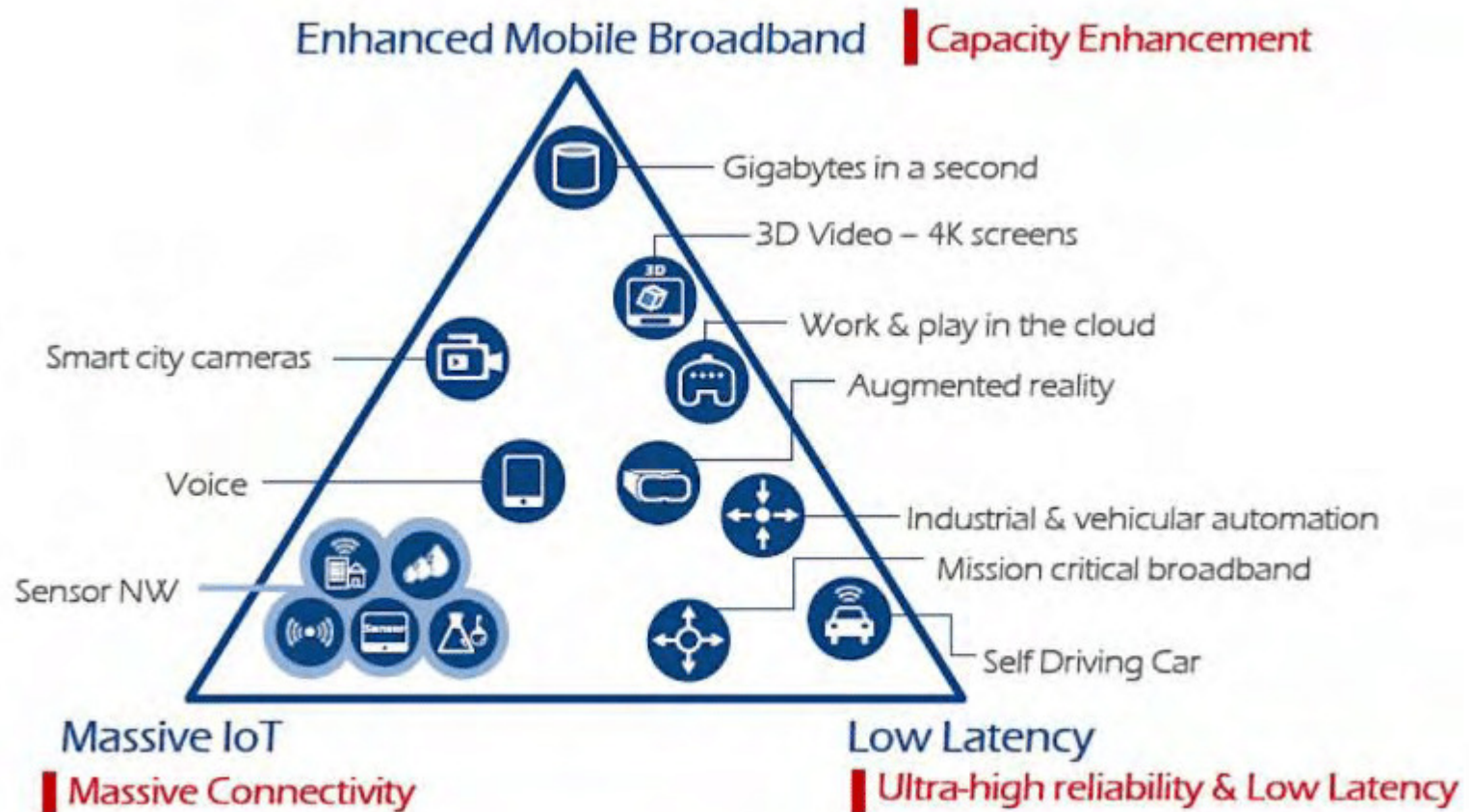
Ministry of Education
Republic of China (Taiwan)



Mobile has a leap every ~10 years



5G Advanced

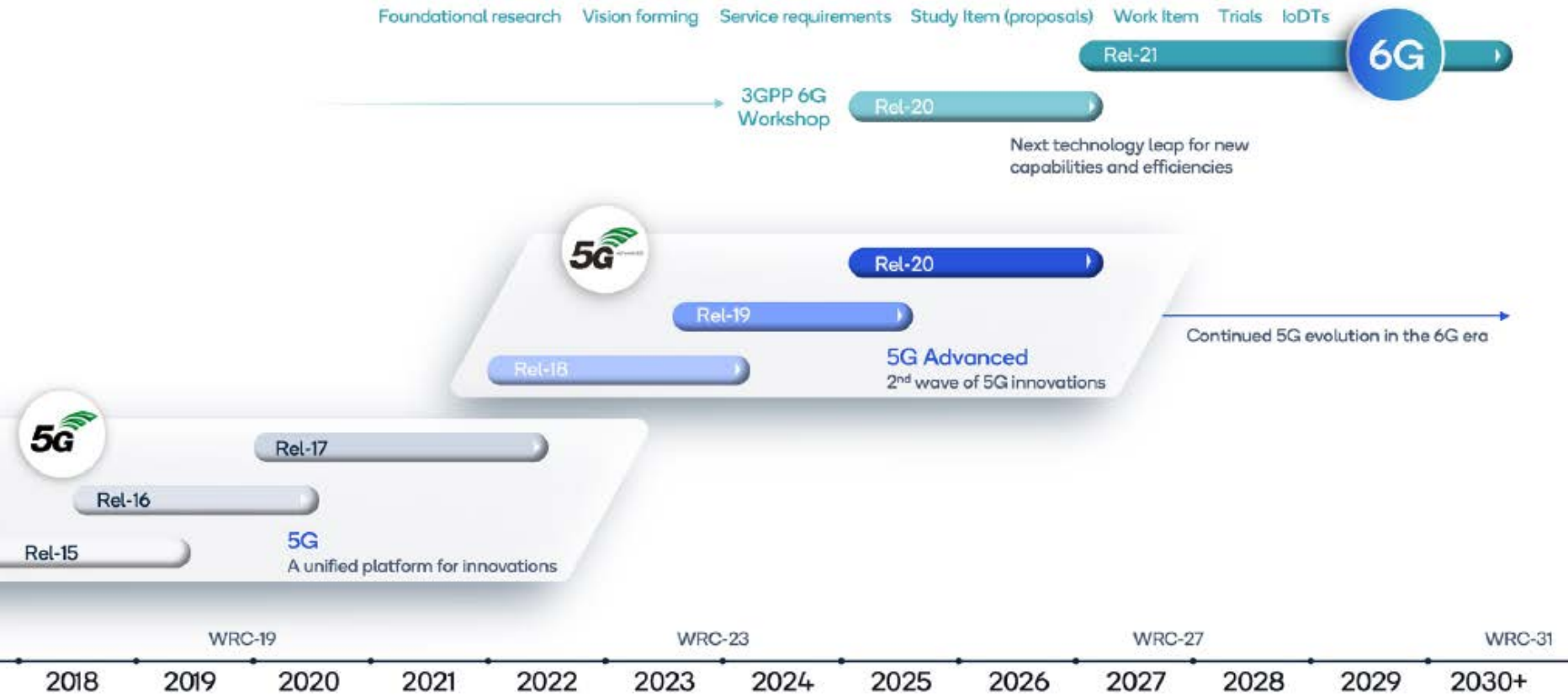


5G Advanced Evolution Starts with Rel. 18



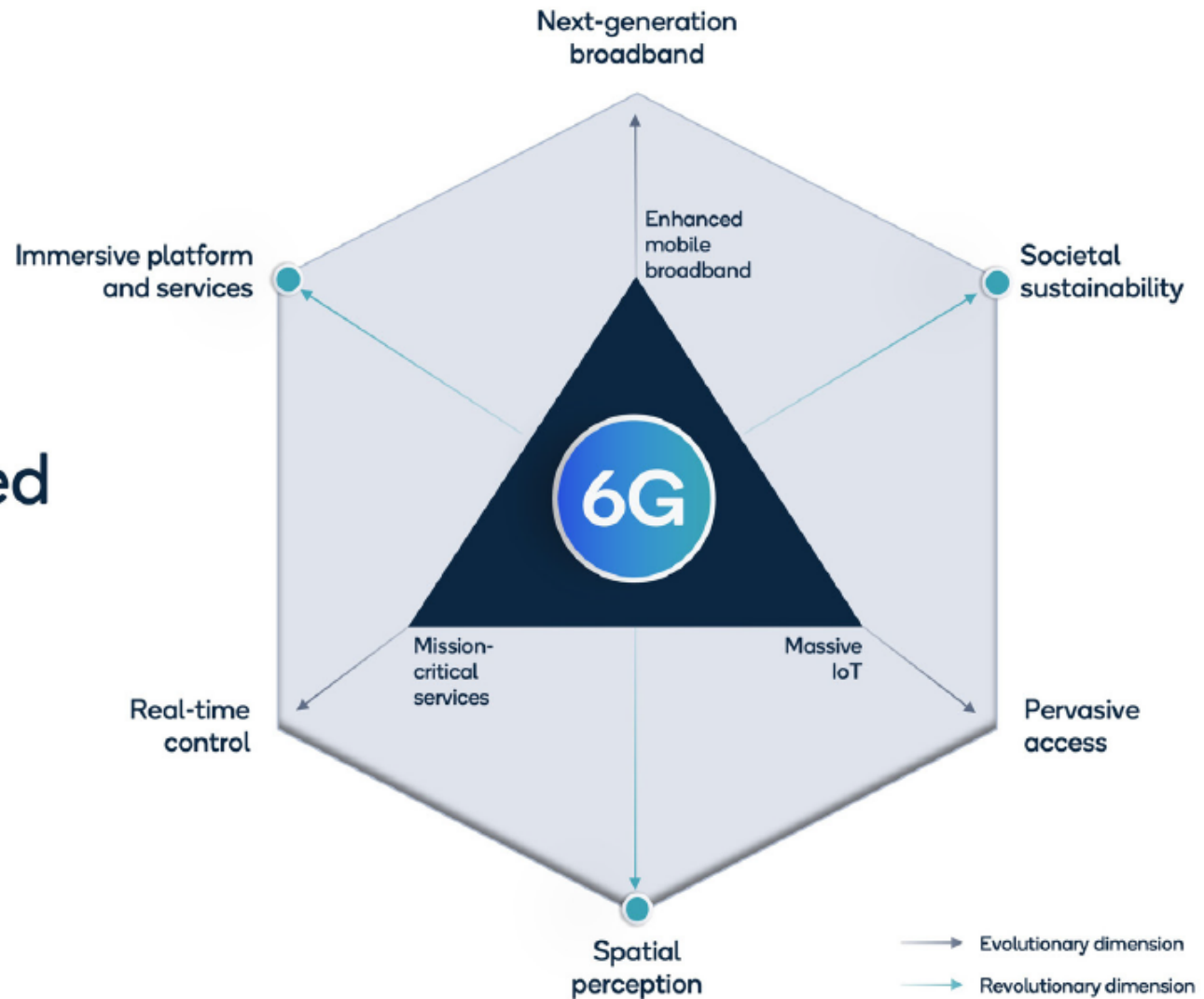
5G Path to 6G

Foundational research Vision forming Service requirements Study Item (proposals) Work Item Trials IoTs

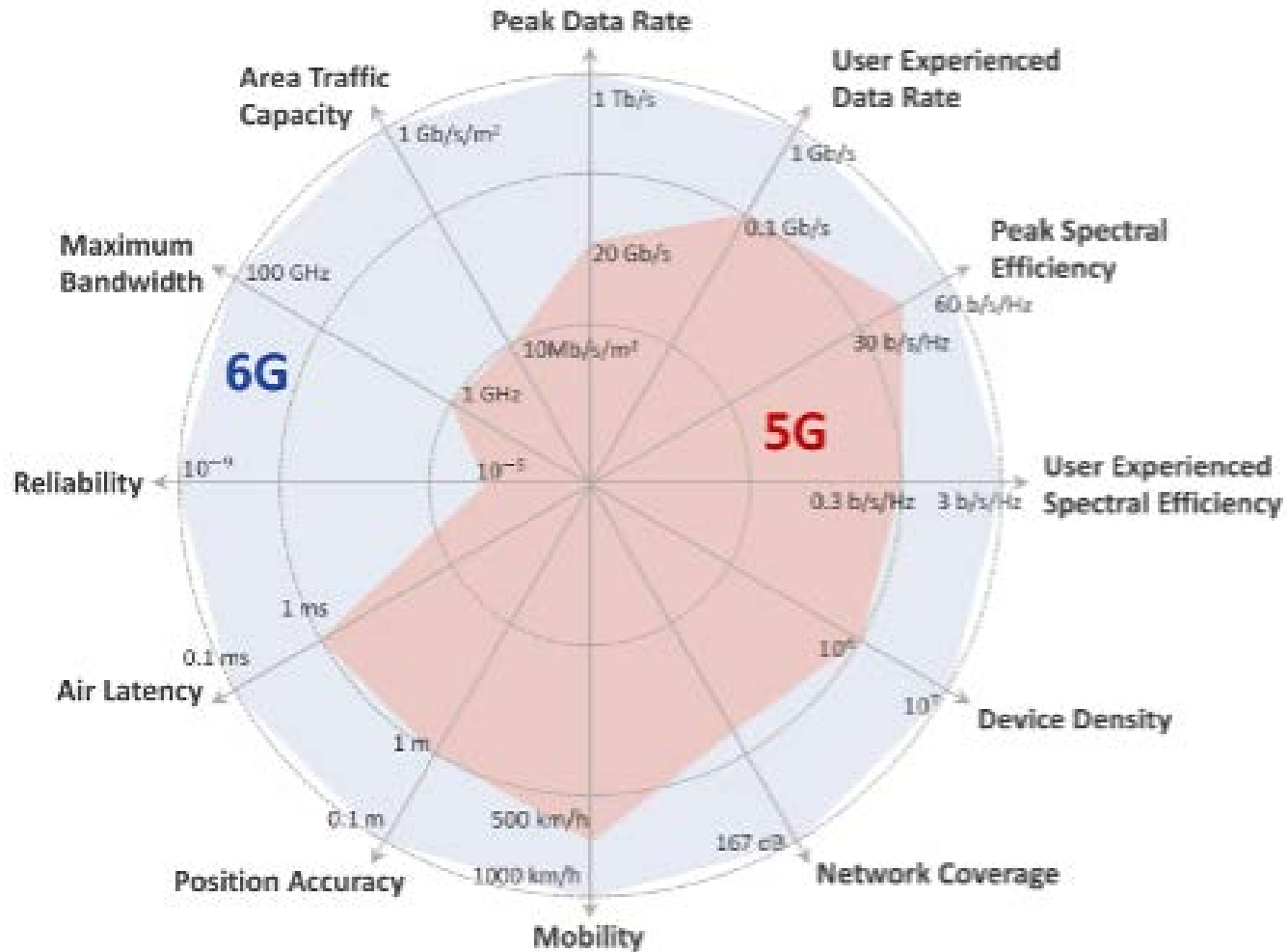


Expanding 5G to 6G

A smarter wireless platform to support enhanced services and new use cases



Comparison of 5G and 6G attributes



Edge AI

- Fundamental challenges
 - Deep learning, traffic and big data analytics require tremendous communication and computation resources
- Edge Intelligence – moving the intelligence towards the edge of the network, close to the data source
 - Low latency
 - Frugal
 - Sustainable
 - Privacy preserving
 - Distributed
 - Pervasive at all network layers





Air interface innovations for enhanced spectral efficiency and new spectrum

Giga-MIMO unlocking upper mid-band (7-24 GHz), sub-THz, visible light, distributed massive MIMO, RIS, 5G/6G DSS, ...



New channel coding, modulation scheme, and waveform design

Enhanced LDPC, polar codes vs. new techniques such as spinal, PAC, staircase codes, constellation shaping, ...



Expanded network topology and enhanced device mobility management

Disaggregated network architecture, multi-access interworking with Wi-Fi/BT/UWB, public/private network interoperability, ...



Strengthened end-to-end system security building on 5G and LTE

Post quantum security, data management and identity privacy, full encryption down to PHY/MAC, integrity protection, ...



Integrated communication, sensing, compute

Enhanced immersive XR, collaborative positioning, RF sensing for the merging of physical, digital, and virtual worlds, ...



Cloud-native network convergence

Merging of core and RAN as well as application services with distributed service model, ...



Wireless machine learning

Cross-node (i.e., network and device) AI/ML air interface design, and intelligent network operations, ...



Full-duplex communication

Single-frequency and subband full duplex, device-side full duplex, for communication, sensing and beyond, ...



New device types and service models

Ultra-low power and passive devices, hologram AI, cooperative devices, ...

6G WILL BRING TOGETHER

Evolutionary and revolutionary wireless advances

Across radio and baseband, machine learning and AI, cloud — network, and the merging of the worlds



Extreme evolution of the wireless foundation

6G

Disruptive revolution with novel technologies



Air interface innovations for enhanced spectral efficiency and new spectrum

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6G WILL BRING TOGETHER

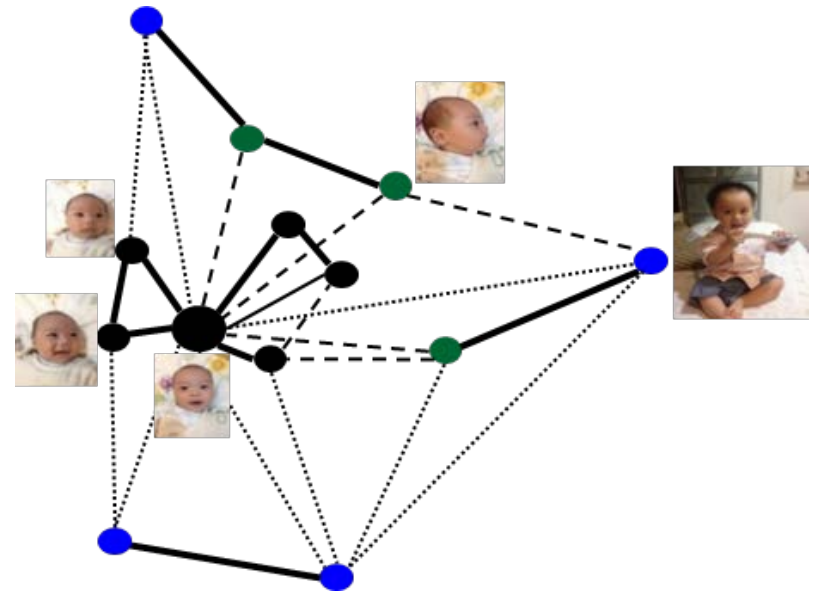
Evolutionary and revolutionary wireless advances

Across radio and baseband, machine learning and AI, cloud — network, and the merging of the worlds

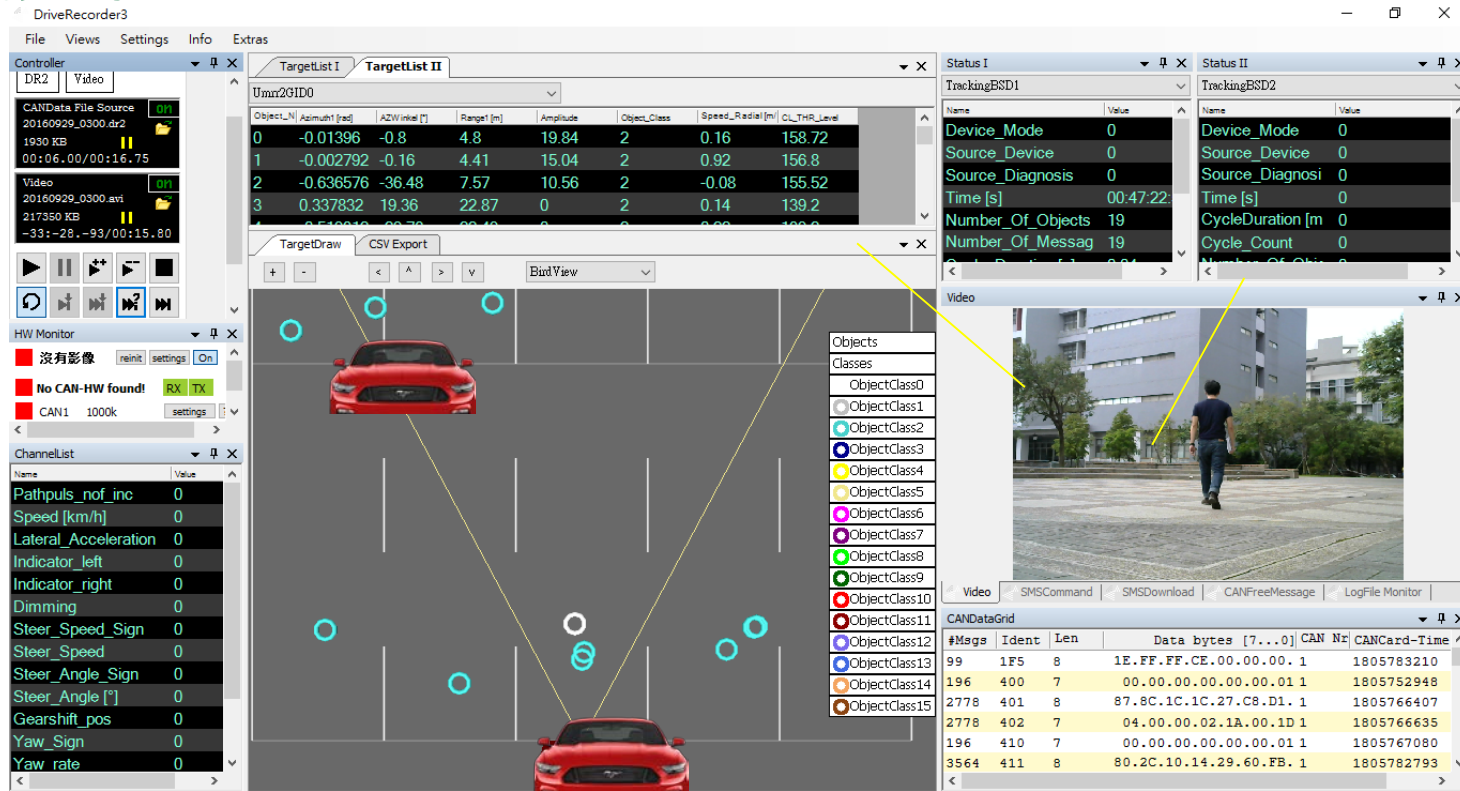


AISP Group

- Research focuses on
 - **Learning (causal nonlinear) data model using time-varying graphs (online graph learning)**
 - Identify rotational drivers of atrial fibrillation in the heart though cardiac mapping
 - Identify channel and/or interference graph for transceiver design
 - Service chain graph embedding for virtual network function
 - **6G: Model-based DNN design for intelligent reflective surface (IRS)**
 - Channel estimation
 - Beamformer design
 - Aerial IRS positioning
 - **Federated learning for communications using generative AI model**
 - Channel estimation
 - Beamformer design
 - Medical image generation
- Summer internship **abroad** for Ph.D. candidates are strongly encouraged (possible for outstanding M.S. students)
 - M.S. and 1st-year Ph.D. students encouraged to apply for the industrial Ph.D. program (教育部產學博計畫)
- Group members: 6 M.S., 1 U.G.
- Graduates work at **Google** (Taipei and Mountain View), **Qualcomm** (San Diego), **Amobee** (Hsinchu), **Realtek** (Hsinchu), **Umbo Computer Vision**, Netapp (Los Angeles)



Comm for AI Application: 3D mmWave Radars



C.-N. Chan and C.C. Fung, "RFCM for data association and multitarget tracking using 3D radar," *Proc. of the IEEE Intl. Conf. on Speech, Acoustics and Signal Processing*, Calgary, AB, Canada, Apr. 2018.

M. Servetnyk, C.C. Fung, and Z. Han, "Unsupervised federated learning for unbalanced data," *Proc. of the IEEE Global Communications Conference*, Taipei, Taiwan, Dec. 2020.

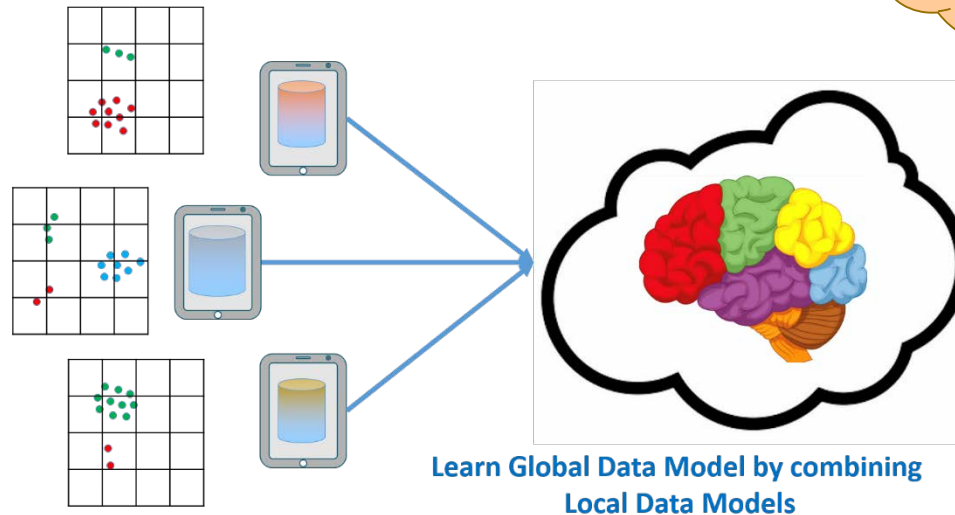
M. Servetnyk and C.C. Fung, "Distributed dual averaging based data clustering," *IEEE Trans. on Big Data*, vol. 9(1), pp. 372-379, Jan./Feb. 2023.



Unsupervised Federated Learning: Federated Clustering Problem

- Training is done at edge nodes and only model parameters are exchanged
- Important in privacy sensitive applications
 - Learning from medical data in different clinics
 - Learning from distributed sensor networks

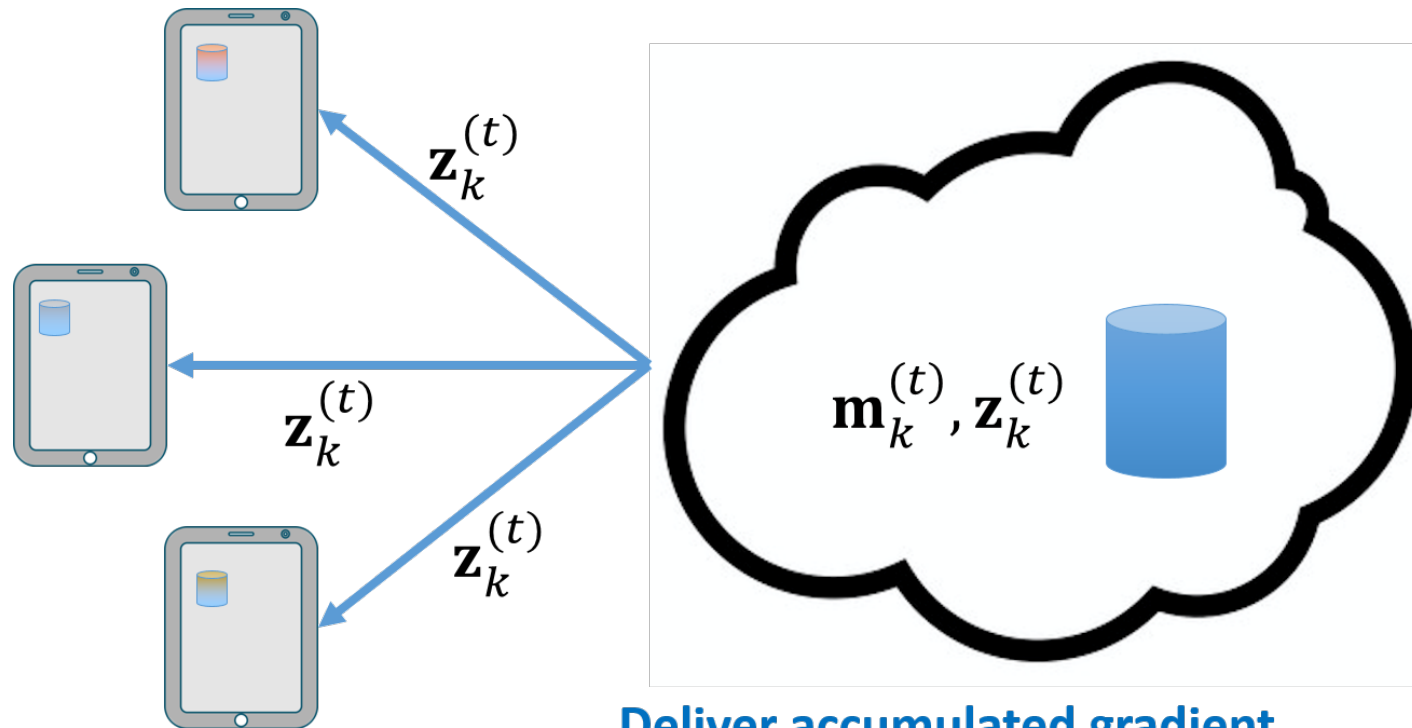
Requires knowledge
about distributed
optimization



- Consider clustering problem, where nodes $j = 1, \dots, J$ observed data from clusters $k = 1, \dots, K$ with means (centroids) \mathbf{m}_k
- Node j makes $n = 1, \dots, N$ observations \mathbf{x}_{jn}
- Central server attempts to estimate cluster means



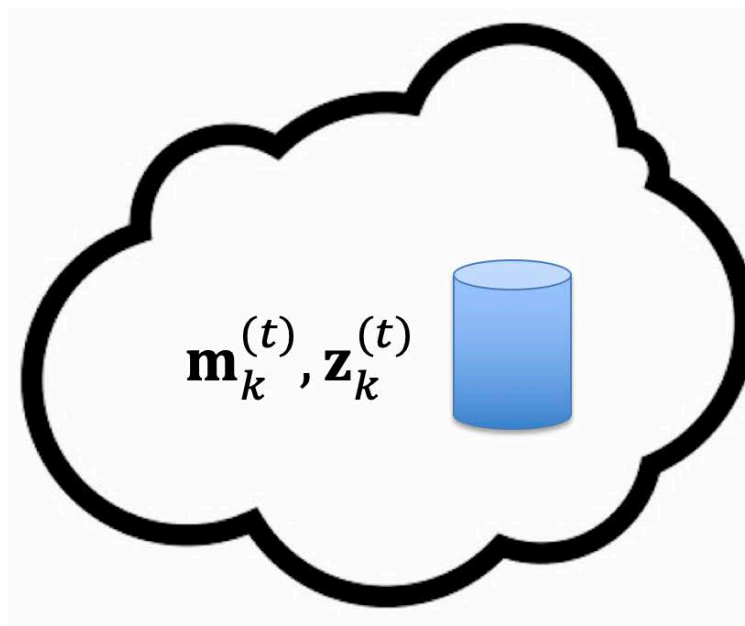
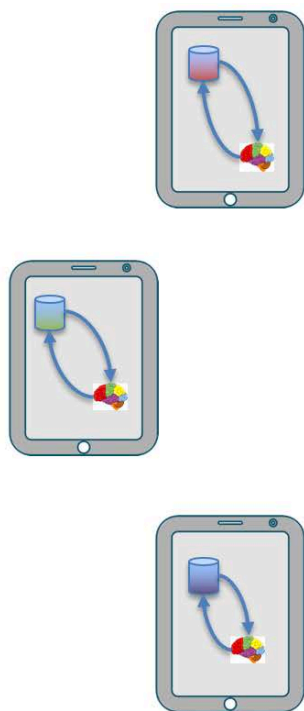
UFL: Federated Dual Averaging Algorithm



**Deliver accumulated gradient
to each device
to compute centroid**



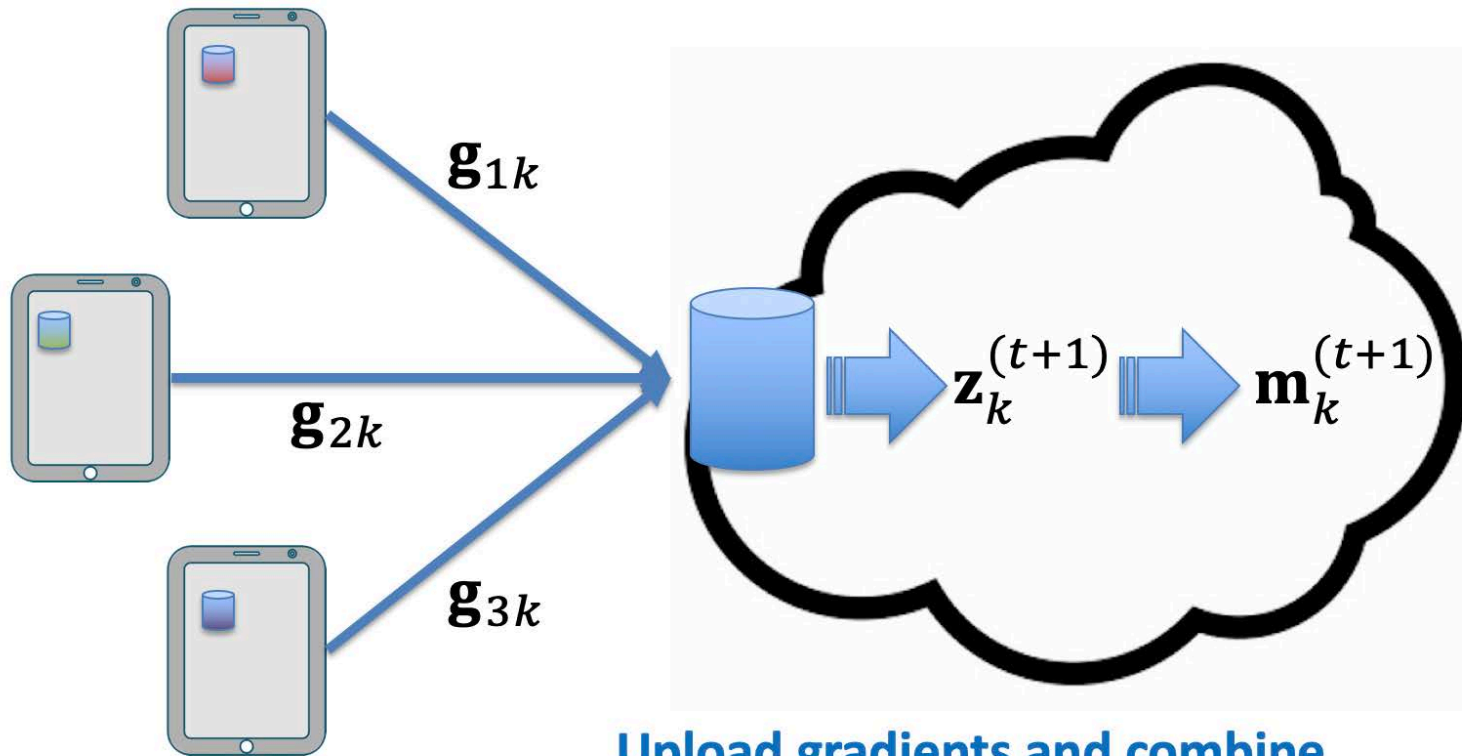
UFL: Federated Dual Averaging Algorithm



**Relabel data based on new means
and recompute gradients
at each node**



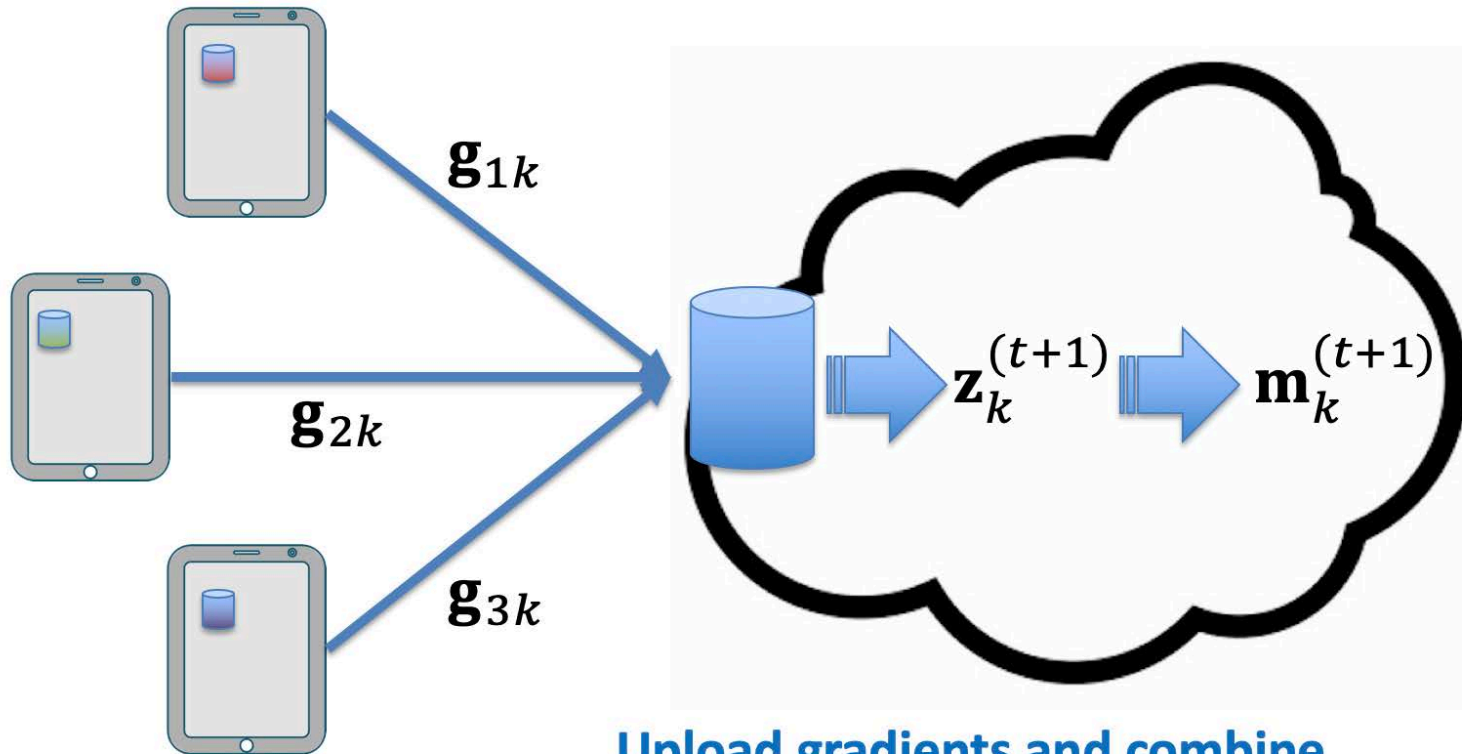
UFL: Federated Dual Averaging Algorithm



**Upload gradients and combine
at the cloud to compute
Accumulated gradient and mean**



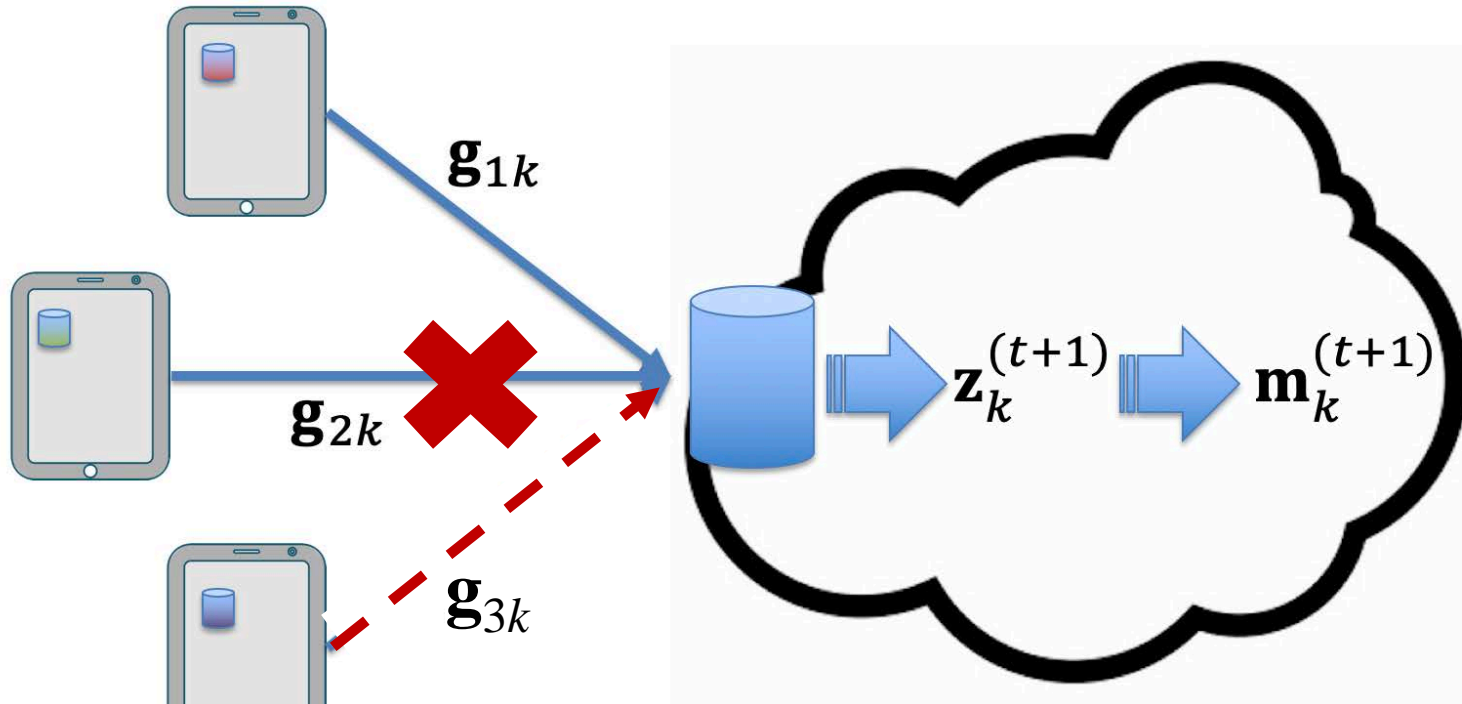
UFL in Asynchronous Networks



**Upload gradients and combine
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UFL in Asynchronous Networks

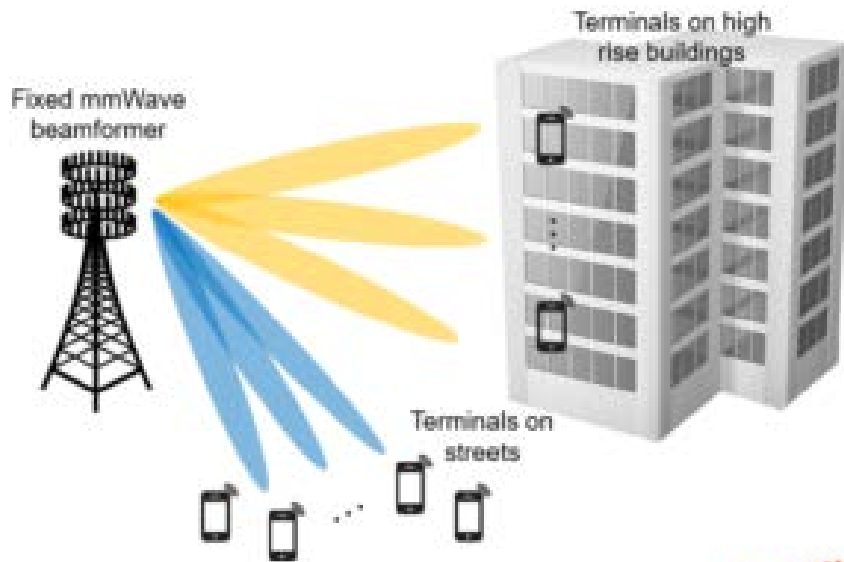


**Upload gradients and combine
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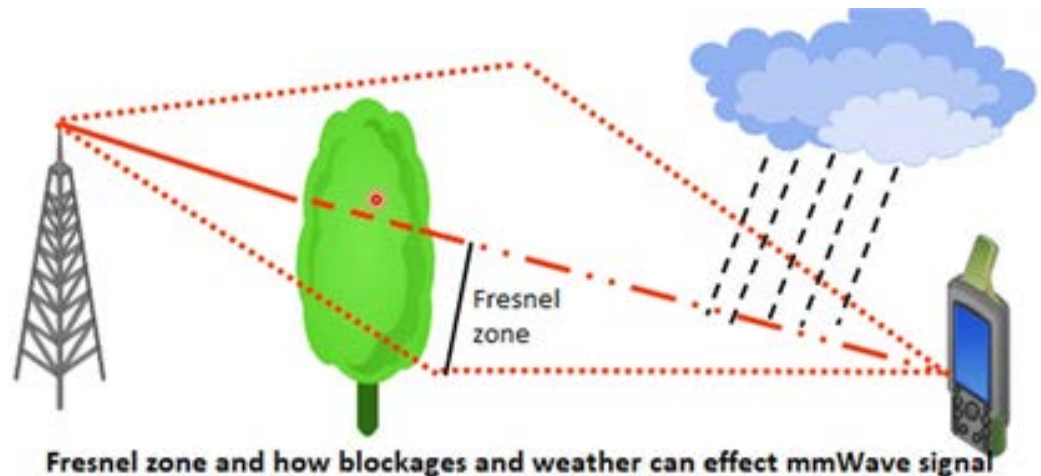
R.-Y. Hsu, **C.C. Fung** and M. Servetnyk, “Unsupervised federated learning for unbalanced data in asynchronous networks,” *under presentation*.



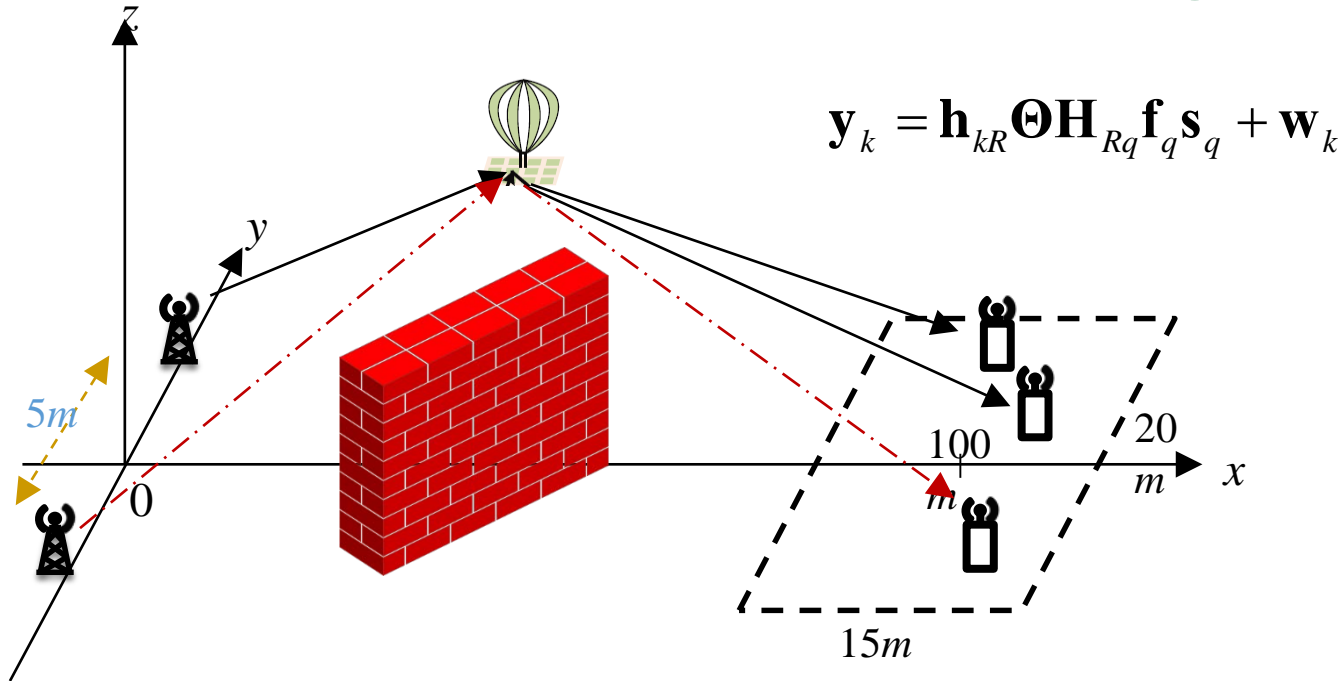
Air Interface for Enhanced Access: Increase Frequency \rightarrow Less Interference



...but also higher propagation loss \rightarrow signal travels less distance and scatters quickly



Joint Beamformer and Aerial Intelligent Reflective Surface (AIRS) Positioning Design



T. Chao, **C.C. Fung**, Z.-E. Ni and M. Servetnyk, "Joint beamforming and aerial IRS positioning design for IRS-assisted MISO system with multiple access points," *IEEE Open Journal of the Communications Society*, vol. 5, pp. 612-632, Dec. 2023.

A.M. Huroon, Y.-C. Huang, **C.C. Fung** and L.-C. Wang, "Generalized Bender's Decomposition (GBD) for reconfigurable intelligent surface-assisted transmission strategy problem", *Proc of the IEEE VTS Asia Pacific Wireless Communications Symposium (VTS-APWCS)*, Seoul, Korea, Aug. 2022.

T. Chao, *Joint Beamforming Design in IRS-Assisted MISO Systems*, M.S. Thesis, National Yang Ming Chiao Tung University, Aug. 2021. (Adviser: **C.C. Fung**)



Non-Terrestrial Network (NTN): IRS-assisted UAV Scenarios

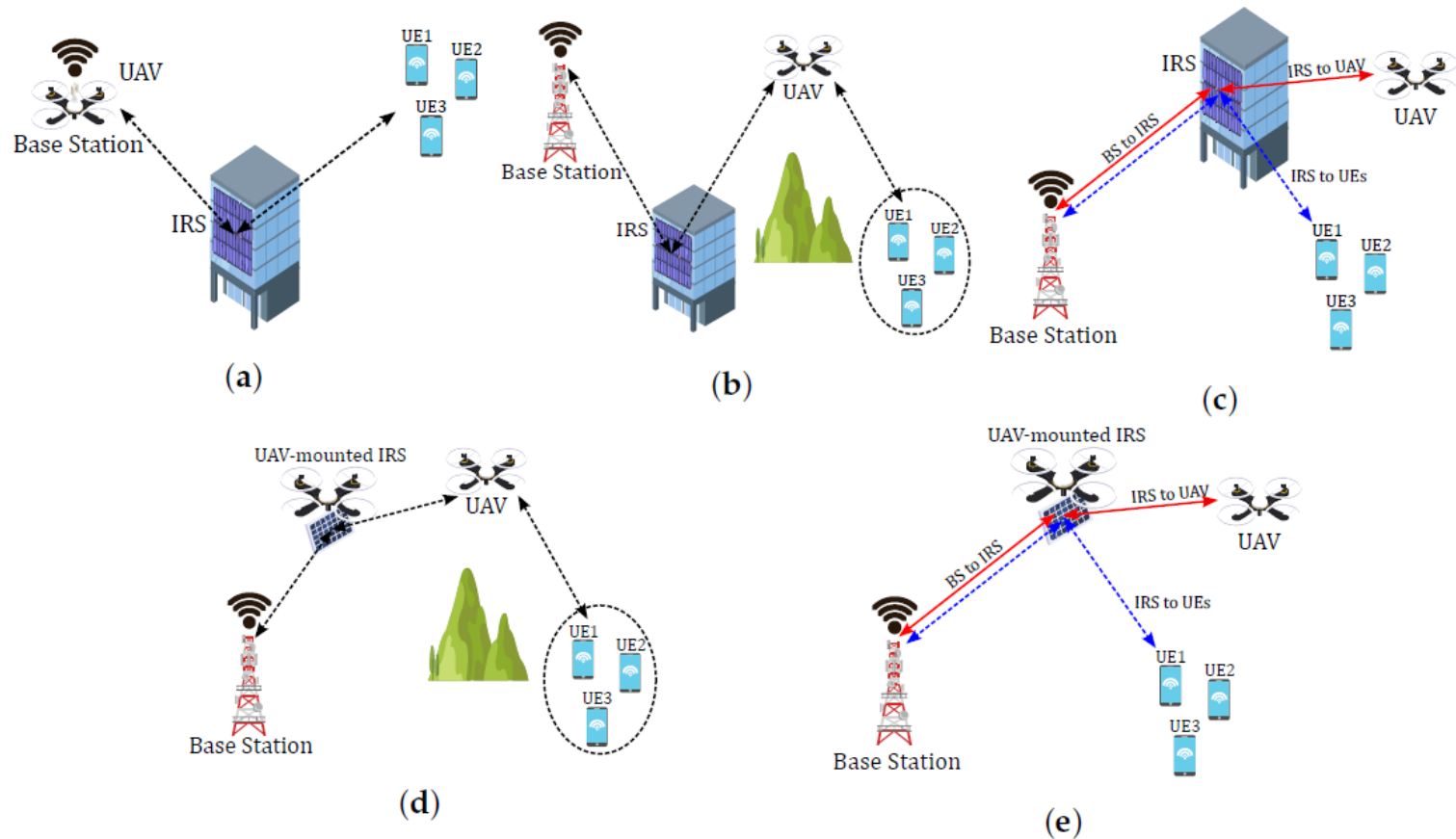


Figure 2. Five representative scenarios of IRS-assisted UAV communications.



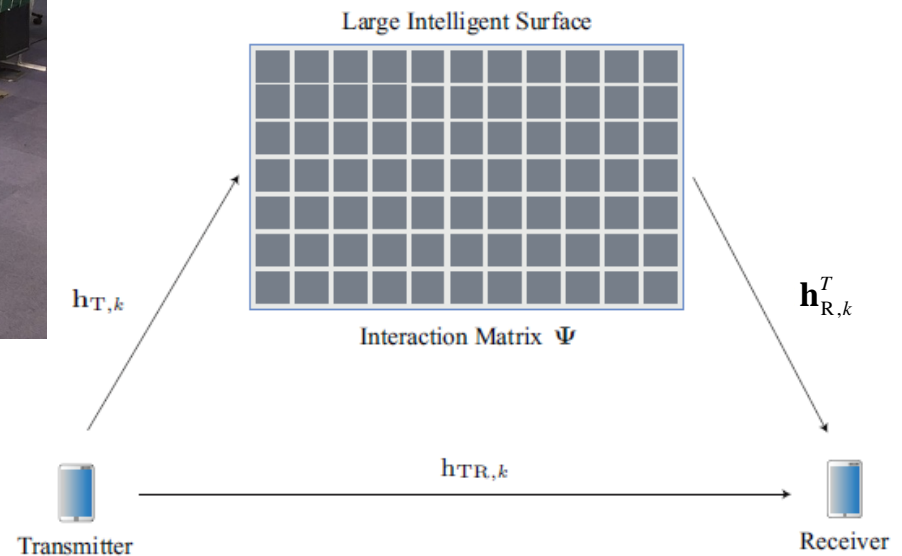
Intelligent Reflective Surface (IRS)



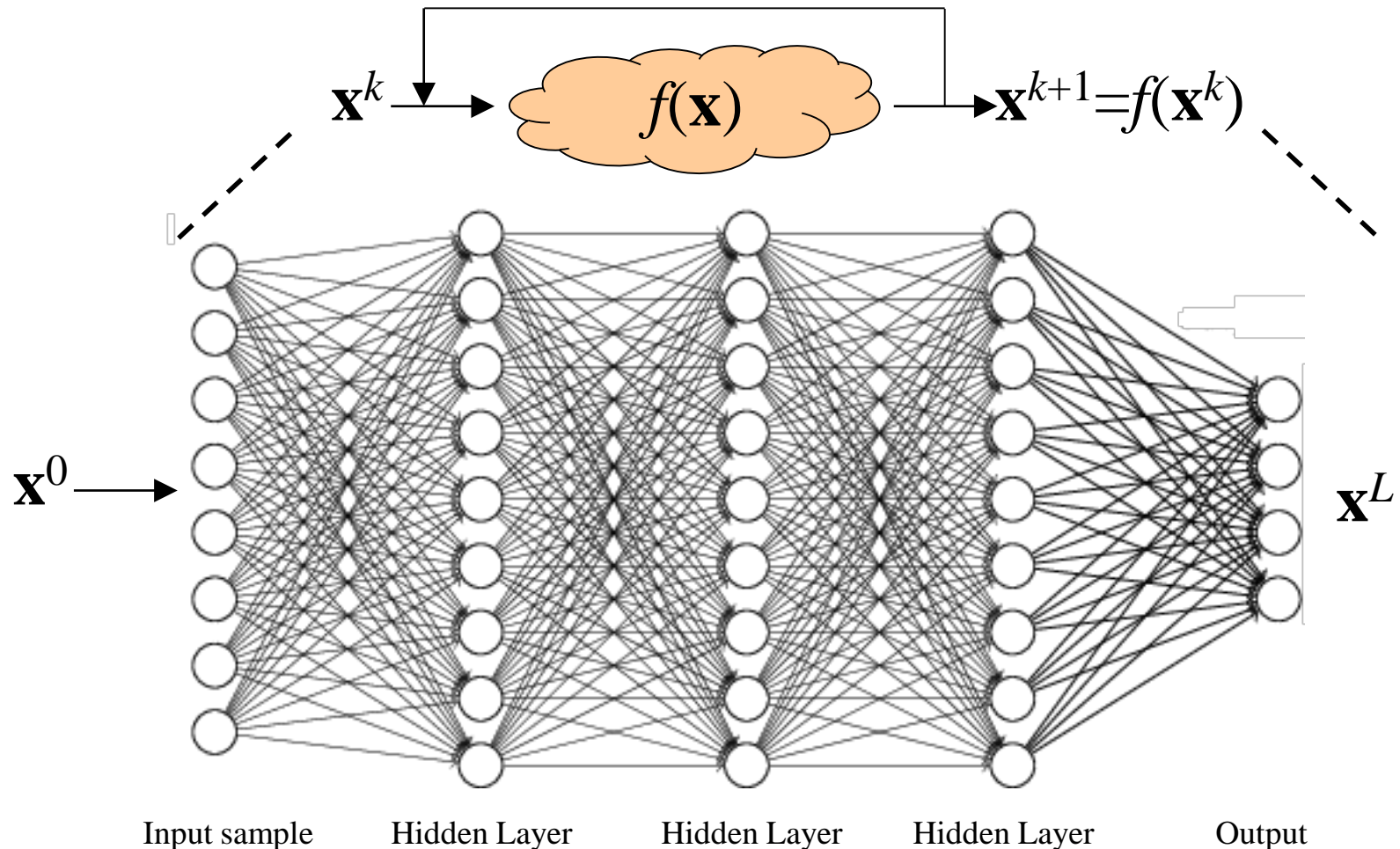
Source: <https://www.techexplorist.com/mit-inexpensive-rforce-amplify-wi-fi-signal-ten-times/29708/>

- **Joint transmitter and IRS design**
- **Channel estimation (large number of IRS elements is prohibitive)**
 - **Compressed sensing and model-based neural network**

Intelligent Reflective Surface

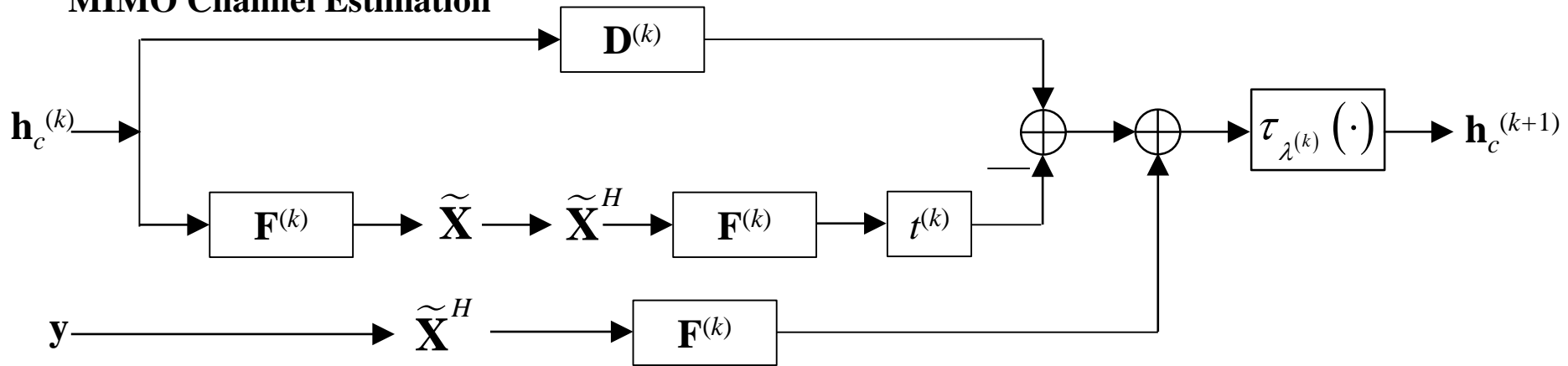


Traditional Iterative Optimization Methods \rightarrow Unfold Into DNN



Explainable Model-Driven Neural Network for Communications

Algorithm Unrolling: Unrolling the Iterative Shrinkage Thresholding Algorithm (ISTA) for MIMO Channel Estimation



Single layer of the ISTA-LS-Net and ISTA-CS-Net.

Learnable parameters: $t^{(k)}, \lambda^{(k)}, \mathbf{F}^{(k)}, \mathbf{D}^{(k)}$

$$\hat{\mathbf{h}}_c = \arg \min_{\mathbf{h}_c} \left\| \mathbf{y} - \tilde{\mathbf{X}} \tilde{\mathbf{U}} \mathbf{h}_c \right\|_2^2 + \lambda \left\| \mathbf{h}_c \right\|_1 = f(\mathbf{h}_c) + g(\mathbf{h}_c)$$

$\mathbf{D}^{(k)}$ has different configurations

$$\mathbf{h}_c^{(k+1)} = \tau_{\lambda^{(k)} t^{(k)}} \left[\left(\mathbf{I}_{n_T n_R} - t^{(k)} \mathbf{F}^{(k)H} \tilde{\mathbf{X}}^H \tilde{\mathbf{X}} \mathbf{F}^{(k)} \right) \mathbf{h}_c^{(k)} + t^{(k)} \mathbf{F}^{(k)H} \tilde{\mathbf{X}}^H \mathbf{y} \right]$$

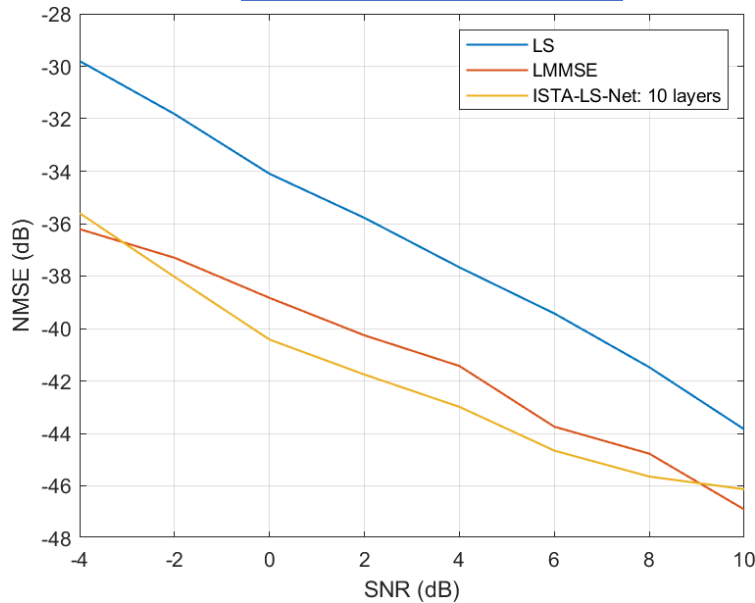
Can be made into a CNN to reduce # of trainable parameters

C.C. Fung and D. Ivakhnenov, “Model-driven neural networks based MIMO channel estimator via eigenmode representation,” presented at the *IEEE Communication Theory Workshop*, Hualien, Taiwan, Jul. 2023.

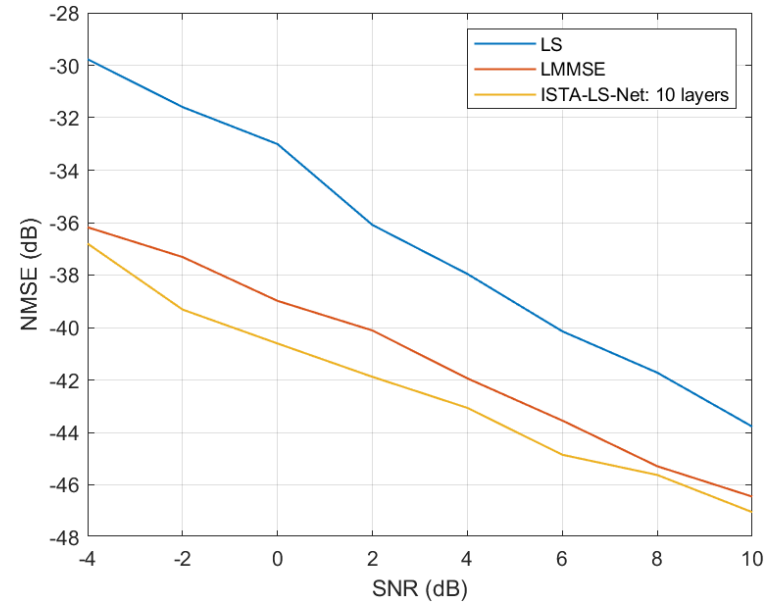


NMSE vs. SNR Performance for InF (Indoor) Channel at 2.5 GHz

Deterministic LoS



Probabilistic LoS



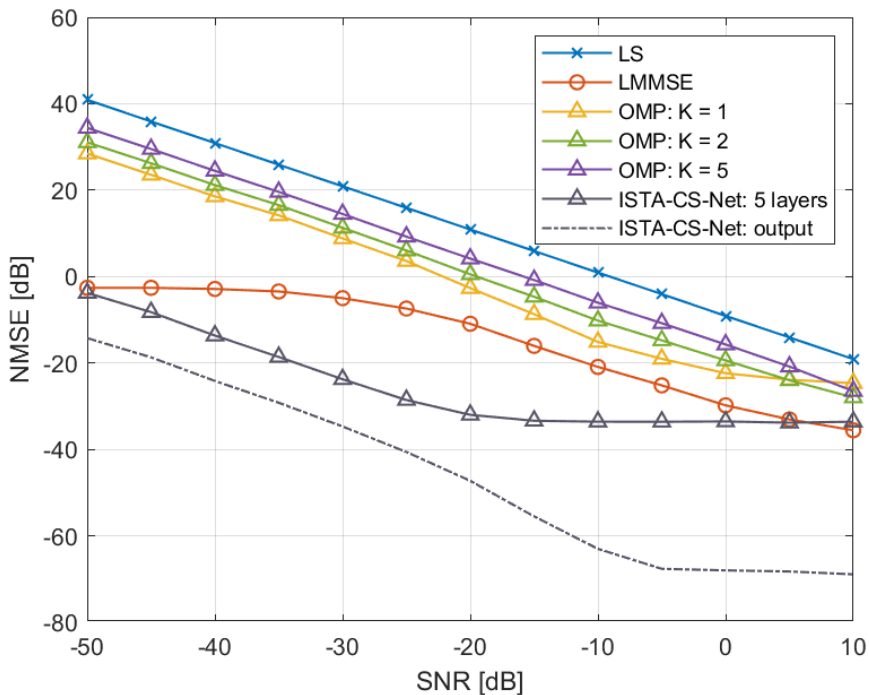
- Learnable parameters: $t^{(k)}, \mathbf{F}^{(k)}, \mathbf{D}^{(k)}$
- 10 layers
- ~830K parameters
- $\lambda^k = 0.5$

C.C. Fung and D. Ivakhnenov, “Model-driven neural networks based MIMO channel estimator via eigenmode representation,”
Presented at the *IEEE Communication Theory Workshop*, Hualien, Taiwan, Jul. 2023.

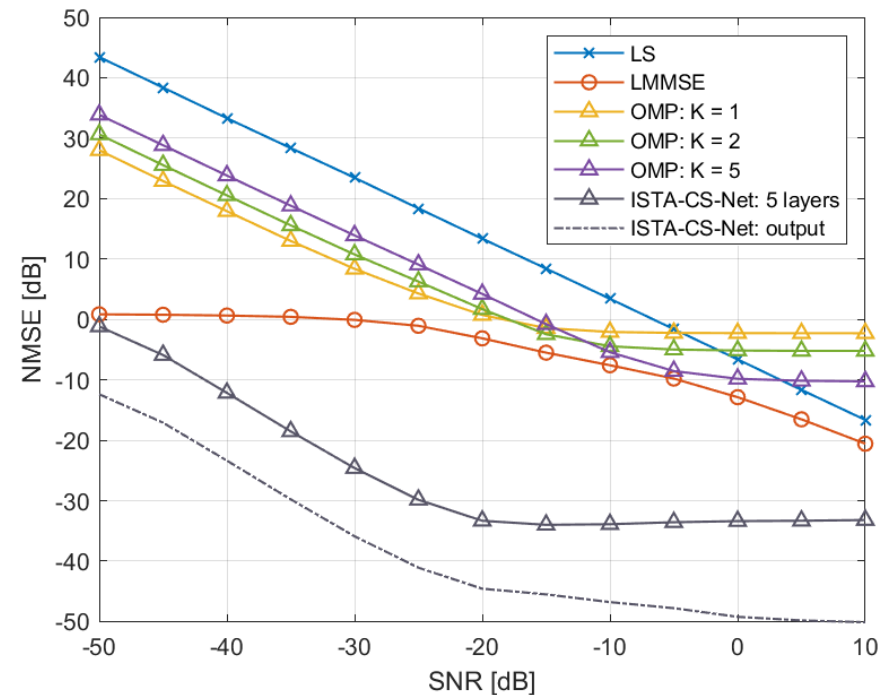


NMSE vs. SNR Performance of ISTA-CS-Net for UMa and InF Channels at 28 GHz

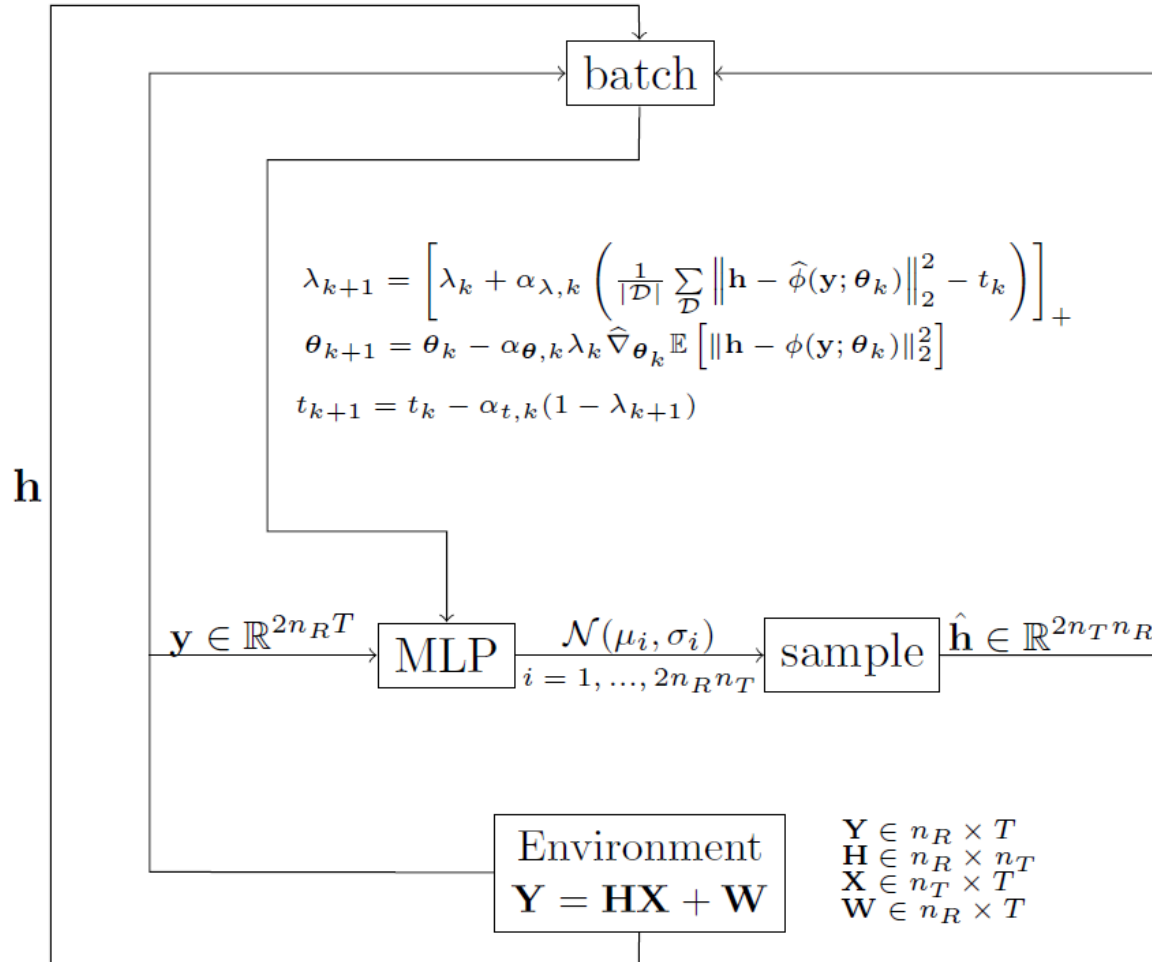
Channel Estimation on UMa



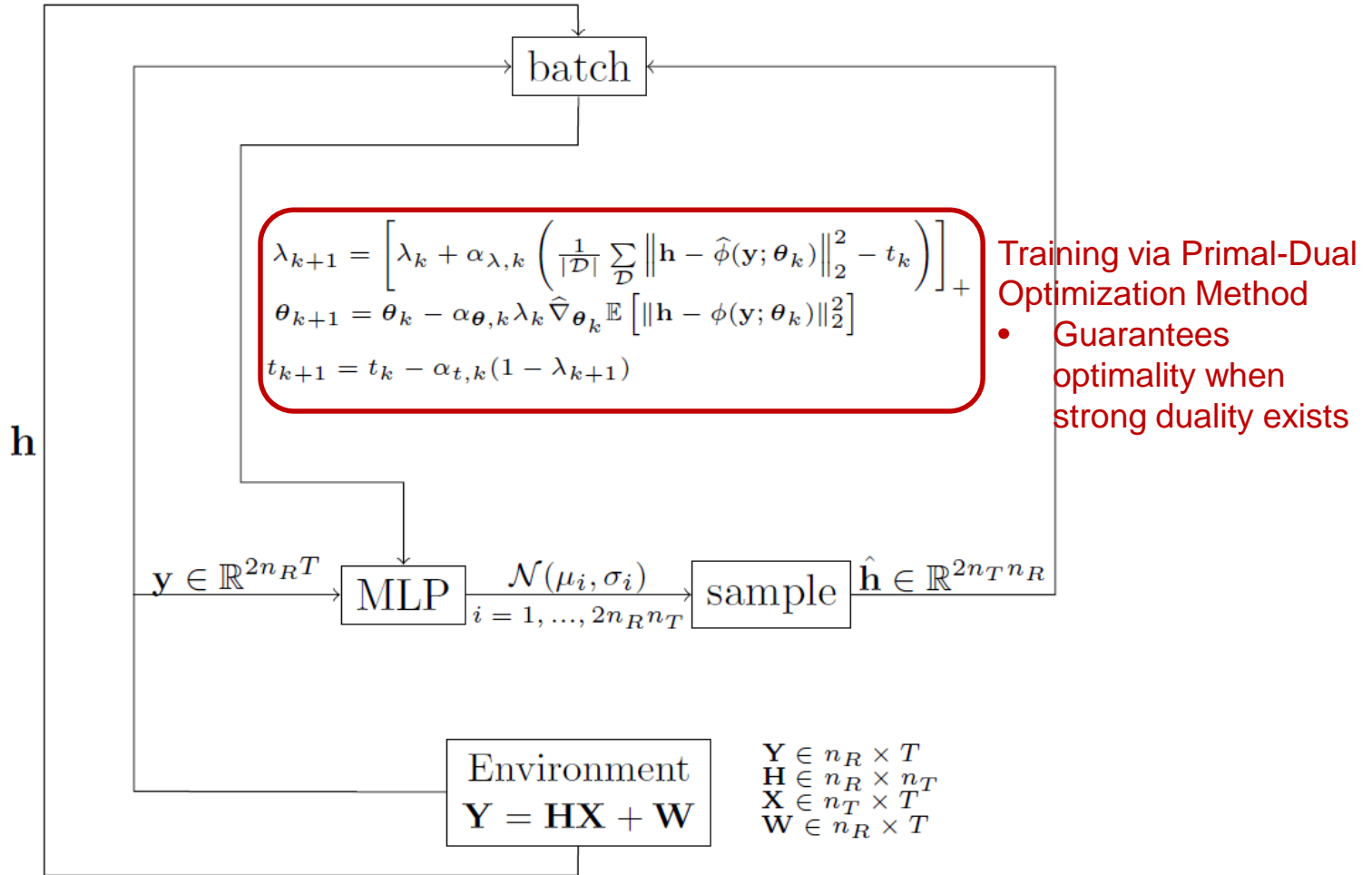
Channel Estimation on InF



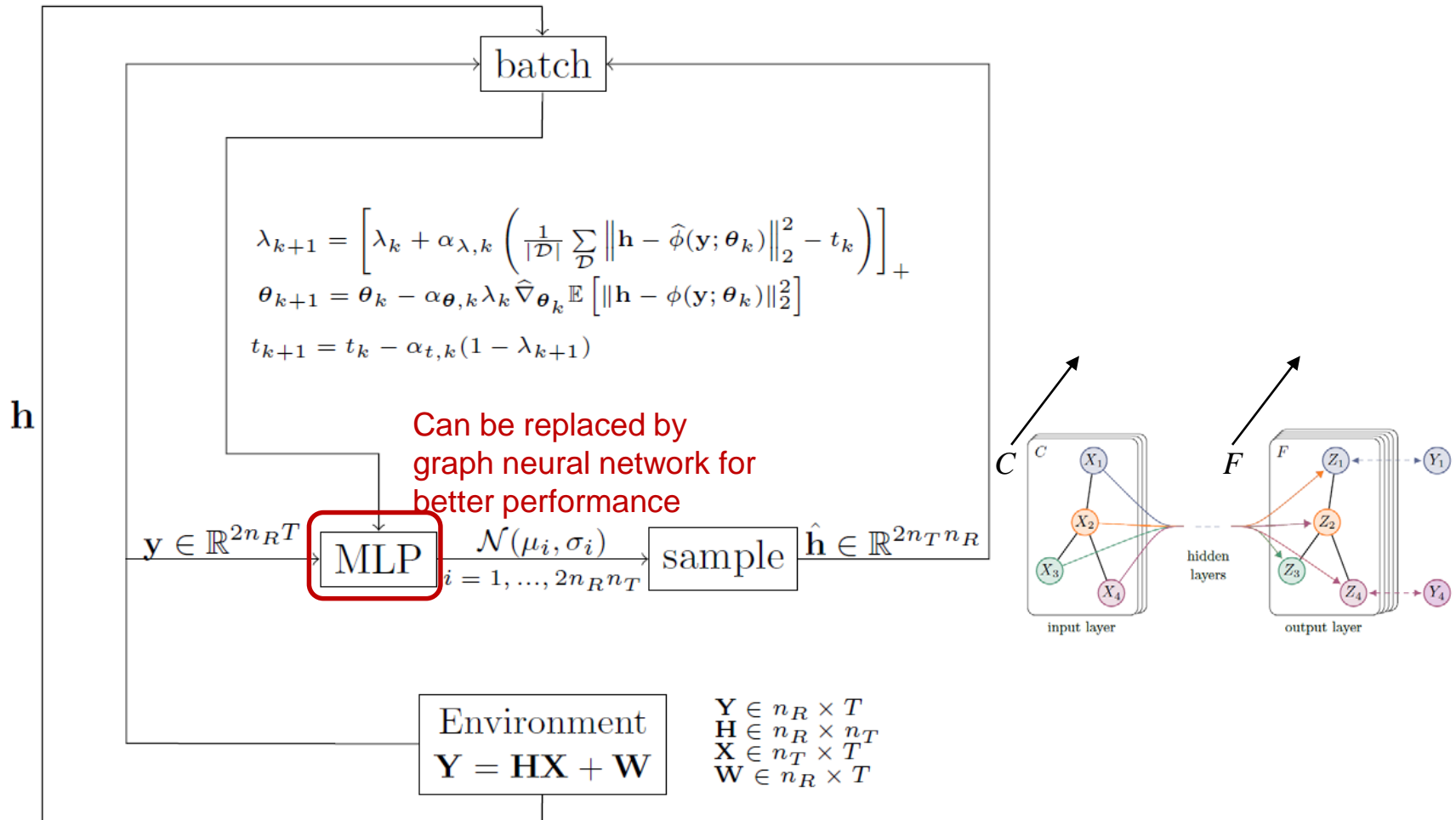
Deep MMSE MIMO Channel Estimator Using Reinforcement Learning



Deep MMSE MIMO Channel Estimator Using Reinforcement Learning



Deep MMSE MIMO Channel Estimator Using Reinforcement Learning



Networked Data

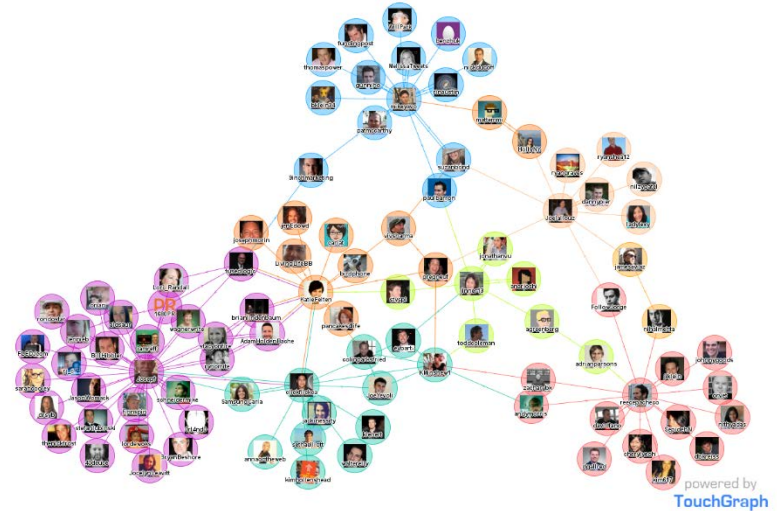
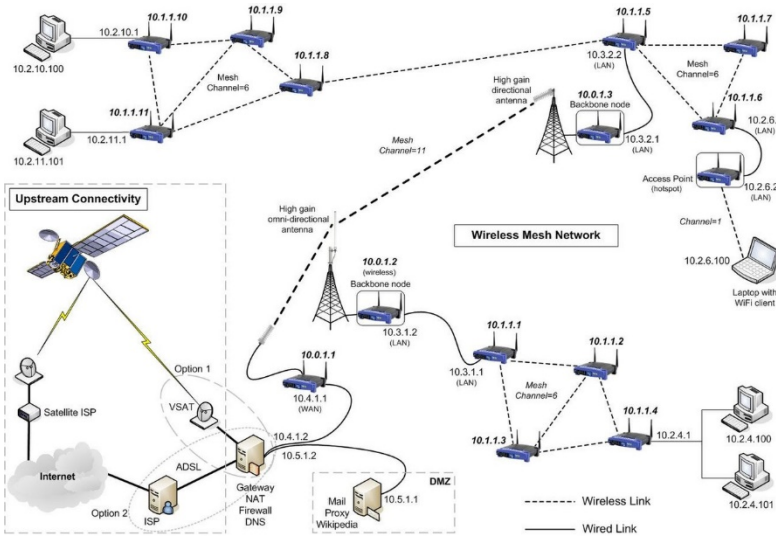


But the distance of each pixel is the same

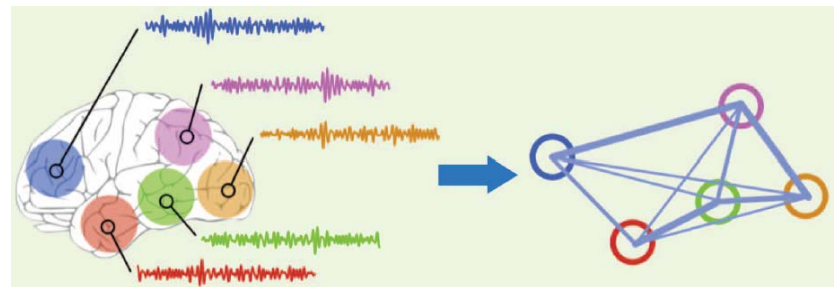
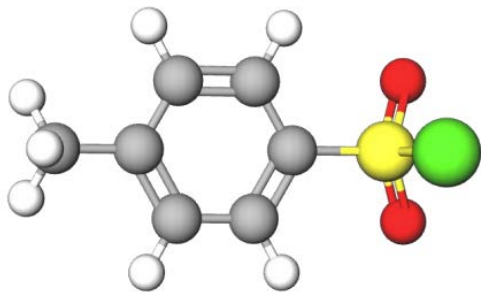
→ Processing can be done using traditionally signal processing techniques (convolution, filtering, transform)



Networked non-Euclidean Data

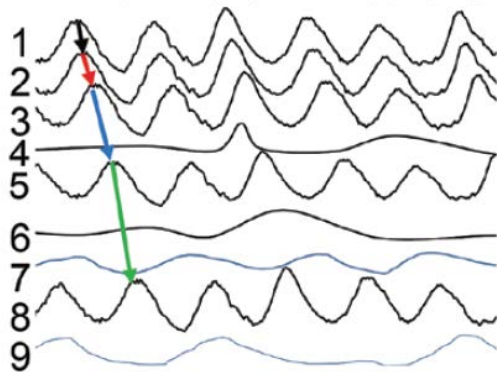


3D Molecular Graph



Identification of Rotational Drivers (RDs) for Atrial Fibrillation

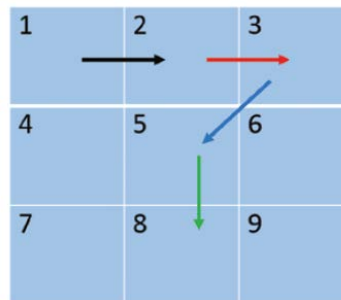
Intracardiac electrogram (iEGM)



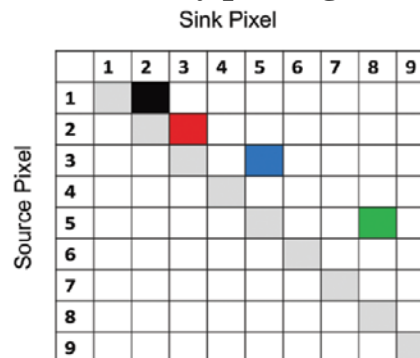
Challenges:

- Low spatiotemporal resolution of the mapping
 - Lead to false positive RDs
- Variability in the iEGM signals causes RDs to exhibit a winding and transient behavior

Granger causality vector map



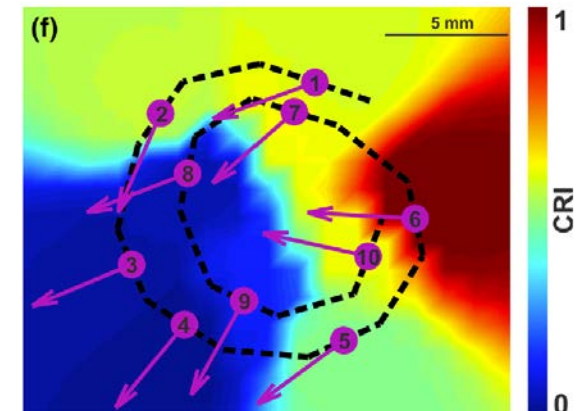
Causality pairing index



Possible solutions:

- Using linear and nonlinear vector autoregressive model to overcome spatial resolution problem
- Online solution to track the transient behavior of iEGM (RDs)

RD that causes atrial fibrillation



M. Rodrigo *et al.*, “Identification of dominant excitation patterns and sources of atrial fibrillation by causality analysis,” *Annals of Biomedical Engineering*, Feb. 2016.

B.S Handa *et al.*, “Granger causality-based analysis for classification of fibrillation mechanisms and localization of rotational drivers,” *Circulation: Arrhythmia and Electrophysiology*, pp. 258- 273, Mar. 2023.

Z.-Y. Wu, C.C. Fung, J.-Y. Chang, H. Chuang and Y.-C. Lin, “Online graph learning via proximal Newton method from streaming data,” <https://www.techrxiv.org/doi/full/10.36227/techrxiv.24311959.v1>.



Why Learn the Non-Euclidean Distance?

Node classification problem

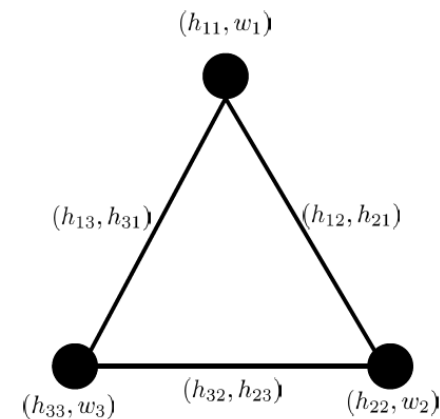
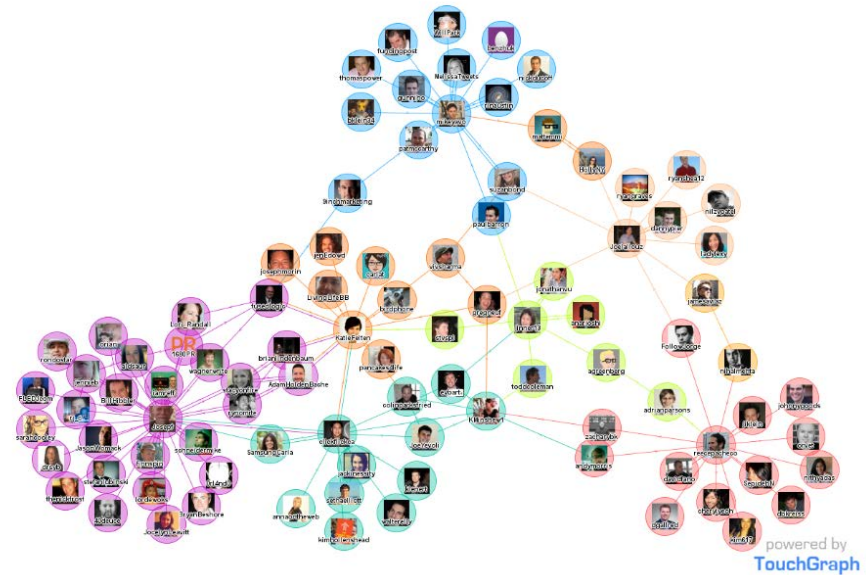
- **Applications:** Community discovery (e.g. Netflix, Pinterest) and offer targeted recommendations to different groups (prediction)

Graph classification problem

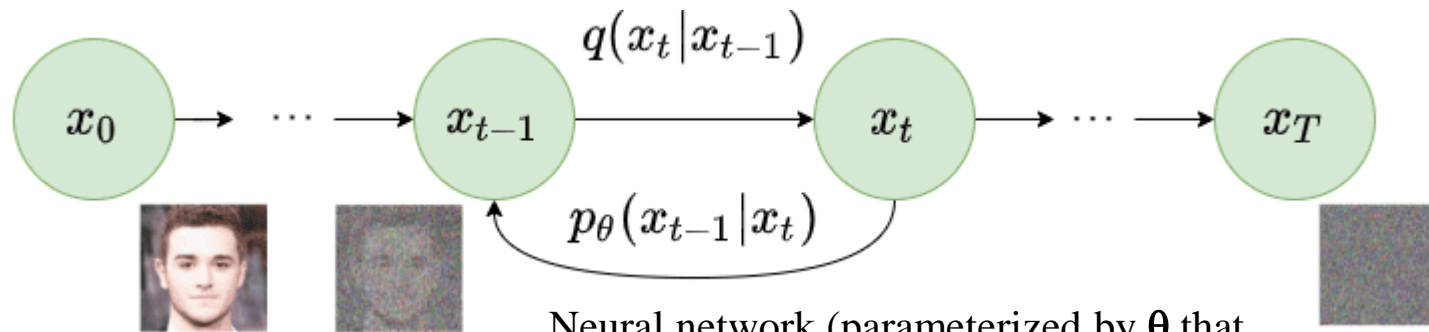
- **Application:** Compare brain graphs across different subjects that have labels (e.g. Alzheimer's disease) may identify if the subject without label may have Alzheimer's

Node regression

- **Application:** Building an interference graph and identifying the power needed for transmission in a multi-transmitter and multi-receiver environment

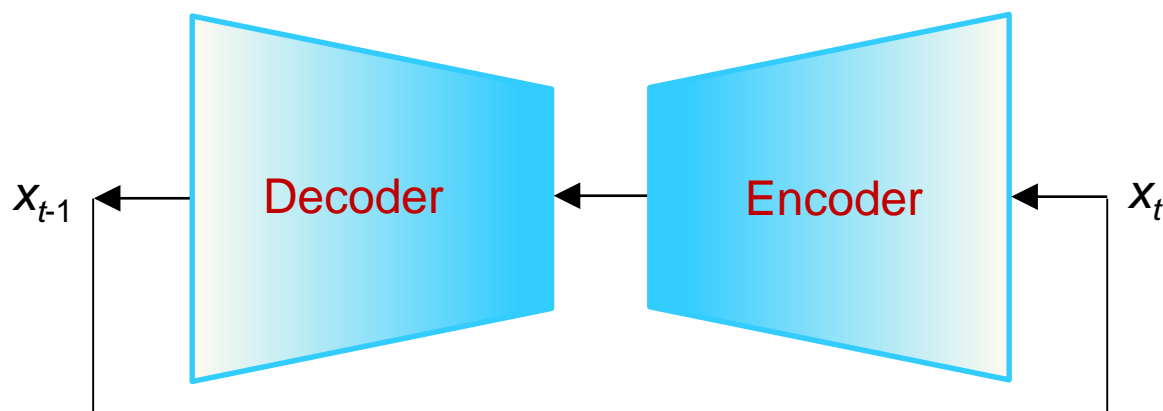


Denoising Diffusion Probability Models (DDPM)



Neural network (parameterized by θ that tries to capture the probability distribution of the noise added at each diffusion step

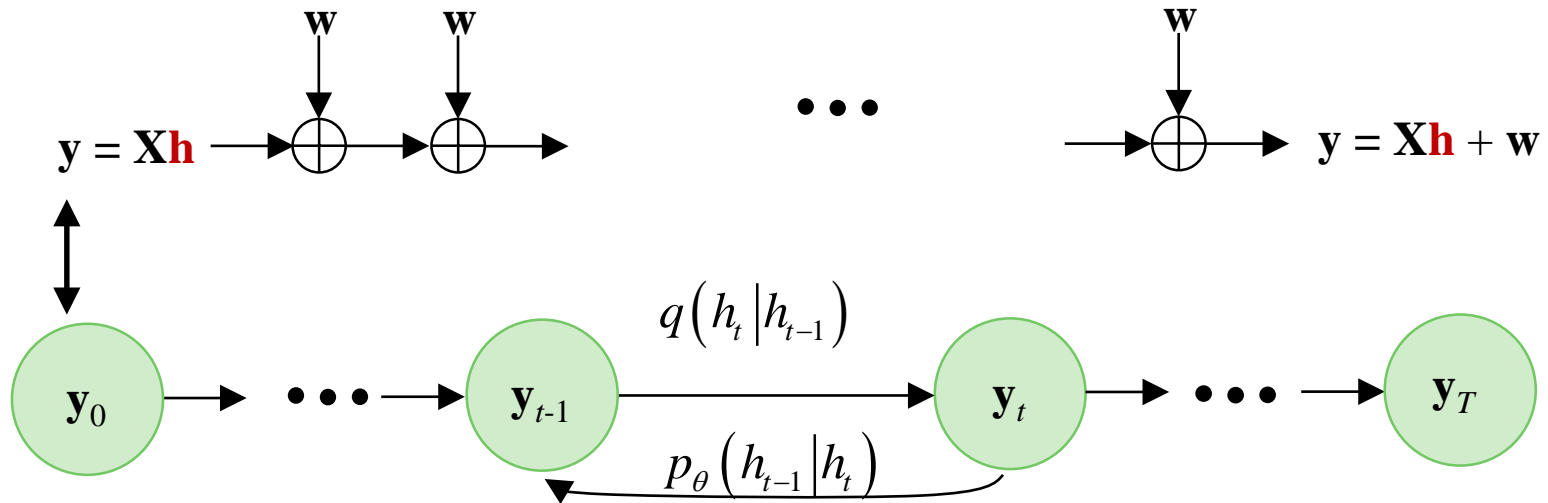
DDPM Reverse Process – Model Training



Learning the true $q(x_{t-1}|x_t)$ from $p_{\theta}(x_{t-1}|x_t)$



DDPM for Communications



$$\Rightarrow \hat{\mathbf{h}} = (\mathbf{X}^H \mathbf{X})^{-1} \mathbf{X}^H \mathbf{y}$$

What models to use? **Why?**

MISO signal recovery?

- Needs to deal with **multiplicative** noise: $\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{w}$

MIMO Precoding? $\mathbf{Y} = \mathbf{H}\mathbf{F}\mathbf{X} + \mathbf{W}$

For more complicated systems and problems?

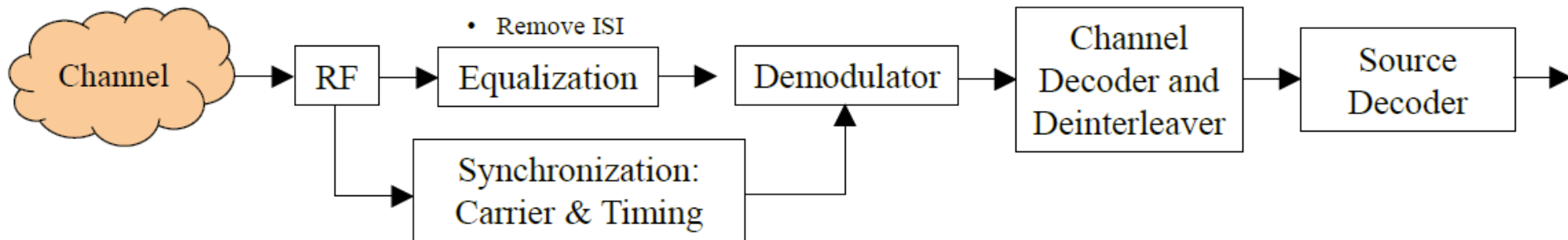
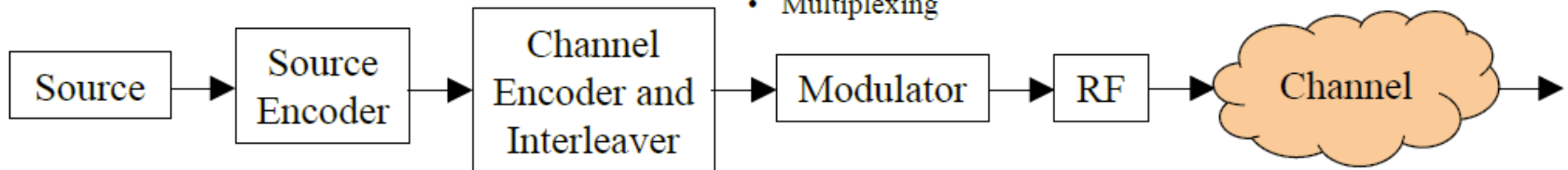


Traditional Digital Communication Systems

- Source coding: remove redundancy → increase efficiency
- Channel coding: increase redundancy → protect information

- Ease of radiation
- Reduce noise and interference
- Increase BW efficiency: R_b/B
- Channel assignment
- Multiplexing

- Internal and external additive noise
- Convulsive noise



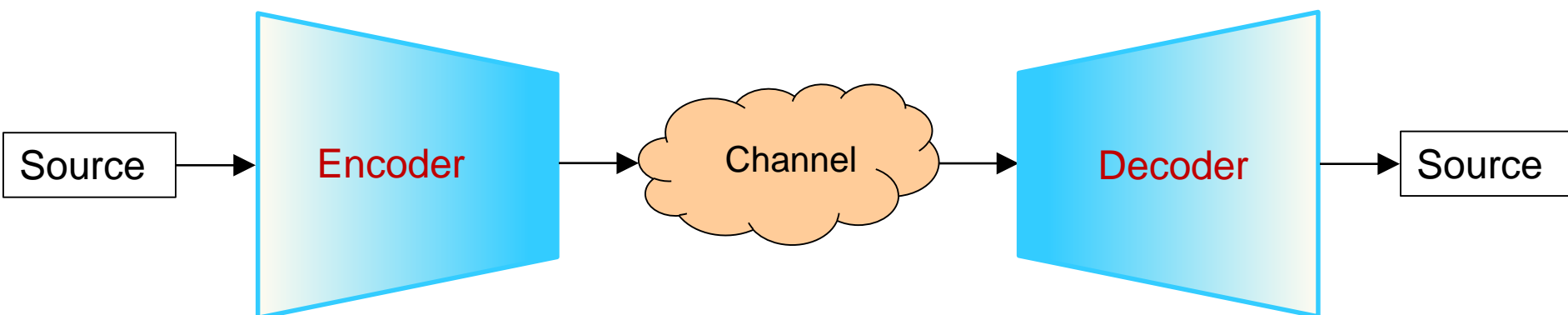
- Carrier: Coherent modulation requires carrier
- Timing: Need to know when to sample to recover digital signal

Keep in mind that this is only a **model!**

Can we make it simpler? More complicated? Consequences?



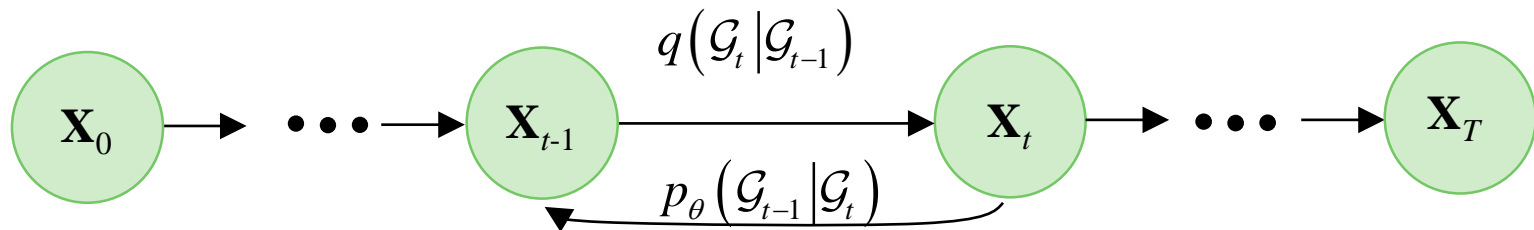
Traditional Digital Communication Systems



Can GenAI model revolutionize communication systems?



Graph Learning and Graph Neural Networks for Communications

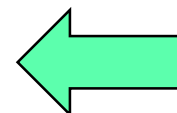


How to deal with generative function \mathcal{F} ?

How to exploit generative AI in an online setting?

Z.-Y. Wu, C.C. Fung, J.-Y. Chang, H. Chuang and Y.-C. Lin, "Online graph learning via proximal Newton method from streaming data," <https://www.techrxiv.org/doi/full/10.36227/techrxiv.24311959.v1>.

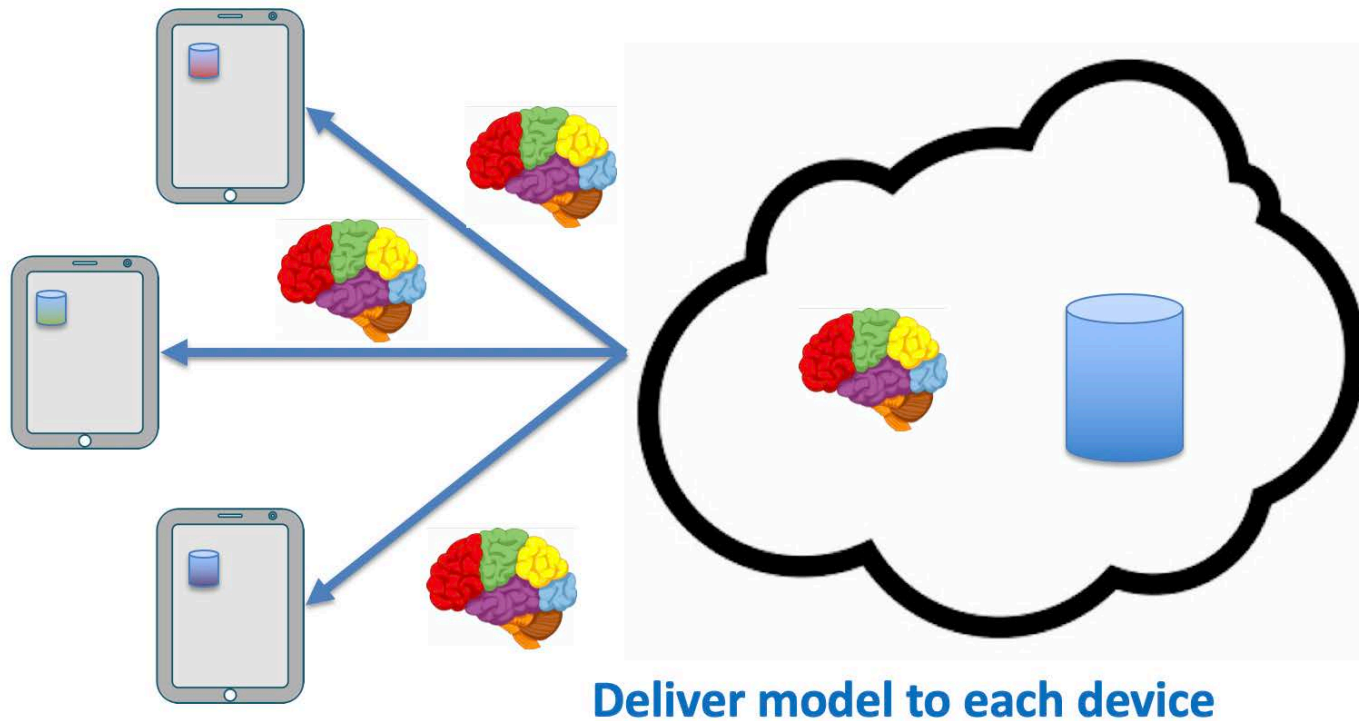
Z.-Y. Wu, *Online Graph Learning Via Proximal Newton Method*, M.S. Thesis, National Yang Ming Chiao Tung University, Sep. 2022. (Adviser: **C.C. Fung**)



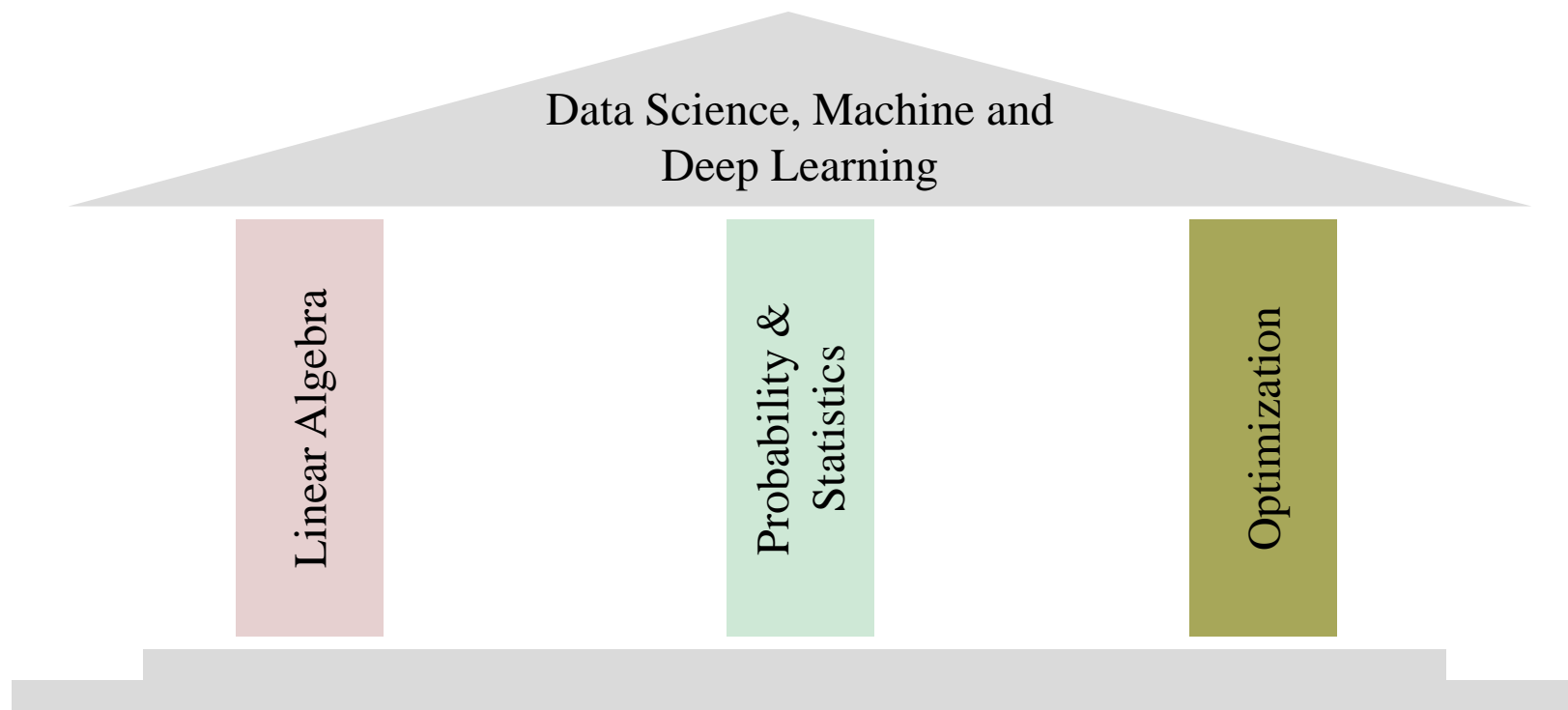
Why Not GAN?

Communications for AI

- GAN (Generative Adversarial Network) has been known to suffer from statistical heterogeneity (nonIID data) between agents
- Is DDPM robust to system heterogeneous (network asynchronicity)?



Fundamental Pillars for Understand AI or Doing Research in AI



What skills are required/learned to be successful?

- Good in mathematics and programming
 - Linear algebra, optimization, statistics, Matlab+Python/Julia
- Willingness and courage to explore and learn new (cross-disciplinary) subjects
- Ingenuity
- Be vocal, especially with your adviser

THEN MY GROUP IS FOR YOU!!!

Stop by and talk to me (ED 639)!

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